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# Distributed Event-Triggered Adaptive Formation Tracking of Networked Uncertain Stratospheric Airships Using Neural Networks

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**ABSTRACT** This paper investigates a distributed event-triggered formation tracking problem of networked three-dimensional uncertain nonlinear stratospheric airships under directed networks. It is assumed that the nonlinearities of airship followers are unknown and the leader information can be obtained by only a subset of the airship followers. Approximation-based local adaptive tracking controllers with asynchronous event-triggering laws are developed to achieve the desired formations for both the positions and attitudes of uncertain stratospheric airship followers. We theoretically show that the stability and formation tracking performance of event-triggered closed-loop systems are ensured and Zeno behavior is excluded in the proposed asynchronous event-triggering mechanism. Finally, simulations illustrate the effectiveness of the proposed formation control protocol.

**INDEX TERMS** Distributed adaptive formation tracking, event-triggered, neural networks, networked stratospheric airships.

## I. INTRODUCTION

Because of various advantages such as high-altitude scientific explorations, vertical landing and takeoff, long time keeping in space, and better communications and real-time surveillance than satellites [1]–[4], stratospheric airships have received increasing attention from control designers. The initial studies focused on the stabilization problem of stratospheric airships. In [5], a linearized model of airships was presented and its linear control strategy was derived. Nonlinear control design approaches such as sliding mode control [6] and dynamic inversion control [7] have been studied for nonlinear stratospheric airships. For more practical applications, the tracking problem has actively been addressed for nonlinear stratospheric airships. In [8], the guidance-based path-following principle was employed to design a three-dimensional path-following controller for nonlinear airships. By augmenting a fixed-time control design with power integrators, a fixed-time trajectory tracking

control problem of stratospheric airships was addressed in [9]. For airship models subject to unknown system parameters, a nonlinear trajectory tracking control approach was studied in [10] where the unknown parameters were compensated by an adaptive mechanism. Adaptive approximation techniques [11]–[13] have been employed to estimate unknown nonlinearities of airships in adaptive backstepping and sliding mode control frameworks [14], [15]. Despite these efforts, the existing tracking control strategies [8]–[10], [14], [15] for nonlinear airship models have the following restrictions.

(R1) The existing methods [8]–[10], [14], [15] can only be applied to the tracking control problem of single nonlinear stratospheric airships. The distributed formation control problem of networked multiple nonlinear stratospheric airships is still open in the control field of stratospheric airships. This problem is particularly challenging because the positions and attitudes of multiple nonlinear stratospheric airships in a three-dimensional space need to be simultaneously considered to design a formation tracking scheme under the limitation of communication links.

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(R2) The existing methods [8]–[10], [14], [15] cannot be applied to the networked control problem of nonlinear stratospheric airships with limited network resources because the tracking control laws are continuously updated. For a more practical control problem, an event-triggered formation control strategy needs to be developed for networked multiple nonlinear stratospheric airships.

Event-triggered control has been a subject of interest to the control community because of the efficient management of control signals in limited network resources [16], [17]. In [23] and [24], control problems in the presence of signal quantization were addressed for uncertain linear systems. In [18]–[22], event-triggered control schemes have been proposed for linear and nonlinear systems. For uncertain nonlinear systems, adaptive function-approximation-based event-triggered control designs were studied in [25]–[27]. Based on these theoretical foundations, event-triggered control results have been extended to practical applications such as quadrotors [28], mobile robots [29], and flexible-link manipulators [30]. However, no research results are available yet on the event-triggered control problem of uncertain nonlinear stratospheric airships. Thus, a distributed event-triggered formation tracking issue of positions and attitudes of networked airships also exists.

Motivated by these observations, we propose a distributed event-triggered formation tracking control strategy for uncertain multiple three-dimensional nonlinear stratospheric airships under directed communication networks. The local event-triggered tracking laws and their asynchronous triggering laws are designed for the formation control of both the positions and attitudes of airships in the distributed dynamic surface design framework. In the local control laws, radial basis function neural networks are employed as function approximators in order to compensate for unknown nonlinearities of the airship’s dynamics. The stability of the resulting closed-loop system and the existence of the minimum inter-event times are rigorously analyzed in the sense of Lyapunov and simulation results are presented to demonstrate the effectiveness of the proposed control strategy.

The main contributions of this paper are emphasized as follows.

(C1) In contrast to the existing control results for single stratospheric airships [5]–[10], we firstly investigate the distributed formation control problem of networked multiple stratospheric airships with heterogeneous unknown nonlinearities. In addition, both the positions and attitudes of airships in a three-dimensional space are considered for the formation control problem.

(C2) An asynchronous event-triggered formation control problem is firstly addressed for networked multiple stratospheric airships. The proposed control law for each airship is updated intermittently by deriving the triggering law to avoid the unnecessary updating of control signals reported in [5]–[10].

The rest of this paper is organized as follows. In Section II-A, we introduce the kinematics and dynamics

of the networked stratospheric airships. The distributed event-triggered formation tracking problem of multiple airships is formulated in Section II-B. Then, a distributed event-triggered multi-airship formation tracking scheme is designed in Section III-A and the closed-loop stability is rigorously analyzed in Section III-B. In Section IV, simulation results are given. Finally, conclusions are provided in Section V.

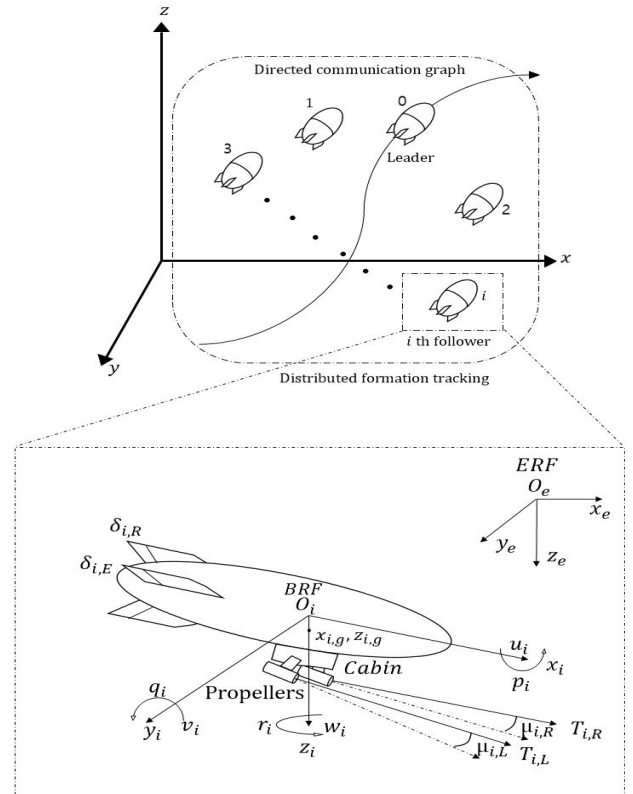


FIGURE 1. The *i*th airship.

## II. PROBLEM FORMULATION

### A. KINEMATICS AND DYNAMICS OF NETWORKED MULTIPLE STRATOSPHERIC AIRSHIPS

In this study, we consider networked stratospheric airship models composed of  $N$  followers, labeled as  $1, \dots, N$ , and a leader, labeled as  $0$ , under a directed communication topology. The structure of the networked multiple unmanned stratospheric airships is shown in Fig. 1. Each airship has a traditional ellipsoidal shape and a symmetric cabin with respect to the longitudinal axis. Two propellers for generating thrust are fixed at each side of the cabin. Two rudders and two elevators installed at the empennage of the airship are used to control the yaw and pitch movements, respectively. For each airship model, there are an earth reference frame (ERF) and a body reference frame (BRF). The ERF is represented by  $x_e, y_e$ , and  $z_e$  axes, and its origin is denoted as  $O_e$ . The  $O_e x_e$  and  $O_e z_e$  axes point toward the north and core of the earth, respectively, and the  $O_e y_e$  direction is determined using

the right-hand rule. The BRF of the  $i$ th airship is described by  $x_i$ ,  $y_i$ , and  $z_i$  axes, and its origin is defined as the center  $O_i$  of the  $i$ th airship's volume. The  $O_i x_i$  and  $O_i z_i$  axes point toward the head and downward of the  $i$ th airship, respectively, and the direction of  $O_i y_i$  is found using the right-hand rule.

The limited network communication of the  $N$  airship followers and a leader is described by a directed graph  $\mathcal{G} \triangleq (\mathcal{V}, \mathcal{E})$  where  $\mathcal{V} \triangleq \{0, 1, \dots, N\}$  and  $\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$  are the sets of nodes and edges, respectively. An edge  $(j, i)$  implies that the information of agent  $j$  can be transmitted to agent  $i$ , but not vice versa.  $\mathcal{N}_i = \{j | (j, i) \in \mathcal{E}\}$  means the neighbors' set of node  $i$  where  $i = 1, \dots, N, j = 0, \dots, N$ , and  $i \neq j$ . For the communication of  $N$  airship followers, the subgraph  $\bar{\mathcal{G}} \triangleq (\bar{\mathcal{V}}, \bar{\mathcal{E}})$  is defined where  $\bar{\mathcal{V}} \triangleq \{1, \dots, N\}$  and  $\bar{\mathcal{E}} \subseteq \bar{\mathcal{V}} \times \bar{\mathcal{V}}$  are the sets of nodes and edges, respectively. The adjacent matrix  $\mathcal{H}$  for  $\bar{\mathcal{G}}$  is defined as  $\mathcal{H} = [h_{i,j}] \in \mathbb{R}^{N \times N}$  where  $h_{i,j} > 0$  if  $(j, i) \in \bar{\mathcal{E}}$  and  $h_{i,j} = 0$  otherwise, and  $h_{i,i} = 0$ . The in-degree  $b_i$  of node  $i$  is defined as  $b_i = \sum_{j=1, j \neq i}^N h_{i,j}$ . A diagonal matrix  $\mathcal{B} = \text{diag}[b_1, \dots, b_N]$  is called the degree matrix. The Laplacian matrix  $\mathcal{L}$  for  $\bar{\mathcal{G}}$  is  $\mathcal{L} = \begin{bmatrix} 0 & 0_{1 \times N} \\ -s & \mathcal{L} + \mathcal{S} \end{bmatrix}$  and nonsymmetric where  $s = [s_1, \dots, s_N]^T$ ,  $\mathcal{L} = \mathcal{B} - \mathcal{H} \in \mathbb{R}^{N \times N}$ , and  $\mathcal{S} = \text{diag}[s_1, \dots, s_N]$ . Here,  $s_i > 0$  if the leader  $0 \in \mathcal{N}_i$ , and  $s_i = 0$  otherwise.

