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# Analysis of Gong et al.'s CCA2-secure homomorphic encryption



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#### ARTICLE INFO

Article history:
Received 7 January 2016
Received in revised form 5 May 2016
Accepted 10 June 2016
Available online 16 June 2016
Communicated by G. Persiano

Keywords: Additively homomorphic encryption Adaptive chosen ciphertext attack Malleability

#### ABSTRACT

It is a well-known result that homomorphic encryption is not secure against adaptive chosen ciphertext attacks (CCA2) because of its malleable property. Very recently, however, Gong et al. proposed a construction asserted to be a CCA2-secure additively homomorphic encryption (AHE) scheme; in their construction, the adversary is not able to obtain a correct answer when querying the decryption oracle on a ciphertext obtained by modifying the challenge ciphertext (Theoretical Computer Science, 2016). Because their construction is very similar to Paillier's AHE, it appeared to support an additively homomorphic property, though they did not specify an evaluation algorithm for the scheme in their paper.

In this paper, we present a simple CCA2 attack on their construction by re-randomizing the challenge ciphertext. Furthermore, we look into an additively homomorphic property of their construction. To do this, we first consider a typical candidate for an addition algorithm on ciphertexts, as provided for previous AHE constructions, and establish that it does not function correctly. Subsequently, we provide plausible evidence for the hardness of achieving an additively homomorphic property with their construction. According to our analysis, it seems hard to preserve an additively homomorphic property of their construction without any modification.

In addition, as a minor contribution, we point out a flaw in the decryption algorithm of their construction and present a rectified algorithm for correct decryption.

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# 1. Introduction

Because homomorphic encryption allows computations on encrypted data, it has various applications, e.g., secure multiparty computation [1,2], cloud computing [3], and electronic voting [4]. The security of such applications is directly affected by that of the homomorphic encryption employed, which has led to the question of what level of security homomorphic encryption can achieve. There have been several studies [5–7] demonstrating that encryption schemes with supporting homomorphic operations can be secure against non-adaptive chosen ciphertext attacks (CCA1), i.e., lunch time attack. On the other hand, Bellare et al. [8] have demonstrated that no homomorphic encryption scheme can be secure against adaptive chosen ciphertext attacks (CCA2) because of its malleable property.

Very recently, Gong et al. [9] presented quite a surprising result when they proposed a construction asserted to be an additively homomorphic encryption (AHE) scheme secure against CCA2. In seeking to achieve CCA2 security, they con-

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structed an encryption scheme such that a message is located in the exponent to the base  $g^{ab}$ , where g is a generator of the underlying group, a is a fixed integer chosen by the key generation algorithm, and b is a random integer chosen by the encryption algorithm. They maintained that a polynomial-time adversary could not know the exact value of  $g^{ab}$  for the challenge ciphertext and therefore could not generate a suitable ciphertext that contributes to guessing the message corresponding to the challenge ciphertext. Hence, their construction seems secure against CCA2. Furthermore, their construction is very similar to Paillier's AHE scheme [10], so it seems to allow additions on encrypted data, though they did not specify an evaluation algorithm in their paper.

In this paper, however, we present a simple CCA2 attack on their construction. Our attack is designed as follows: Assume that the challenge ciphertext  $\mathcal{C}=(C_1,C_2,C)$  of a hidden message m is given. Then, C has the form  $g^{ab(m+a)}\cdot A$  for a generator g of the underlying group  $\mathbb{G}$ , some element  $A\in\mathbb{G}$ , and integers a and b. The adversary chooses a random integer s ( $\neq 0,1$ ) and computes  $\mathcal{C}^s=(C_1^s,C_2^s,C_2^s)$ . Then,  $C^s$  can be transformed into the form  $g^{abs(m+a)}\cdot A^s$ , and so  $\mathcal{C}^s$  is still a valid ciphertext of the message m. Hence, when the adversary queries the decryption oracle on  $\mathcal{C}^s$ , it returns the message m.

Furthermore, we investigate an additively homomorphic property of their construction. To this end, we first present a typical candidate for an evaluation algorithm on ciphertexts, which is defined by component-wise group operations between ciphertexts. That is, for given ciphertexts  $\hat{C} = (\hat{C}_1, \hat{C}_2, \hat{C})$  and  $\check{C} = (\check{C}_1, \check{C}_2, \check{C})$ , an evaluated ciphertext is defined as  $C = (\hat{C}_1 \cdot \check{C}_1, \hat{C}_2 \cdot \check{C}_2, \hat{C} \cdot \check{C})$ . Then, we establish that this computation does not preserve the additively homomorphic property.