The model of the follower is selected based on [10]. The kinematic equations of the  $i$ th airship are given by

$$\dot{\eta}_i = R_{i,1}(\zeta_i)v_i, \quad \dot{\zeta}_i = R_{i,2}(\zeta_i)\omega_i \quad (1)$$

where  $i = 1, \dots, N$ ,  $\eta_i = [x_i, y_i, z_i]^T$  are the inertial positions of the center  $O_i$  of the  $i$ th airship and  $\zeta_i = [\phi_i, \theta_i, \psi_i]^T$  are the attitude of the  $i$ th airship described by the Euler angles in the ERF;  $v_i = [u_i, v_i, w_i]^T$  and  $\omega_i = [p_i, q_i, r_i]^T$  denote the translational and rotational velocities of the  $i$ th airship defined

in the BRF, respectively,  $R_{i,1}(\zeta_i)$  and  $R_{i,2}(\zeta_i)$  are defined as

$$R_{i,1}(\zeta_i) = \begin{bmatrix} c_{\theta_i}c_{\psi_i} & s_{\theta_i}c_{\psi_i}s_{\phi_i} - s_{\psi_i}c_{\phi_i} & s_{\theta_i}c_{\psi_i}c_{\phi_i} + s_{\psi_i}s_{\phi_i} \\ c_{\theta_i}s_{\psi_i} & s_{\theta_i}s_{\psi_i}s_{\phi_i} + c_{\psi_i}c_{\phi_i} & s_{\theta_i}s_{\psi_i}c_{\phi_i} - c_{\psi_i}s_{\phi_i} \\ -s_{\theta_i} & c_{\theta_i}s_{\phi_i} & c_{\theta_i}c_{\phi_i} \end{bmatrix},$$

$$R_{i,2}(\zeta_i) = \begin{bmatrix} 1 & t_{\theta_i}s_{\phi_i} & t_{\theta_i}c_{\phi_i} \\ 0 & c_{\phi_i} & -s_{\phi_i} \\ 0 & s_{\phi_i}/c_{\theta_i} & c_{\phi_i}/c_{\theta_i} \end{bmatrix}$$

where  $s_{(\cdot)} \triangleq \sin(\cdot)$ ,  $c_{(\cdot)} \triangleq \cos(\cdot)$ , and  $t_{(\cdot)} \triangleq \tan(\cdot)$ . The kinematics (1) can be rewritten as

$$\begin{bmatrix} \dot{\eta}_i \\ \dot{\zeta}_i \end{bmatrix} = \begin{bmatrix} R_{i,1}(\zeta_i) & 0 \\ 0 & R_{i,2}(\zeta_i) \end{bmatrix} \begin{bmatrix} v_i \\ \omega_i \end{bmatrix}. \quad (2)$$

The dynamics of the  $i$ th airship is given by

$$M_i \begin{bmatrix} \dot{v}_i \\ \dot{\omega}_i \end{bmatrix} = N_i(v_i, \omega_i) + G_i(\zeta_i) + D_i(v_i)\tau_i \quad (3)$$

where  $M_i$ ,  $N_i(v_i, \omega_i)$ ,  $G_i(\zeta_i)$ , and  $D_i(v_i)$  are defined at the bottom of this page,  $\tau_i \triangleq [T_{i,R} s_{\mu_{i,R}}, \delta_{i,R}, \delta_{i,E}, T_{i,L} c_{\mu_{i,L}}, T_{i,R} c_{\mu_{i,R}}, T_{i,L} s_{\mu_{i,L}}]^T$ ;  $T_{i,R}$  and  $T_{i,L}$  are the thrusts of the right and left propellers of the  $i$ th airship, respectively,  $\mu_{i,R}$  and  $\mu_{i,L}$  are the right and left rotation angles around the horizontal axis of the  $i$ th airship, respectively, and  $\delta_{i,R}$  and  $\delta_{i,E}$  represent the deflections of the rudders and elevators of the  $i$ th airship, respectively. The system parameters in  $M_i$ ,  $N_i(v_i, \omega_i)$ ,  $G_i(\zeta_i)$ , and  $D_i(v_i)$  are defined as follows:  $m_i$  and  $\Lambda_i$  are the mass and volume of the  $i$ th airship, respectively,  $x_{i,g}$  and  $z_{i,g}$  are the  $x_i$ - and  $z_i$ -coordinates of the center of mass of the  $i$ th airship, respectively,  $k_{i,1}$ ,  $k_{i,2}$ , and  $k_{i,3}$  denote the ellipsoidal inertia factors to calculate the added mass and inertia matrices,  $\rho_i$  is the atmospheric density of the flight attitude of the  $i$ th airship,  $V_i = \sqrt{(u_i - u_{i,\omega})^2 + (v_i - v_{i,\omega})^2 + (w_i - w_{i,\omega})^2}$  is the relative speed of the  $i$ th airship with wind velocities  $u_{i,\omega}$ ,  $v_{i,\omega}$ , and  $w_{i,\omega}$  [31],  $\{I_{i,x}, I_{i,y}, I_{i,z}\}$  and  $\{I_{i,xy}, I_{i,yz}, I_{i,xz}\}$  represent the moments of inertia and the products of two moments

$$M_i = \begin{bmatrix} m_i + \rho_i \wedge_i k_{i,1} & 0 & 0 & 0 & m_i z_{i,g} & 0 \\ 0 & m_i + \rho_i \wedge_i k_{i,2} & 0 & -m_i z_{i,g} & 0 & m_i x_{i,g} \\ 0 & 0 & m_i + \rho_i \wedge_i k_{i,2} & 0 & -m_i x_{i,g} & 0 \\ 0 & -m_i z_{i,g} & 0 & I_{i,x} & 0 & -I_{i,xz} \\ m_i z_{i,g} & 0 & -m_i x_{i,g} & 0 & I_{i,z} + \rho_i \wedge_i k_{i,3} & 0 \\ 0 & m_i x_{i,g} & 0 & -I_{i,xz} & 0 & I_{i,z} + \rho_i \wedge_i k_{i,3} \end{bmatrix},$$

$$N_i(v_i, \omega_i) = \begin{bmatrix} (m_i + \rho_i \wedge_i k_{i,2})(v_i r_i - w_i q_i) - m_i z_{i,g} p_i r_i - \frac{1}{2} \rho_i V_i^2 (C_{i,X1} c_{\alpha_i}^2 c_{\beta_i}^2 + C_{i,X2} s_{2\alpha_i} s_{\alpha_i} / 2) + m_i x_{i,g} (q_i^2 + r_i^2) \\ (m_i + \rho_i \wedge_i k_{i,2}) w_i p_i - (m_i + \rho_i \wedge_i k_{i,1}) u_i r_i - m_i z_{i,g} q_i r_i - \frac{1}{2} \rho_i V_i^2 (C_{i,Y1} c_{\beta_i} / 2 s_{2\beta_i} + C_{i,Y2} s_{2\beta_i} + C_{i,Y3} s_{\beta_i} s_{|\beta_i|}) - m_i x_{i,g} p_i q_i \\ (m_i + \rho_i \wedge_i k_{i,1}) u_i q_i - (m_i + \rho_i \wedge_i k_{i,2}) v_i p_i + m_i z_{i,g} (q_i^2 + p_i^2) - \frac{1}{2} \rho_i V_i^2 (C_{i,Z1} c_{\alpha_i} / 2 s_{2\alpha_i} + C_{i,Z2} s_{2\alpha_i} + C_{i,Z3} s_{\alpha_i} s_{|\alpha_i|}) - m_i x_{i,g} r_i p_i \\ (I_{i,y} - I_{i,z}) q_i r_i + I_{i,xz} p_i q_i + m_i z_{i,g} (u_i r_i - w_i p_i) + \frac{1}{2} \rho_i V_i^2 C_{i,L1} s_{\beta_i} s_{|\beta_i|} + m_i x_{i,g} (v_i p_i - u_i q_i) \\ (\rho_i \wedge_i k_{i,3} + I_{i,z} - I_{i,x}) p_i q_i - I_{i,xz} (p_i^2 + q_i^2) + m_i z_{i,g} (v_i r_i - w_i q_i) - \frac{1}{2} \rho_i V_i^2 ((C_{i,M1} c_{\alpha_i} / 2 + C_{i,M2} s_{2\alpha_i} + C_{i,M3} s_{\alpha_i} s_{|\alpha_i|}) - m_i x_{i,g} (u_i r_i - w_i p_i)) \\ (I_{i,x} - I_{i,y} - \rho_i \wedge_i k_{i,3}) p_i q_i - I_{i,xz} q_i r_i + \frac{1}{2} \rho_i V_i^2 (C_{i,N1} c_{\beta_i} / 2 s_{2\beta_i} + C_{i,N2} s_{2\beta_i} + C_{i,N3} s_{\beta_i} s_{|\beta_i|}) \end{bmatrix},$$

$$G_i(\zeta_i) = \begin{bmatrix} (\Theta_i - m_i g) s_{\theta_i} \\ -(\Theta_i - m_i g) c_{\theta_i} s_{\phi_i} \\ -(\Theta_i - m_i g) c_{\theta_i} c_{\phi_i} \\ -z_{i,g} m_i g c_{\theta_i} s_{\phi_i} \\ -z_{i,g} m_i g s_{\theta_i} - x_{i,g} m_i g c_{\theta_i} c_{\phi_i} \\ x_{i,g} m_i g c_{\theta_i} s_{\phi_i} \end{bmatrix} \quad D_i(v_i) = \begin{bmatrix} -y_{i,p} & 0 & 0 & -z_{i,p} s_{\chi_i} & -z_{i,p} s_{\chi_i} & y_{i,p} \\ -x_{i,p} & 2Q_i C_{i,Y4} & 0 & z_{i,p} c_{\chi_i} & z_{i,p} c_{\chi_i} & -x_{i,p} \\ 0 & 0 & -2Q_i C_{i,Z4} & x_{i,p} s_{\chi_i} - y_{i,p} c_{\chi_i} & x_{i,p} s_{\chi_i} + y_{i,p} c_{\chi_i} & 0 \\ 0 & 0 & 0 & c_{\chi_i} & c_{\chi_i} & 0 \\ 0 & 0 & -2Q_i C_{i,M4} & s_{\chi_i} & -s_{\chi_i} & 0 \\ 1 & -2Q_i C_{i,N4} & 0 & 0 & 0 & 1 \end{bmatrix}$$

of inertia in BRF, respectively,  $\alpha_i = \arctan(w_i, u_i)$ ,  $\beta_i = \arctan(v_i \cos \alpha_i, u_i)$ ,  $\Theta_i$  denotes the buoyancy that acts on the  $i$ th airship,  $g$  is the gravitational acceleration,  $Q_i = \rho_i V_i^2 / 2$  denotes the dynamic pressure of the  $i$ th airship,  $C_{i,X1}, C_{i,X2}, C_{i,Y1}, \dots, C_{i,Y4}, C_{i,Z1}, \dots, C_{i,Z4}, C_{i,L1}, C_{i,M1}, \dots, C_{i,M3}$ , and  $C_{i,N1}, \dots, C_{i,N4}$  denote the aerodynamic coefficients,  $\{x_{i,p}, y_{i,p}, z_{i,p}\}$  are the position coordinates of the right propeller of the  $i$ th airship in the BRF, and  $\chi_i$  means the patulous angle of the  $i$ th airship. We assume that the buoyant state of the airship is neutral, i.e.,  $m_i g = \Theta_i$  [8]. Because of the symmetric structure of the cabin, it holds that  $I_{i,xy} = 0$  and  $I_{i,yz} = 0$ .

**B. PROBLEM STATEMENT**

The kinematics and dynamics of the  $i$ th airship follower are summarized by the following state-space form

$$\begin{aligned} \dot{x}_{i,1} &= P_i(x_{i,1})x_{i,2}, \\ \dot{x}_{i,2} &= M_i^{-1}(\bar{G}_i(x_{i,1}, x_{i,2}) + D_i\tau_i), \\ \varpi_i &= x_{i,1}, \end{aligned} \tag{4}$$

where  $i = 1, \dots, N$ ,  $x_{i,1} = [\eta_i^\top, \zeta_i^\top]^\top$ ,  $x_{i,2} = [v_i^\top, \omega_i^\top]^\top$ ,  $\bar{G}_i = N_i(v_i, \omega_i) + G_i(\zeta_i)$ ,  $P_i = \text{diag}[R_{i,1}(\zeta_i), R_{i,2}(\zeta_i)]$ ,  $\tau_i$  is the control input vector of the  $i$ th airship, and  $\varpi_i$  is the output vector of the  $i$ th airship. Note that the control vector  $\tau_i$  is updated not continuously but intermittently in time by deriving an event-triggering mechanism to be designed later.

*Problem 1:* Consider networked unmanned stratospheric airships (4) under directed networks. Our control problem is to design distributed event-triggered adaptive formation tracking control laws  $\tau_i$  to achieve the desired formations for both the positions and attitudes of networked uncertain stratospheric airship followers in a three-dimensional space.

*Assumption 1:* The system nonlinearity  $\bar{G}_i$  of the dynamics in (4) is unknown where  $i = 1, \dots, N$ .

*Assumption 2:* The leader output signal  $\varpi_0 \in \mathbb{R}^6$  and its derivatives  $\dot{\varpi}_0 \in \mathbb{R}^6$  and  $\ddot{\varpi}_0 \in \mathbb{R}^6$  are bounded and the  $i$ th follower satisfying  $0 \in \mathcal{N}_i$ ,  $i = 1, \dots, N$ , can obtain information for  $\varpi_0$  and  $\dot{\varpi}_0$ .

*Assumption 3:* The augmented directed graph  $\mathcal{G}$  has a spanning tree with the leader as the root node.

*Remark 1:* In the existing tracking control results for stratospheric airships [8]–[10], [14], [15], only single airships are considered and their tracking controllers should be updated constantly. Therefore, the results [8]–[10], [14], [15] cannot provide a solution to the distributed event-triggered formation tracking problem of networked stratospheric airships formulated in Problem 1. To the best of our knowledge, our study is the first trial in the tracking control field of stratospheric airships.

*Definition 1* [32]: The solutions for systems (4) are said to be semi-globally uniformly ultimately bounded if there exist adjustable constants  $\Delta > 0$  and  $\Psi > 0$ , independent of  $t_0 \geq 0$ , and for every  $\varphi \in (0, \Delta)$ , there is  $T \geq 0$ , independent of  $t_0$  such that  $\|\bar{x}(t_0)\| \leq \varphi \Rightarrow \|\bar{x}(t)\| \leq \Psi$  for all  $t \geq t_0 + T$ , where  $\bar{x} = [\bar{x}_1^\top, \dots, \bar{x}_N^\top]^\top$ ;  $\bar{x}_i = [x_{i,1}^\top, x_{i,2}^\top]^\top$ .

**III. DISTRIBUTED EVENT-TRIGGERED MULTI-AIRSHIP FORMATION TRACKING**

**A. DISTRIBUTED EVENT-TRIGGERED FORMATION TRACKER DESIGN**

By adopting the distributed dynamic surface design concept [33], the error surfaces  $z_{i,1}$  and  $z_{i,2}$  and the boundary layer error  $c_i$  are defined by

$$\begin{aligned} z_{i,1} &= \sum_{j=1}^N h_{i,j}(\varpi_i - \varpi_j - \bar{o}_{i,j}) + s_i(\varpi_i - \varpi_0 - \bar{o}_{i,0}), \\ z_{i,2} &= x_{i,2} - \bar{v}_i, \\ c_i &= \bar{v}_i - v_i, \end{aligned} \tag{5}$$

where  $i = 1, \dots, N$ ,  $\bar{o}_{i,j}$  and  $\bar{o}_{i,0}$  are the offsets to make the desired formations between airships  $i$  and  $j$  and between airship  $i$  and the leader, respectively, and  $v_i$  and  $\bar{v}_i$  are virtual control laws and filtered virtual control laws, respectively. The filtered virtual control laws  $\bar{v}_i$  are obtained using the following first-order low-pass filter

$$\xi_i \dot{\bar{v}}_i + \bar{v}_i = v_i, \quad \bar{v}_i(0) = v_i(0) \tag{6}$$

where  $\xi_i > 0$  denotes a small time constant.

The design procedure comprises two steps.

*Step 1:* The time derivative of the first error surface  $z_{i,1}$  using (5) is given by

$$\begin{aligned} \dot{z}_{i,1} &= \sum_{j=1}^N h_{i,j}(\dot{\varpi}_i - \dot{\varpi}_j) + s_i(\dot{\varpi}_i - \dot{\varpi}_0) \\ &= (s_i + b_i)P_i(z_{i,2} + c_i + v_i) - \sum_{j=1}^K h_{i,j}P_jx_{j,2} - s_i\dot{\varpi}_0 \end{aligned} \tag{7}$$

where  $i = 1, \dots, N$ .

Then, we design the virtual control law  $v_i$  for stabilizing (7) as follows:

$$v_i = \frac{1}{(s_i + b_i)}P_i^{-1} \left[ -\kappa_{i,1}z_{i,1} + \sum_{j=1}^K h_{i,j}P_jx_{j,2} + s_i\dot{\varpi}_0 \right] \tag{8}$$

where  $i = 1, \dots, N$ ,  $\kappa_{i,1} = \text{diag}[\kappa_{i,1,1}, \dots, \kappa_{i,1,6}]$ ;  $\kappa_{i,1,l} > 0$ ,  $l = 1, \dots, 6$ , are design constants. Notice that  $R_{i,1}$  is non-singular and  $R_{i,2}$  is also non-singular due to  $|\phi_i| < \pi$  and  $|\theta_i| < \pi/2$  [10]. Thus, it is ensured that  $P_i$  is invertible.

A Lyapunov function candidate is defined as  $V_{i,1} = (1/2)z_{i,1}^\top z_{i,1}$  for  $i = 1, \dots, N$ . By substituting (8) into (7), the time derivative of  $V_{i,1}$  satisfies

$$\dot{V}_{i,1} = -z_{i,1}^\top \kappa_{i,1} z_{i,1} + (s_i + b_i)z_{i,1}^\top P_i(z_{i,2} + c_i). \tag{9}$$

*Remark 2:* The design of the virtual controller (8) is based on the Lyapunov stability theory [32]. A Lyapunov function  $V_{i,1}$  is defined using the error surface  $z_{i,1}$  and the virtual controller  $v_i$  is chosen to induce a negative term  $-z_{i,1}^\top \kappa_{i,1} z_{i,1}$  in  $\dot{V}_{i,1}$  while compensating for the term  $-\sum_{j=1}^K h_{i,j}P_jx_{j,2} - s_i\dot{\varpi}_0$  in (7) (see (9)). With the help of the negative term and the recursive design procedure, we can ensure the boundedness of  $z_{i,1}$  (see the proof of Theorem 1–(i)).



Step 2: From (4) and (5), the time derivative of the second error surface  $z_{i,2}$  is given by

$$\dot{z}_{i,2} = M_i^{-1}(\bar{G}_i + D_i\tau_i) - \dot{v}_i. \quad (10)$$

For the online approximation of the unknown nonlinear function vector  $\bar{G}_i$ , radial basis function neural networks [34] are employed as function approximators. The unknown nonlinear function  $\bar{G}_i$  is approximated to a sufficient degree of accuracy as follows:

$$\bar{G}_i(\bar{x}_i) = W_i^{*\top} \varphi_i(\bar{x}_i) + \epsilon_i \quad (11)$$

where  $\bar{x}_i = [x_{i,1}^\top, x_{i,2}^\top]^\top \in \mathcal{U}_{\bar{x}_i}$  is an input vector with a compact set  $\mathcal{U}_{\bar{x}_i} \in \mathbb{R}^6$ ,  $W_i^* = [W_{i,1}^*, \dots, W_{i,6}^*]$  with  $\|W_i^*\|_F \leq \bar{W}_i$ ;  $W_{i,l}^* = [W_{i,l,1}^*, \dots, W_{i,l,n_l}^*]^\top$ ,  $l = 1, \dots, 6$ , is an optimal weighting vector,  $\varphi_i = [\varphi_{i,1}, \dots, \varphi_{i,n_l}]^\top$  is a radial basis function vector, and  $\epsilon_i$  is a reconstruction error vector with  $\|\epsilon_i\| \leq \bar{\epsilon}_i$ . Here,  $\bar{W}_i$  and  $\bar{\epsilon}_i$  are unknown positive constants and  $\|\cdot\|_F$  denotes the Frobenius norm.

The local event-triggered control law  $\tau_i$  is designed as

$$\tau_i(t) = \bar{\tau}_i(t_{i,a}), \quad t \in [t_{i,a}, t_{i,a+1}), \quad (12)$$

$$t_{i,a+1} = \inf\{t > t_{i,a} \mid \|E_i(t)\| \geq A_{i,1}\|z_{i,2}(t)\| + A_{i,2}\}, \quad (13)$$

where  $i = 1, \dots, N$ ,  $t_{i,a}$ ,  $a \in \mathbb{Z}^+$ , is the update time of the  $i$ th local controller,  $E_i(t) = D_i(\bar{\tau}_i(t) - \tau_i(t))$ ,  $A_{i,1} > 0$  and  $A_{i,2} > 0$  are design parameters, (12) and (13) indicate that when the triggering condition  $\|E_i\| \geq A_{i,1}\|z_{i,2}\| + A_{i,2}$  is satisfied, the input  $\tau_i$  updates its value at  $t_{i,a+1}$  and then is set to  $\bar{\tau}_i(t_{i,a})$  during  $t \in [t_{i,a}, t_{i,a+1})$ . Here, the signal  $\bar{\tau}_i$  for the event-triggered update of the control input is defined as

$$\bar{\tau}_i = D_i^{-1} \left( -\kappa_{i,2}z_{i,2} + M_i\dot{v}_i - \hat{W}_i^\top \varphi_i - \hat{\epsilon}_i \tanh\left(\frac{z_{i,2}}{\vartheta_{i,1}}\right) - A_{i,2} \tanh\left(\frac{z_{i,2}}{\vartheta_{i,2}}\right) - \frac{\lambda_{i,1}z_{i,2}\|z_{i,2}\|^2}{2} \right), \quad (14)$$

$$\hat{W}_i = \varsigma_i(\varphi_i z_{i,2}^\top - \sigma_{i,1} \hat{W}_i), \quad (15)$$

$$\hat{\epsilon}_i = \iota_i \left( z_{i,2}^\top \tanh\left(\frac{z_{i,2}}{\vartheta_{i,1}}\right) - \sigma_{i,2} \hat{\epsilon}_i \right), \quad (16)$$

where  $i = 1, \dots, N$ ,  $\kappa_{i,2} = \text{diag}[\kappa_{i,2,1}, \dots, \kappa_{i,2,6}]$ ;  $\kappa_{i,2,l} > 0$ ,  $l = 1, \dots, 6$ , are design constants,  $\tanh(z_{i,2}/\vartheta_{i,b}) = [\tanh(z_{i,2,1}/\vartheta_{i,b}), \dots, \tanh(z_{i,2,6}/\vartheta_{i,b})]^\top$  with  $b = 1, 2$  and constants  $\vartheta_{i,1} > 0$  and  $\vartheta_{i,2} > 0$ ,  $\varsigma_i = \text{diag}[\varsigma_{i,1}, \dots, \varsigma_{i,6}]$ ;  $\varsigma_{i,l} > 0$ ,  $l = 1, \dots, 6$ , and  $\iota_i > 0$  are tuning gains, and  $\sigma_{i,1} > 0$  and  $\sigma_{i,2} > 0$  are small constants for  $\sigma$ -modification [35].