Subsequently, we provide plausible evidence that it is impossible to provide an addition algorithm for Gong et al.'s construction. To this end, we first simplify the problem of providing an addition algorithm for it with Paillier's AHE scheme P.Enc. As a result, we obtain the following problem: Denote a ciphertext of Paillier's scheme of a message m by P.Enc(m). For any hidden integers  $\hat{m}$ ,  $\check{m}$ ,  $\alpha$ , and  $\beta$ , and a fixed hidden value a, when P.Enc( $\alpha$ ), P.Enc( $\alpha$ ), P.Enc( $\alpha$ ), and P.Enc( $\alpha$ ) are given, generate P.Enc( $\alpha$ ) and P.Enc( $\alpha$ ) for some nonzero scalar  $\alpha$ .

By using the additively homomorphic property of Paillier's scheme, we can generate ciphertexts of the form

P.Enc(
$$\alpha X_1(\hat{m} + a) + \alpha X_2 + \beta Y_1(\check{m} + a) + \beta Y_2 + Z$$
)

for some scalars  $X_1$ ,  $X_2$ ,  $Y_1$ ,  $Y_2$ , and Z. In order that the above ciphertext has the form P.Enc( $\gamma(\hat{m} + \check{m} + a)$ ), an evaluation algorithm should find a solution of the following system of equations:

$$\begin{cases} \alpha X_1 = \beta Y_1 \neq 0 \\ a\alpha X_1 + \alpha X_2 + \beta Y_2 + Z = 0. \end{cases}$$

However, because a,  $\alpha$ , and  $\beta$  are hidden values, it is impossible to find a pair  $(X_1, X_2, Y_1, Y_2, Z)$  satisfying the above system of equations except with a negligible probability. Therefore, it seems hard to provide an addition algorithm for Gong et al.'s construction.

As a minor contribution, we also point out a flaw in the decryption algorithm of their original construction and provide a rectified algorithm for correct decryption.

**Organization of the paper.** In Section 2, we provide Paillier's AHE scheme and Gong et al.'s recent construction. In Section 3, we demonstrate that the decryption algorithm of Gong et al.'s construction does not work correctly and provide a corrected version to accomplish the decryption. Section 4 presents our CCA2 attack on Gong et al.'s scheme. Finally, we discuss about an additively homomorphic property of their construction in Section 5.

### 2. Gong et al.'s proposed CCA2-secure additively homomorphic encryption

In this section, we present some basic definitions related to public-key encryption. Then, we introduce Paillier's AHE scheme, which is the key foundation of Gong et al.'s construction and will be utilized in our discussion about an additively homomorphic property of their construction in Section 5. We also provide the description of Gong et al.'s scheme.

# 2.1. Public key encryption and CCA2 security

A public key encryption scheme consists of the following three algorithms:

- KeyGen( $\kappa$ ): This takes a security parameter  $\kappa$  as an input and outputs a public key pk and a secret key sk.
- Enc(pk, m): This takes the public key pk and a message m as inputs and outputs a ciphertext C.
- Dec(sk, C): This takes the secret key sk and a ciphertext C as inputs and outputs a message m'.

We say that a public key encryption scheme is correct if for all messages m and security parameters  $\kappa$ ,

$$Dec(sk, Enc(pk, m)) = m$$
,

where pk and sk are outputs of the algorithm  $KeyGen(\kappa)$ .

The security of a public key encryption scheme is defined by the following game between a challenger and an adversary:

- **Setup:** The challenger obtains the public key pk and the secret key sk by running  $KeyGen(\kappa)$  for the security parameter  $\kappa$  and sends pk to the adversary.
- Phase 1: The adversary generates ciphertexts and sends them as queries to the decryption oracle, which outputs the plaintext message corresponding to the input ciphertext.
- Challenge: The adversary sends two messages  $m_0$  and  $m_1$  of equal length. The challenger randomly selects  $\beta$  from  $\{0,1\}$  and sends the adversary  $C_{\beta}$  obtained by running  $Enc(pk, m_{\beta})$ .
- Phase 2: The adversary generates ciphertexts and sends them as queries to the decryption oracle. Note that he cannot send the challenge ciphertext  $C_{\beta}$  as a query.
- **Guess:** The adversary outputs  $\beta' \in \{0, 1\}$ .

The advantage of the adversary in the above game is defined to be  $|\Pr[\beta = \beta'] - \frac{1}{2}|$ . We say that a public key encryption scheme is CCA2-secure if there is no polynomial-time adversary whose advantage in the above game is non-negligible in the security parameter  $\kappa$ .