Now, we consider a Lyapunov function  $V_{i,2}$  as

$$V_{i,2} = V_{i,1} + \frac{1}{2}z_{i,2}^\top M_i z_{i,2} + \frac{1}{2} \text{tr}(\tilde{W}_i^\top \varsigma_i^{-1} \tilde{W}_i) + \frac{1}{2\iota_i} \tilde{\epsilon}_i^\top \tilde{\epsilon}_i \quad (17)$$

where  $i = 1, \dots, N$ ,  $\tilde{\epsilon}_i = \bar{\epsilon}_i - \hat{\epsilon}_i$ ,  $\tilde{W}_i = W_i^* - \hat{W}_i$ ,  $\hat{W}_i$  and  $\hat{\epsilon}_i$  are estimates of  $W_i^*$  and  $\bar{\epsilon}_i$ , respectively, and  $\text{tr}(\cdot)$  denotes the trace of a matrix.

By differentiating (17) with (9)–(13) and  $E_i(t_{i,a}) = 0$  for  $a \in \mathbb{Z}^+$ , we have

$$\begin{aligned} \dot{V}_{i,2} &= -z_{i,1}^\top \kappa_{i,1} z_{i,1} + (s_i + b_i) z_{i,1}^\top P_i(z_{i,2} + c_i) \\ &\quad + z_{i,2}^\top (\bar{G}_i + D_i \bar{\tau}_i) - z_{i,2}^\top M_i \dot{v}_{i,2} - \text{tr}(\tilde{W}_i^\top \varsigma_i^{-1} \dot{\tilde{W}}_i) \\ &\quad - \frac{1}{\iota_i} \tilde{\epsilon}_i^\top \dot{\tilde{\epsilon}}_i - z_{i,2}^\top E_i \\ &\leq -z_{i,1}^\top \kappa_{i,1} z_{i,1} + (s_i + b_i) z_{i,1}^\top P_i(z_{i,2} + c_i) \\ &\quad + z_{i,2}^\top (W_i^{*\top} \varphi_i + \epsilon_i + D_i \bar{\tau}_i) - z_{i,2}^\top M_i \dot{v}_{i,2} - \text{tr}(\tilde{W}_i^\top \varsigma_i^{-1} \dot{\tilde{W}}_i) \\ &\quad - \frac{1}{\iota_i} \tilde{\epsilon}_i^\top \dot{\tilde{\epsilon}}_i + \|z_{i,2}\| (A_{i,1} \|z_{i,2}\| + A_{i,2}) \\ &\leq -z_{i,1}^\top \kappa_{i,1} z_{i,1} + (s_i + b_i) z_{i,1}^\top P_i(z_{i,2} + c_i) \\ &\quad + z_{i,2}^\top (W_i^{*\top} \varphi_i + \epsilon_i + D_i \bar{\tau}_i) - z_{i,2}^\top M_i \dot{v}_{i,2} \\ &\quad - \text{tr}(\tilde{W}_i^\top \varsigma_i^{-1} \dot{\tilde{W}}_i) - \frac{1}{\iota_i} \tilde{\epsilon}_i^\top \dot{\tilde{\epsilon}}_i + \frac{A_{i,1}^2}{2\lambda_{i,1}} + \frac{\lambda_{i,1} \|z_{i,2}\|^4}{2} \\ &\quad + \|z_{i,2}\| A_{i,2} \end{aligned} \quad (18)$$

where  $\lambda_{i,1} > 0$  is a design constant.

Applying (14) into (18) yields

$$\begin{aligned} \dot{V}_{i,2} &= -z_{i,1}^\top \kappa_{i,1} z_{i,1} - z_{i,2}^\top \kappa_{i,2} z_{i,2} + (s_i + b_i) z_{i,1}^\top P_i(z_{i,2} + c_i) \\ &\quad + z_{i,2}^\top \tilde{W}_i^\top \varphi_i - \text{tr}(\tilde{W}_i^\top \varsigma_i^{-1} \dot{\tilde{W}}_i) + \|z_{i,2}\| \bar{\epsilon}_i \\ &\quad - \hat{\epsilon}_i z_{i,2}^\top \tanh\left(\frac{z_{i,2}}{\vartheta_{i,1}}\right) - \frac{1}{\iota_i} \tilde{\epsilon}_i^\top \dot{\tilde{\epsilon}}_i + \frac{A_{i,1}^2}{2\lambda_{i,1}} \\ &\quad + A_{i,2} \|z_{i,2}\| - A_{i,2} z_{i,2}^\top \tanh\left(\frac{z_{i,2}}{\vartheta_{i,2}}\right). \end{aligned} \quad (19)$$

Substituting the adaptation laws (14), (15), and (16) into (19) gives

$$\begin{aligned} \dot{V}_{i,2} &= -z_{i,1}^\top \kappa_{i,1} z_{i,1} - z_{i,2}^\top \kappa_{i,2} z_{i,2} + (s_i + b_i) z_{i,1}^\top P_i(z_{i,2} + c_i) \\ &\quad + z_{i,2}^\top \tilde{W}_i^\top \varphi_i - \text{tr}(\tilde{W}_i^\top (\varphi_i z_{i,2}^\top - \sigma_{i,1} \hat{W}_i)) \\ &\quad + \|z_{i,2}\| \bar{\epsilon}_i - \hat{\epsilon}_i z_{i,2}^\top \tanh\left(\frac{z_{i,2}}{\vartheta_{i,1}}\right) \\ &\quad - \tilde{\epsilon}_i^\top \left( z_{i,2}^\top \tanh\left(\frac{z_{i,2}}{\vartheta_{i,1}}\right) - \sigma_{i,2} \hat{\epsilon}_i \right) \\ &\quad + A_{i,2} \left( \|z_{i,2}\| - z_{i,2}^\top \tanh\left(\frac{z_{i,2}}{\vartheta_{i,2}}\right) \right) + \frac{A_{i,1}^2}{2\lambda_{i,1}}. \end{aligned} \quad (20)$$

**Lemma 1** [34]: For any  $\vartheta > 0$  and  $z \in \mathbb{R}$ , it holds that  $0 \leq |z| - z \tanh(z/\vartheta) \leq 0.2785\vartheta$ .

From the definitions  $z_{i,2} = [z_{i,2,1}, \dots, z_{i,2,6}]^\top$  and  $\tanh(z_{i,2}/\vartheta_{i,b}) = [\tanh(z_{i,2,1}/\vartheta_{i,b}), \dots, \tanh(z_{i,2,6}/\vartheta_{i,b})]^\top$  for  $b = 1, 2$ , using Lemma 1 yields

$$\begin{aligned} \|z_{i,2}\| - z_{i,2}^\top \tanh\left(\frac{z_{i,2}}{\vartheta_{i,b}}\right) \\ \leq \sum_{l=1}^6 \left( |z_{i,2,l}| - z_{i,2,l} \tanh\left(\frac{z_{i,2,l}}{\vartheta_{i,b}}\right) \right) \leq 1.671\vartheta_{i,b}. \end{aligned} \quad (21)$$

Thus, it is obtained that

$$\|z_{i,2}\| \bar{\epsilon}_i \leq \bar{\epsilon}_i z_{i,2}^\top \tanh\left(\frac{z_{i,2}}{\vartheta_{i,1}}\right) + 1.671\bar{\epsilon}_i \vartheta_{i,1}, \quad (22)$$

$$\|z_{i,2}\| \leq z_{i,2}^\top \tanh\left(\frac{z_{i,2}}{\vartheta_{i,2}}\right) + 1.671\vartheta_{i,2}. \quad (23)$$

Based on the cyclic nature of the trace,  $\dot{V}_{i,2}$  becomes

$$\begin{aligned} \dot{V}_{i,2} &\leq -z_{i,1}^\top \kappa_{i,1} z_{i,1} - z_{i,2}^\top \kappa_{i,2} z_{i,2} + (s_i + b_i) z_{i,1}^\top P_i(z_{i,2} + c_i) \\ &\quad + \sigma_{i,1} \text{tr}(\tilde{W}_i^\top \hat{W}_i) + \sigma_{i,2} \tilde{\epsilon}_i^\top \hat{\epsilon}_i + \frac{A_{i,1}^2}{2\lambda_{i,1}} \\ &\quad + 1.671\tilde{\epsilon}_{i,1}\vartheta_{i,1} + 1.671A_{i,2}\vartheta_{i,2}. \end{aligned} \quad (24)$$

*Remark 3:* Compared with the existing works [8]–[10], [14], [15] for single stratospheric airships, the proposed control scheme (13)–(16) deals with the distributed formation control problem for networked multiple stratospheric airships and the local controller (12) updates its value according to the proposed asynchronous triggering rule (13). Although the local controllers (12) are intermittently updated and the leader output signal is only available for some of the followers, the stability of the resulting formation control system can be ensured, as presented in the following section.

*Remark 4:* Using  $\tau_i = [\tau_{i,1}, \dots, \tau_{i,6}]^\top$ , the practical control inputs  $T_{i,L}$ ,  $T_{i,R}$ ,  $\mu_{i,L}$ ,  $\mu_{i,R}$ ,  $\delta_{i,E}$ , and  $\delta_{i,L}$  can be easily computed as  $T_{i,L} = \sqrt{\tau_{i,4}^2 + \tau_{i,6}^2}$ ,  $T_{i,R} = \sqrt{\tau_{i,5}^2 + \tau_{i,1}^2}$ ,  $\mu_{i,L} = \arctan(\tau_{i,6}/\tau_{i,4})$ ,  $\mu_{i,R} = \arctan(\tau_{i,1}/\tau_{i,5})$ ,  $\delta_{i,R} = \tau_{i,2}$ , and  $\delta_{i,E} = \tau_{i,3}$ .

### B. STABILITY ANALYSIS

The dynamics of the boundary layer error  $c_i$  is obtained as

$$\dot{c}_i = -\frac{c_i}{\xi_i} + \Gamma_i(z_{i,1}, z_{i,2}, c_i, z_{l,1}, z_{l,2}, c_l, \hat{W}_l, \hat{\epsilon}_l, \Omega_0) \quad (25)$$

where  $i = 1, \dots, N$ ,  $l \in \mathcal{N}_i$ ,  $l = 1, \dots, N$ ,  $\Omega_0 = [\varpi_0^\top, \dot{\varpi}_0^\top, \ddot{\varpi}_0^\top]^\top$ , and  $\Gamma_i(\cdot) = (1/(s_i + b_i))P_i^{-1}[\kappa_{i,1}z_{i,1} - \sum_{j=1}^N h_{i,j}P_j x_{j,2} - s_i \dot{\varpi}_0] + (1/(s_i + b_i))P_i^{-1}[\kappa_{i,1}\dot{z}_{i,1} - \sum_{j=1}^N h_{i,j} \times (P_j x_{j,2} + P_j \dot{x}_{j,2}) - s_i \ddot{\varpi}_0]$  is a continuous function.

Then, consider a total Lyapunov function  $V$  as

$$V = \sum_{i=1}^N \left[ V_{i,2} + \frac{1}{2} c_i^\top c_i \right]. \quad (26)$$

*Theorem 1:* Consider the networked multiple stratospheric airships (4) with unknown nonlinearities under the directed graph. For all initial conditions that satisfy  $V(0) \leq \Delta$ , the proposed event-triggered adaptive formation tracker (i.e., (12)–(16)) with event-triggering laws (13) ensures that

- (i) all the closed-loop signals are semi-globally uniformly ultimately bounded;
- (ii)  $\lim_{t \rightarrow \infty} \|\varpi - (1_N \otimes \varpi_0) - \bar{\varpi}\| \leq \tilde{\epsilon}$ ;
- (iii) there exist minimum inter-event times  $t_i^* > 0$  such that  $|t_{i,a+1} - t_{i,a}| \geq t_i^*$

where  $i = 1, \dots, N$ ,  $\varpi = [\varpi_1^\top, \dots, \varpi_N^\top]^\top$ ,  $1_N$  is an  $N$ -vector of all ones,  $\otimes$  indicates the Kronecker product,  $\bar{\varpi} = [\bar{\varpi}_{1,0}^\top, \dots, \bar{\varpi}_{N,0}^\top]^\top$ ,  $\tilde{\epsilon} > 0$  is a constant that can be made arbitrarily small, and  $t_i^*$  is the minimum inter-execution time.

*Proof:* From (24) and (25), the time derivative of  $V$  becomes

$$\begin{aligned} \dot{V} &\leq \sum_{i=1}^N \left[ -z_{i,1}^\top \kappa_{i,1} z_{i,1} - z_{i,2}^\top \kappa_{i,2} z_{i,2} - \frac{1}{\xi_i} c_i^\top c_i \right. \\ &\quad + c_i^\top \Gamma_i + (s_i + b_i) z_{i,1}^\top P_i(z_{i,2} + c_i) \\ &\quad + \sigma_{i,1} \text{tr}(\tilde{W}_i^\top \hat{W}_i) \\ &\quad - \sigma_{i,2} \tilde{\epsilon}_i^2 + \sigma_{i,2} \tilde{\epsilon}_i \hat{\epsilon}_i + \frac{A_{i,1}^2}{2\lambda_{i,1}} \\ &\quad \left. + 1.671\tilde{\epsilon}_{i,1}\vartheta_{i,1} + 1.671A_{i,2}\vartheta_{i,2} \right]. \end{aligned} \quad (27)$$

Using Young's inequality<sup>1</sup>, it holds that

$$\begin{aligned} (s_i + b_i) z_{i,1}^\top P_i(z_{i,2} + c_i) &\leq \frac{(s_i + b_i)^2}{2} \|P_i\|^2 \|z_{i,1}\|^2 \\ &\quad + \|z_{i,2}\|^2 + \|c_i\|^2, \\ \text{tr}(\tilde{W}_i^\top \hat{W}_i) &= \|\tilde{W}_i\|_F \|\hat{W}_i\|_F - \|\tilde{W}_i\|_F^2 \\ &\leq -\frac{1}{2} \|\tilde{W}_i\|_F^2 + \frac{1}{2} \|\hat{W}_i\|_F^2, \\ \tilde{\epsilon}_i \hat{\epsilon}_i &\leq \frac{1}{2} \tilde{\epsilon}_i^2 + \frac{1}{2} \hat{\epsilon}_i^2, \\ c_i^\top \Gamma_i &\leq \frac{\|c_i\|^2 \|\Gamma_i\|^2}{2\lambda_{i,2}} + \frac{\lambda_{i,2}}{2}, \end{aligned}$$

where  $\lambda_{i,2}$  are positive constants.

From the above inequalities, (27) becomes

$$\begin{aligned} \dot{V} &\leq \sum_{i=1}^N \left[ -z_{i,1}^\top \kappa_{i,1} z_{i,1} - z_{i,2}^\top \kappa_{i,2} z_{i,2} - \frac{\|c_i\|^2}{\xi_i} + \frac{\|c_i\|^2 \|\Gamma_i\|^2}{2\lambda_{i,2}} \right. \\ &\quad + \frac{(s_i + b_i)^2}{2} \|P_i\|^2 \|z_{i,1}\|^2 + \|z_{i,2}\|^2 + \|c_i\|^2 \\ &\quad \left. - \frac{\sigma_{i,1}}{2} \|\tilde{W}_i\|_F^2 - \frac{\sigma_{i,2}}{2} \|\tilde{\epsilon}_i\|^2 \right] + C \end{aligned} \quad (28)$$

where  $C = \sum_{i=1}^N [(\sigma_{i,1}/2)\|\hat{W}_i\|_F^2 + (\sigma_{i,2}/2)\hat{\epsilon}_i^2 + \lambda_{i,2}/2 + A_{i,1}^2/(2\lambda_{i,1}) + 1.671\tilde{\epsilon}_{i,1}\vartheta_{i,1} + 1.671A_{i,2}\vartheta_{i,2}]$ .

Define two compact sets  $\Pi_i = \{z_{i,1}^\top z_{i,1} + z_{i,2}^\top z_{i,2} + c_i^\top c_i + \sum_{l \in \mathcal{N}_i} [z_{l,1}^\top z_{l,1} + z_{l,2}^\top z_{l,2} + c_l^\top c_l + \text{tr}(\tilde{W}_l^\top \zeta_l^{-1} \hat{W}_l) + (1/\iota_l)\tilde{\epsilon}_l^2] \leq 2\Delta\}$  and  $\Xi = \{\varpi_0^\top \varpi_0 + \dot{\varpi}_0^\top \dot{\varpi}_0 + \ddot{\varpi}_0^\top \ddot{\varpi}_0 \leq \bar{\Omega}_0\}$  where  $i = 1, \dots, N$  and  $\bar{\Omega}_0 > 0$  is a constant. Since  $\Pi_i$  and  $\Xi$  are compact sets,  $\Pi_i \times \Xi$  is also a compact set. Therefore, there exists a constant  $\bar{\Gamma}_i$  such that  $\|\Gamma_i\| \leq \bar{\Gamma}_i$  on  $\Pi_i \times \Xi$ .

Based on this fact and selecting the design parameters as  $\kappa_{i,1} = (1/2)(s_i + b_i)^2 \|P_i\|^2 I + \kappa_{i,1}^* I$ ,  $\kappa_{i,2} = I + \kappa_{i,2}^* I$ , and  $1/\xi_i = \xi_i^* + \bar{\Gamma}_i^2/(2\lambda_{i,2}) + 1$  with an identity matrix  $I \in \mathbb{R}^{6 \times 6}$  and positive constants  $\kappa_{i,1}^*$ ,  $\kappa_{i,2}^*$ , and  $\xi_i^*$ , (28) can be represented by

$$\dot{V} \leq -\Upsilon V - \left(1 - \frac{\|\Gamma_i\|^2}{\bar{\Gamma}_i^2}\right) \frac{\|c_i\| \bar{\Gamma}_i^2}{2\lambda_i} + C \quad (29)$$

where  $\Upsilon = \min[2\kappa_{i,1}^*, 2\kappa_{i,2}^*, 2\xi_i^*, \sigma_{i,1}\zeta_{i,m}, \sigma_{i,2}\iota_i]$  with  $i = 1, \dots, N$  and  $\zeta_{i,m}$  are the minimum eigenvalues of  $\zeta_i$ . The

<sup>1</sup> $ab \leq \frac{a^p}{p} + \frac{b^q}{q}$  where  $a, b \geq 0$  and  $p, q > 0$  such that  $1/p + 1/q = 1$ .

above inequality becomes  $\dot{V} \leq -\Upsilon V + C$  on  $V = \Delta$ . This means that  $\dot{V} < 0$  on  $V = \Delta$  when  $\Upsilon > C/\Delta$ . Therefore,  $V \leq \Delta$  is an invariant set, i.e., if  $V(0) \leq \Delta$ , then  $V(t) \leq \Delta$  for all  $t \geq 0$ . Integrating the both sides of  $\dot{V} \leq -\Upsilon V + C$  with respect to time, we have  $V(t) \leq e^{-\Upsilon t} V(0) + (C/\Upsilon)[1 - e^{-\Upsilon t}]$ . By considering this inequality and defining a constant  $\Psi$  to satisfy  $\Psi > C/\Upsilon$ , it is guaranteed that for all  $\varphi \in (0, \Delta)$ , there exists  $T \geq 0$  such that  $\|V(0)\| \leq \varphi \Rightarrow \|V(t)\| \leq \Psi$  for all  $t \geq T$ . Thus, Definition 1 ensures that all the closed-loop signals are semi-globally uniformly ultimately bounded. This completes the proof of Theorem 1–(i).

Additionally, we have  $(1/2)\|z_1\|^2 \leq V(t)$ . Thus, it holds that  $\lim_{t \rightarrow \infty} \|z_1(t)\| \leq \sqrt{2C/\Upsilon}$  where  $\sqrt{2C/\Upsilon}$  can be reduced arbitrarily small by increasing  $\Upsilon$  or decreasing  $C$  (i.e., by adjusting the design parameters). Then,  $z_1$  is represented by  $z_1 = ((\mathcal{L} + \mathcal{S}) \otimes I)(\varpi - (1_N \otimes \varpi_0) - \bar{d})$ . From Assumption 3,  $\mathcal{L} + \mathcal{S}$  is invertible. Therefore, it holds that  $\lim_{t \rightarrow \infty} \|\varpi - (1_N \otimes \varpi_0) - \bar{d}\| \leq \tilde{\epsilon}$  where  $\tilde{\epsilon} = \sqrt{2C/\Upsilon} \|((\mathcal{L} + \mathcal{S}) \otimes I)^{-1}\|$ . Since  $\sqrt{2C/\Upsilon}$  can be reduced arbitrarily small,  $\tilde{\epsilon}$  can also be made arbitrarily small. This completes the proof of Theorem 1–(ii).