#### 2.2. Paillier's additively homomorphic encryption

In 1999, Paillier [10] proposed three public key encryption schemes based on a new assumption, called the Decisional Composite Residuosity (DCR) assumption. These schemes have been widely utilized in various applications because they are very efficient and allow additions on encrypted data.

Here we provide a description of the first scheme among them.

- P.KeyGen( $\kappa$ ): This takes a security parameter  $\kappa$  as an input and performs as follows:
  - 1. Select  $\eta(\kappa)$ -bit random primes p and q, and set n=pq.
  - 2. Compute  $\lambda = \text{lcm}(p-1, q-1)$ .
  - 3. Select an element g of order n in the multiplicative group  $\mathbb{Z}_{n^2}^*$ .
  - 4. Output the public key pk = (n, g) and keep the secret key  $sk = \lambda$  private.
- P.Enc(pk, m): Given the public key pk and a message  $m \in \mathbb{Z}_n$ , this performs as follows:
  - 1. Select a random integer r from  $\mathbb{Z}_n^*$ .
  - 2. Compute  $C = g^m r^n \mod n^2$  and output C.
- P.Dec(sk, C): Given the secret key sk and a ciphertext C, this computes

$$m = \frac{L(C^{\lambda} \bmod n^2)}{L(g^{\lambda} \bmod n^2)} \bmod n,$$

where *L* is a function defined by  $L(y) = \frac{y-1}{n}$  for  $y < n^2$ . Then, it outputs *m*.

# 2.3. Gong et al.'s scheme

Based on Paillier's encryption scheme, Gong et al. [9] proposed a construction asserted to be a CCA2-secure AHE scheme. The description of their construction is as follows:

- G.KeyGen( $\kappa$ ): This takes a security parameter  $\kappa$  as an input and performs as follows:
  - 1. Select  $\eta(\kappa)$ -bit random primes p and q, and set n = pq.
  - 2. Compute  $\lambda = \text{lcm}(p-1, q-1)$ .
  - 3. Compute a nontrivial factor t of  $\lambda$  and  $\lambda/t$ .

  - 4. Select random numbers a, k,  $z_1$ , and  $z_2$  from  $\mathbb{Z}_n^*$ . 5. Compute g = 1 + kn,  $y = g^a \mod n^2$ ,  $y' = z_1^a g^{a^2} \mod n^2$ , and  $y'' = z_1^a z_2^{tn} \mod n^2$ . We note that g has order n in the multiplicative group  $\mathbb{Z}_{n^2}^*$ .
  - 6. Output the public key  $pk = (y, y', y'', z_1, n)$  and keep the secret key  $sk = (a, t, \lambda/t, \lambda)$  private.
- G.Enc(pk, m): Given the public key pk and a message  $m \in \mathbb{Z}_n$ , this performs as follows:
  - 1. Select random numbers r,  $r_1$ , and b from  $\mathbb{Z}_n^*$ .
  - 2. Compute  $B_x = y^b \mod n^2$ ,  $B_x' = (y')^b \mod n^2$ ,  $C_1 = z_1^{b(r+1)} \mod n^2$ ,  $C_2 = y^b r_1^n \mod n^2$ , and  $C = B_x^m B_x' (y'')^{br} \mod n^2$ .
  - 3. Output a ciphertext  $C = (C_1, C_2, C)$ .
- G.Dec(sk, C): Given the secret key sk and a ciphertext C,
  - 1. Parse C as  $(C_1, C_2, C)$ .

# 2. Compute

$$m = \left(\frac{L((C \cdot C_1^{tn-a})^{\lambda/t} \bmod n^2)}{L(C_2^{\lambda} \bmod n^2)} \bmod n\right) - a \bmod n,\tag{1}$$

where L is a function defined by  $L(y) = \frac{y-1}{n}$  for  $y < n^2$ .

# 3. Output *m*.

In an effort to achieve CCA2 security, Gong et al. attempted to prevent the adversary from launching CCA2 by not enabling him to obtain a correct answer when he queries the decryption oracle on a ciphertext obtained by modifying the challenge ciphertext. More precisely, in their construction, a message m is defined in the exponent to the base  $g^{ab}$ , where a is chosen by the key generation algorithm and b is chosen by the encryption algorithm. Here, an independently random b is chosen for each ciphertext, and they claimed that the adversary cannot succeed in CCA2 unless he finds  $g^{ab}$  corresponding to the challenge ciphertext, which is infeasible in polynomial time. However, this feature not only prevents their construction from supporting an additively homomorphic property, but is also insufficient for achieving CCA2 security. We will present our CCA2 attack on their construction in Section 4 and discuss its additively homomorphic property in Section 5.