To avoid the Zeno behavior denoting an infinite number of triggering instants in a finite time, we show that there exist minimum inter-event times  $t_i^*$  that satisfy  $|t_{i,a+1} - t_{i,a}| \geq t_i^*$  for  $a \in \mathbb{Z}^+$ . The time derivatives of the measurement errors  $E_i(t), \forall t \in [t_{i,a}, t_{i,a+1})$ , are given by  $\frac{d}{dt} \|E_i\| = \frac{d}{dt} (E_i^T E_i)^{\frac{1}{2}} = \frac{E_i^T \dot{E}_i}{\|E_i\|} \leq \|\frac{d}{dt} (D_i \bar{\tau}_i)\| \cdot \frac{d}{dt} (D_i \bar{\tau}_i)$  is given by

$$\begin{aligned} \frac{d}{dt} (D_i \bar{\tau}_i) &= -\kappa_{i,2} \dot{z}_{i,2} + M_i \ddot{v}_i - \hat{W}_i^T \varphi_i - \hat{W}_i^T \dot{\varphi}_i \\ &\quad - \hat{\epsilon}_i \tanh\left(\frac{z_{i,2}}{\vartheta_{i,1}}\right) - \hat{\epsilon}_i \left(1 - \tanh^2\left(\frac{z_{i,2}}{\vartheta_{i,1}}\right)\right) \frac{\dot{z}_{i,2}}{\vartheta_{i,1}} \\ &\quad - A_{i,2} \left(1 - \tanh^2\left(\frac{z_{i,2}}{\vartheta_{i,2}}\right)\right) \frac{\dot{z}_{i,2}}{\vartheta_{i,2}} \\ &\quad - \frac{\lambda_{i,1} \dot{z}_{i,2} \|z_{i,2}\|^2}{2} - \lambda_{i,1} z_{i,2} \|z_{i,2}\| \dot{z}_{i,2}. \end{aligned} \quad (30)$$

Since all the signals of the closed-loop system are bounded, there is a constant  $\gamma_i > 0$  such that  $\|\frac{d}{dt} (D_i \bar{\tau}_i)\| \leq \gamma_i$ . Integrating  $\frac{d}{dt} \|E_i\| \leq \gamma_i$  during  $t \in [t_{i,a}, t_{i,a+1})$  and using the event-triggering condition (13) yield  $|t_{i,a+1} - t_{i,a}| \geq (A_{i,1} \|z_{i,2}(t)\| + A_{i,2})/\gamma_i \geq A_{i,2}/\gamma_i$ . Therefore, it holds that  $|t_{i,a+1} - t_{i,a}| \geq t_i^*$  with  $t_i^* = A_{i,2}/\gamma_i$ . This completes the proof of Theorem 1–(iii). ■

*Remark 5:* The design parameters of the distributed event-triggered adaptive formation tracking laws can be selected based on the proof result of Theorem 1. The guidelines for selecting design parameters are as follows:

1) Increasing  $\kappa_{i,1}$  and  $\kappa_{i,2}$  and decreasing  $\xi_i$  with  $i = 1, \dots, N$  result in increasing  $\Upsilon$  which subsequently reduce the convergence bound  $\sqrt{2C/\Upsilon}$  of  $z_{i,1}$ .

2) Decreasing  $\vartheta_{i,1}$  and  $\vartheta_{i,2}$  assists in decreasing  $C$ . Then, the bound  $\sqrt{2C/\Upsilon}$  of the tracking error  $z_{i,1}$  can be reduced.

3) Selecting  $\sigma_{i,1}$  and  $\sigma_{i,2}$  as small constants and increasing  $t_i$  and  $\zeta_i$  are desirable to increase the tuning speed of the adaptive parameters  $\hat{W}_i$  and  $\hat{\epsilon}_i$ .

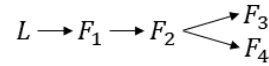


FIGURE 2. Directed network topology.

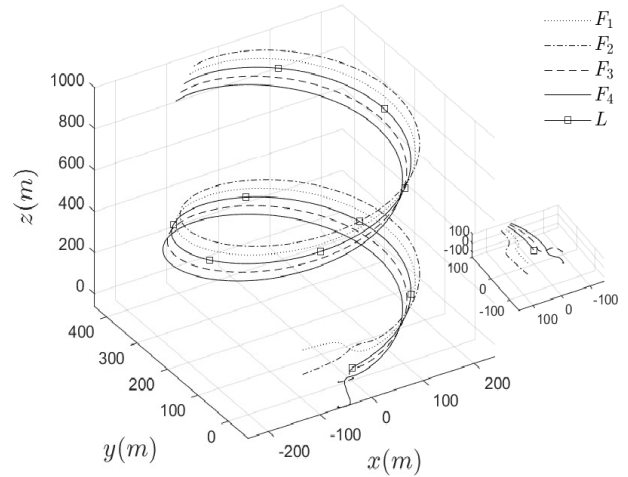


FIGURE 3. Distributed formation tracking results.

4) Adjusting  $A_{i,1}$  and  $A_{i,2}$  helps to adjust the number of released data according to the limited resources of the network in the transient and steady-state response, respectively.

#### IV. SIMULATION RESULTS

Consider a group consisting of a leader (i.e.,  $L$ ) and four airship followers (i.e.,  $F_1, \dots, F_4$ ) described by system (4) where the event-triggering condition (13) is monitored periodically with the sampling period 0.001 sec. The system parameters and coefficients are presented in Table 1 [10]. The dynamic leader signal vector is defined as  $\varpi_0(t) = [200 \sin(0.1t), 200 - 200 \cos(0.1t), 10t, 0, -0.464, 0.01t]^T$ . The directed network topology for one leader and four airship followers is given in Fig. 2 where  $h_{2,1} = h_{3,2} = h_{4,2} = 1, h_{1,2} = h_{1,3} = h_{1,4} = h_{2,3} = h_{2,4} = h_{3,1} = h_{3,4} = h_{4,1} = h_{4,3} = 0, s_1 = 1$ , and  $s_2 = s_3 = s_4 = 0$ . For the

TABLE 1. System parameters and coefficients.

Parameter	Value	Unit	Coefficient	Value
$m_i$	$5.6 \times 10^3$	kg	$k_{i,1}$	0.17
$\Lambda_i$	$7.4 \times 10^5$	$m^3$	$k_{i,2}$	0.83
$\rho_i$	0.089	$kg/m^3$	$k_{i,3}$	0.52
$x_{i,g}$	5	m	$C_{i,X1}, C_{i,X2}$	657
$z_{i,g}$	15	m	$C_{i,Y1}, \dots, C_{i,Y4}$	657
$x_{i,p}$	4	m	$C_{i,Z1}, \dots, C_{i,Z4}$	657
$y_{i,p}$	0.5	m	$C_{i,L1}$	$2.4 \times 10^4$
$z_{i,p}$	40	m	$C_{i,N1}, \dots, C_{i,N4}$	$7.7 \times 10^4$
$I_{i,x}$	$5 \times 10^7$	$kg \cdot m^2$	$C_{i,M1}, \dots, C_{i,M4}$	$7.7 \times 10^4$
$I_{i,y}$	$2.9 \times 10^8$	$kg \cdot m^2$		
$I_{i,z}$	$2.9 \times 10^8$	$kg \cdot m^2$		
$I_{i,xz}$	$-6 \times 10^4$	$kg \cdot m^2$		
$\chi_i$	$\pi/6$	m		
$g$	9.8	$m/s^2$		

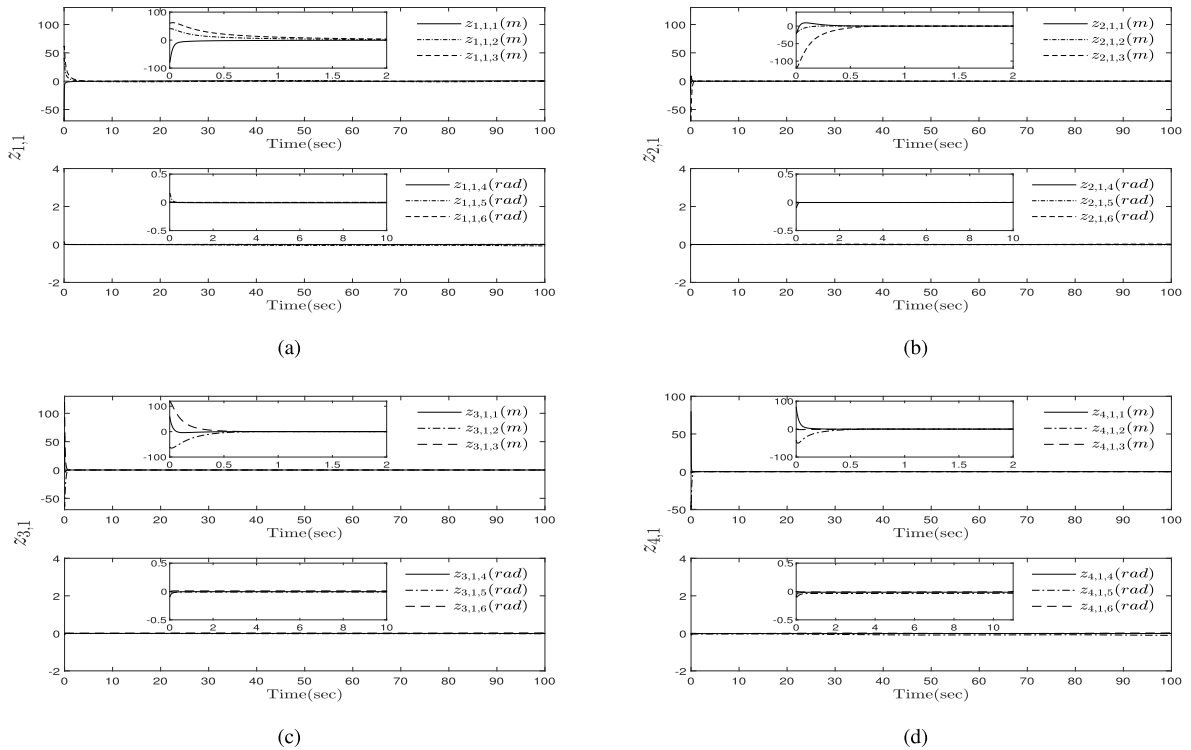


FIGURE 4. Formation tracking errors (a)  $z_{1,1}$  (b)  $z_{2,1}$  (c)  $z_{3,1}$  (d)  $z_{4,1}$ .

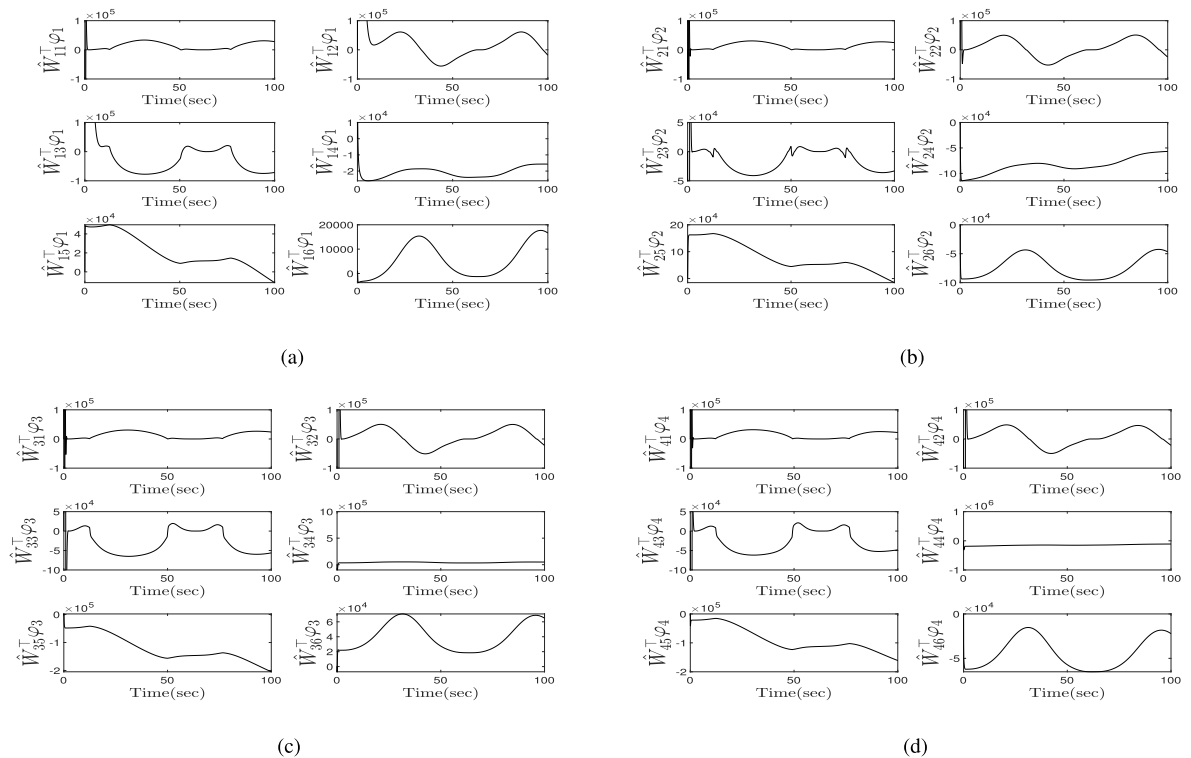


FIGURE 5. The outputs of radial basis function neural networks (a)  $\hat{W}_1^T \varphi_1$  (b)  $\hat{W}_2^T \varphi_2$  (c)  $\hat{W}_3^T \varphi_3$  (d)  $\hat{W}_4^T \varphi_4$ .

simulation, the desired offsets among the followers and the leader are set to  $\bar{d}_{1,0} = [-10, -10, -10, 0, 0, 0]^T$ ,  $\bar{d}_{2,1} = [0, 0, -20, 0, 0, 0]^T$ ,  $\bar{d}_{3,2} = [-20, -20, 20, 0, 0, 0]^T$ , and

$\bar{d}_{4,2} = [0, 0, -20, 0, 0, 0]^T$ . The initial conditions of airship followers are  $x_1(0) = [-60, 60, 60, 0, -0.3, 0]$ ,  $x_2(0) = [-60, 60, -60, 0, -0.3, -0.1]$ ,  $x_3(0) = [60, -60, 60,$



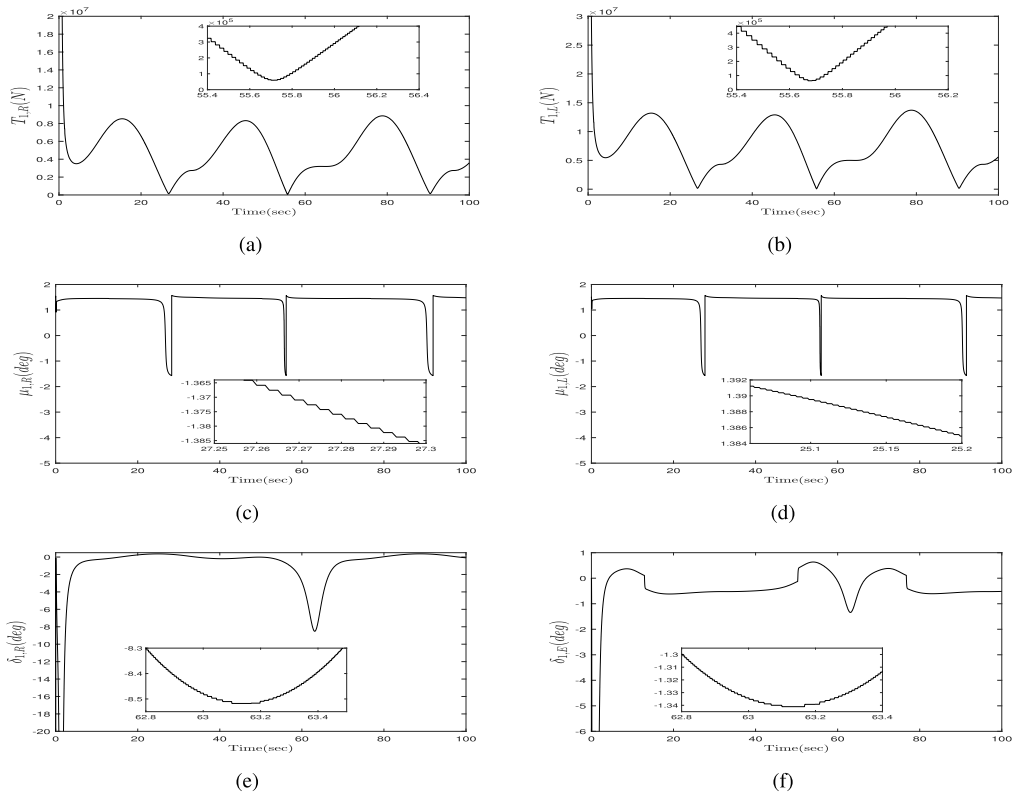


FIGURE 6. Control inputs of  $F_1$  (a)  $T_{1,R}$  (b)  $T_{1,L}$  (c)  $\mu_{1,R}$  (d)  $\mu_{1,L}$  (e)  $\delta_{1,R}$  (f)  $\delta_{1,E}$ .

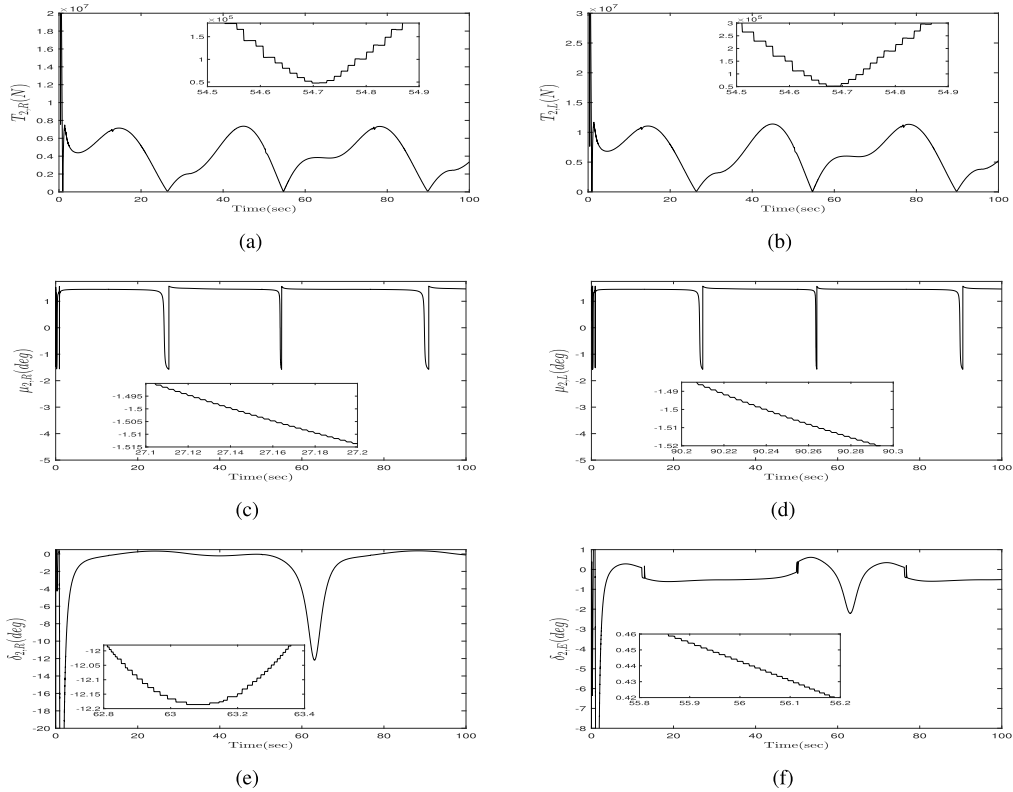


FIGURE 7. Control inputs of  $F_2$  (a)  $T_{2,R}$  (b)  $T_{2,L}$  (c)  $\mu_{2,R}$  (d)  $\mu_{2,L}$  (e)  $\delta_{2,R}$  (f)  $\delta_{2,E}$ .

$-0.1, -0.3, -0.1]$ , and  $x_4(0) = [-60, -60, -60, -0.1, -0.4, -0.1]$  and the design parameters of airship followers are chosen as  $\kappa_{1,1} = \text{diag}[50, 15, 15, 60, 13, 70]$ ,

$\kappa_{2,1} = \text{diag}[35, 15, 15, 60, 60, 17]$ ,  $\kappa_{3,1} = \text{diag}[35, 15, 15, 35, 60, 40]$ , and  $\kappa_{4,1} = \text{diag}[35, 30, 30, 70, 7, 15]$ ,  $\kappa_{i,2} = \text{diag}[23, 20, 20, 10, 10, 10]$ ,  $\zeta_{i,l} = 2 \times 10^5$ ,  $\sigma_{i,1} = 10^{-5}$ ,

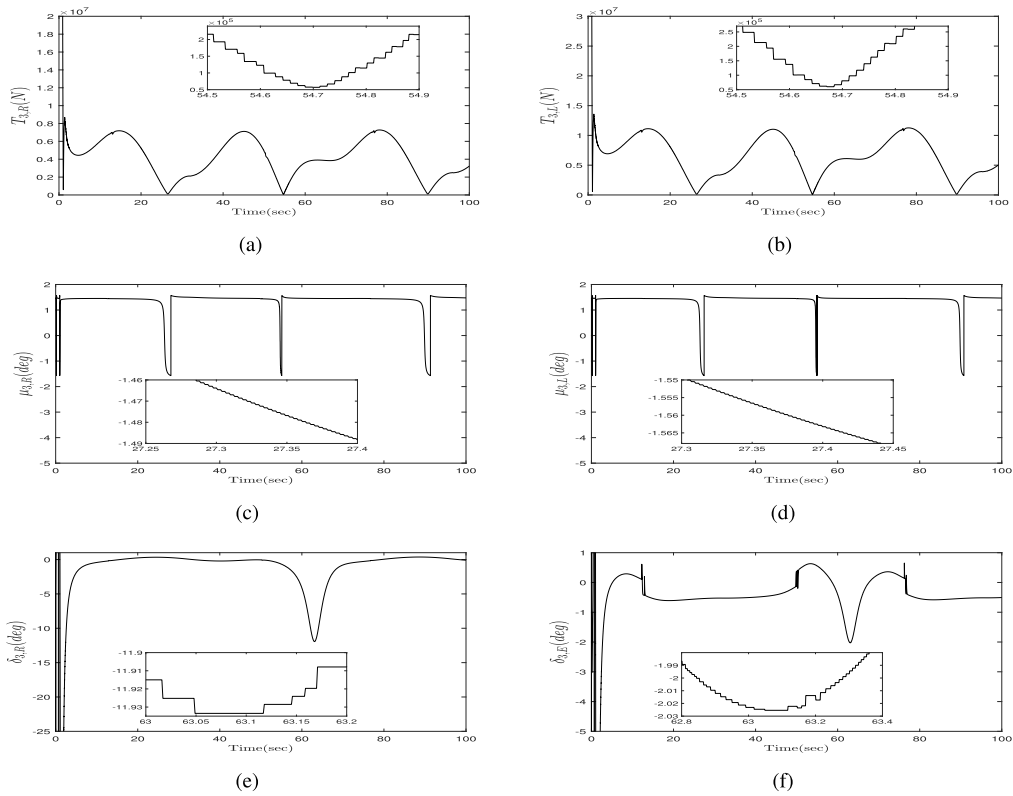


FIGURE 8. Control inputs of  $F_3$  (a)  $T_{3,R}$  (b)  $T_{3,L}$  (c)  $\mu_{3,R}$  (d)  $\mu_{3,L}$  (e)  $\delta_{3,R}$  (f)  $\delta_{3,E}$ .

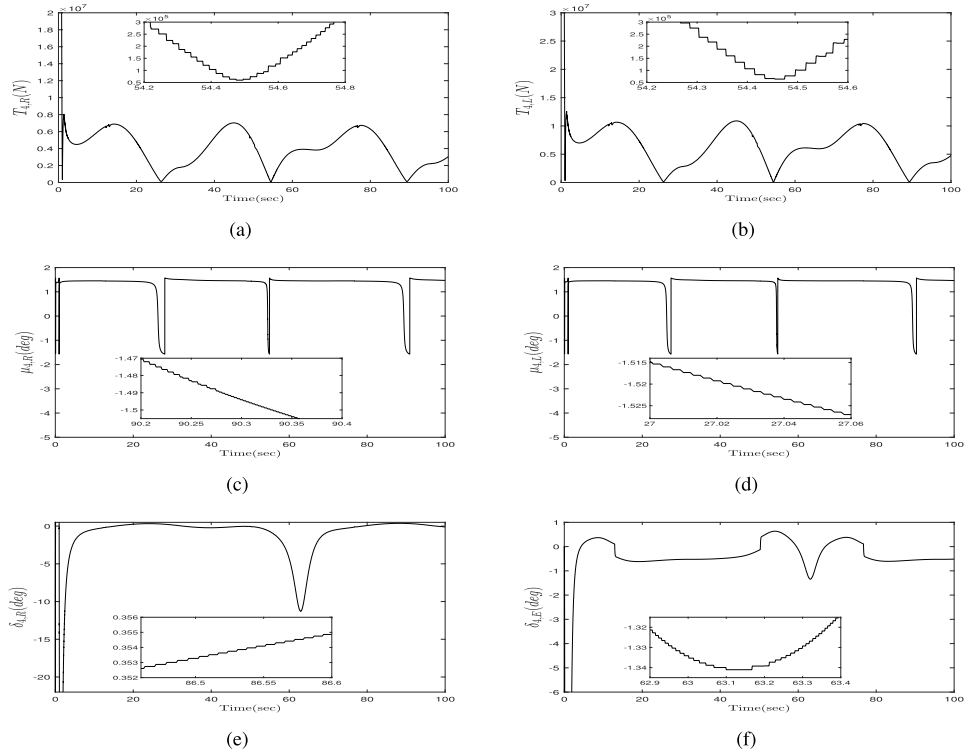
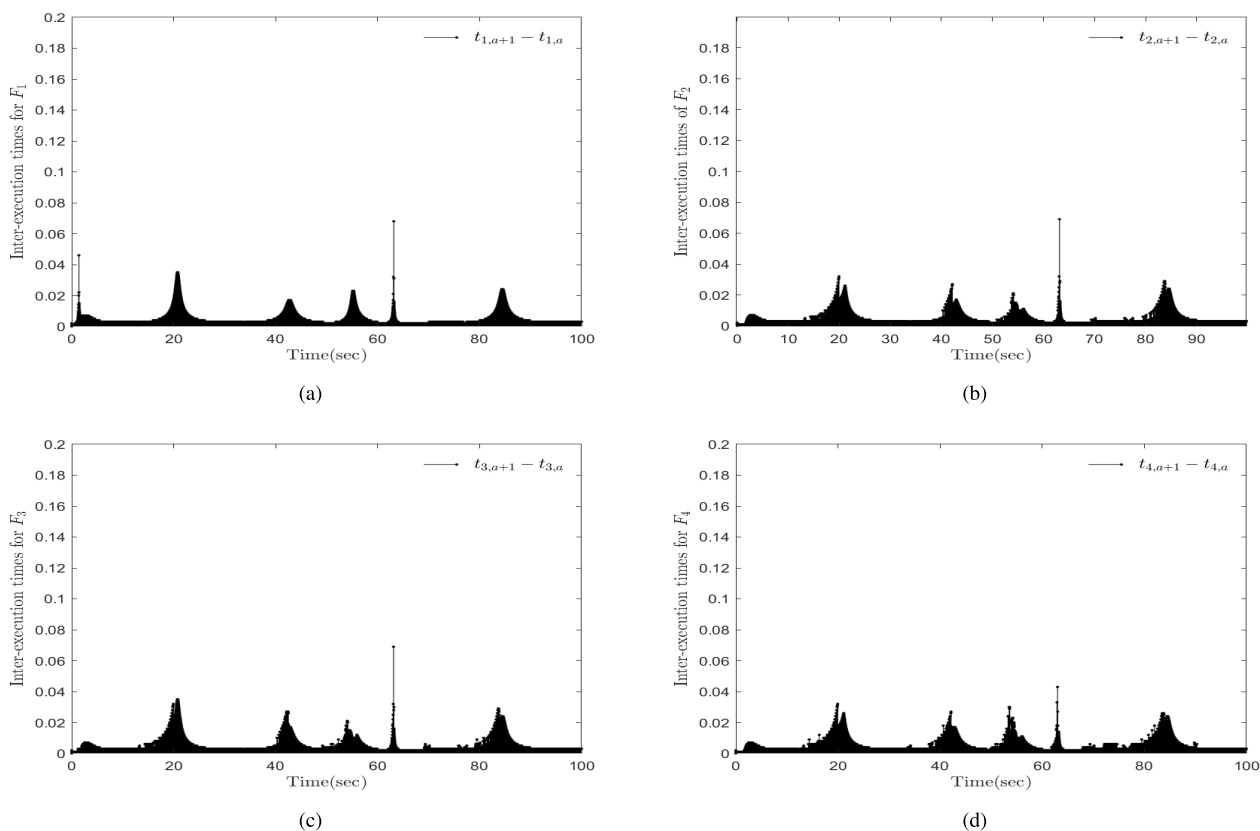


FIGURE 9. Control inputs of  $F_4$  (a)  $T_{4,R}$  (b)  $T_{4,L}$  (c)  $\mu_{4,R}$  (d)  $\mu_{4,L}$  (e)  $\delta_{4,R}$  (f)  $\delta_{4,E}$ .

$\sigma_{i,2} = 1, \iota_i = 1, \xi_i = 10^{-3}, \vartheta_{i,1} = 10^{-2}, \vartheta_{i,2} = 10^{-2}, \lambda_{i,1} = 1, A_{1,1} = A_{2,1} = A_{3,1} = A_{4,1} = 500, A_{1,2} = A_{2,2} = A_{3,2} = A_{4,2} = 1000, u_{i,\omega} = 10, v_{i,\omega} = 10, \text{ and } w_{i,\omega} = 10$  where  $i = 1, \dots, 4$  and  $l = 1, \dots, 6$ .

Fig. 3 shows the formation tracking results in the three-dimensional space. The formation control errors for the positions and attitudes of the airship followers are displayed in Fig. 4 where  $z_{i,1} = [z_{i,1,1}, \dots, z_{i,1,6}]^T$  with  $i = 1, \dots, 4$ .



**FIGURE 10.** Inter-execution times (a) Inter-execution times for  $F_1$  (b) Inter-execution times for  $F_2$  (c) Inter-execution times for  $F_3$  (d) Inter-execution times for  $F_4$ .

These figures reveal that the formation tracking errors quickly converge to a vicinity of zero in less than a few seconds. The outputs of the employed radial basis function neural networks and the event-triggered input signals  $T_{i,R}$ ,  $T_{i,L}$ ,  $\mu_{i,R}$ ,  $\mu_{i,L}$ ,  $\delta_{i,R}$ , and  $\delta_{i,E}$  with  $i = 1, \dots, 4$  are plotted in Figs. 5 and 6–9, respectively. The inter-execution times are displayed in Fig. 10. The total event numbers are 29563 for  $F_1$ , 29044 for  $F_2$ , 28828 for  $F_3$ , and 27349 for  $F_4$ . Thus, only 29.593%, 29.044%, 28.828%, and 27.394% of the total data  $10^5$  sampled during 100 sec are only required to implement the local control laws for the airship followers. From these figures, we can conclude that the distributed formation control of networked uncertain stratospheric airships can be successfully achieved under the proposed event-triggered formation tracking scheme although the system nonlinearities are unknown and local event-triggered control inputs are used.

### V. CONCLUSION

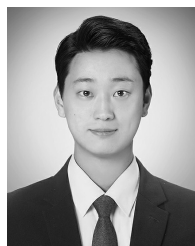
This paper has investigated the distributed event-triggered adaptive control strategy to solve the formation tracking problem of networked uncertain stratospheric airships. The local event-triggered adaptive formation tracker has been designed to obtain the desired formations for both the positions and attitudes of uncertain stratospheric airship followers where

asynchronous event-triggering laws are derived to update local control laws intermittently in time. It has shown that the proposed formation tracking algorithm ensures the stability of the resulting closed-loop system and prevents the Zeno behavior, while dealing effectively with the effects of the unknown heterogeneous nonlinearities of the airship followers. Finally, the effectiveness of the proposed control system has been validated using simulation results. Further studies on the output-feedback formation tracking problem of networked uncertain stratospheric airships can be recommended as future works.

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