Before moving on to the next section, we remark on relationships between ciphertexts in Paillier's AHE scheme and those in Gong et al.'s construction to facilitate the reader's understanding. In fact, parts of a ciphertext in Gong et al.'s construction can be interpreted as ciphertexts in Paillier's encryption scheme with the public key pk = (n, g = 1 + kn). For a valid ciphertext  $C = (C_1, C_2, C)$  in Gong et al.'s encryption,

$$C_2 = y^b r_1^n = g^{ab} r_1^n \mod n^2$$
.

Hence,  $C_2$  can be regarded as a ciphertext P.Enc(pk, ab) when  $r_1$  is a random element chosen by Paillier's encryption algorithm. Furthermore,

$$C = B_x^m B_x'(y'')^{br} \mod n^2$$

$$= (y^b)^m (y')^b (y'')^{br} \mod n^2$$

$$= (g^{ab})^m (z_1^a g^{a^2})^b (z_1^a z_2^{tn})^{br} \mod n^2$$

$$= \left(g^{ab(m+a)}(z_2^{brt})^n\right) \cdot z_1^{ab+abr} \mod n^2,$$
(2)

and hence C can be regarded as a multiplication of ciphertext P.Enc(pk, ab(m+a)) and  $z_1^{ab+abr}$ , where  $z_2^{brt}$  is a corresponding random element to generate a ciphertext P.Enc(pk, ab(m+a)). That is,

$$\mathit{C} = \mathsf{P.Enc}(\mathsf{pk}, \mathit{ab}(\mathit{m} + \mathit{a})) \cdot \mathit{z}_1^{\mathit{ab} + \mathit{abr}} \bmod \mathit{n}^2$$

when  $z_2^{brt}$  is a randomly chosen element by the Paillier encryption algorithm. We will use these relationships in Section 5 to look into the impossibility of achieving an additively homomorphic property of Gong et al.'s construction.

# 3. Correction to the decryption algorithm of Gong et al.'s scheme

In this section, we show that the decryption algorithm of Gong et al.'s construction does not function correctly and provide a rectified algorithm for correct decryption. Then, we present a toy example of Gong et al.'s construction with our rectified decryption algorithm.

# 3.1. Our modification of Gong et al.'s scheme for correct decryption

**Incorrect decryption of Gong et al.'s scheme.** We first demonstrate that Gong et al.'s decryption algorithm works incorrectly. Let  $C = (C_1, C_2, C)$  be a valid ciphertext. Then, we can represent the components as

$$C_1 = z_1^{b(r+1)} \mod n^2$$
,  $C_2 = y^b r_1^n \mod n^2$ , and  $C = B_x^m B_x'(y'')^{br} \mod n^2$ ,

$$(C \cdot C_1^{(tn-a)})^{\lambda/t} = \left(B_x^m B_x'(y'')^{br} (z_1^{b(r+1)})^{(tn-a)}\right)^{\lambda/t} \bmod n^2$$

$$= \left((y^b)^m (y')^b (y'')^{br} (z_1^{b(r+1)})^{(tn-a)}\right)^{\lambda/t} \bmod n^2$$

$$= \left((g^{ab})^m (z_1^a g^{a^2})^b (z_1^a z_2^{tn})^{br} (z_1^{b(r+1)})^{(tn-a)}\right)^{\lambda/t} \bmod n^2$$

$$= (g^{ab})^{(m+a)\lambda/t} (z_1^{b(r+1)tn} z_2^{tnbr})^{\lambda/t} \bmod n^2$$

$$= (g^{ab})^{(m+a)\lambda/t} (z_1^{b(r+1)} z_2^{br})^{n\lambda} \bmod n^2$$

$$= (g^{ab})^{(m+a)\lambda/t} \bmod n^2$$

$$= (g^{ab})^{(m+a)\lambda/t} \bmod n^2$$

$$= (1+kn)^{ab(m+a)\lambda/t} \bmod n^2$$

$$= (1+kn)^{ab(m+a)\lambda/t} \bmod n^2$$

$$= (1+kn)^{ab(m+a)\lambda/t} \bmod n^2$$

$$= (3)$$

and

$$C_2^{\lambda} = (y^b r_1^n)^{\lambda} = g^{ab\lambda} = (1 + kn)^{ab\lambda} = 1 + kab\lambda n \bmod n^2.$$

$$\tag{4}$$

We note that the sixth equality in Equation (3) and the second equality in Equation (4) hold because the multiplicative order of each element in  $\mathbb{Z}_{n^2}^*$  is a factor of  $n\lambda$ . Hence,

$$\left(\frac{L((C \cdot C_1^{tn-a})^{\lambda/t} \bmod n^2)}{L(C_2^{\lambda} \bmod n^2)} \bmod n\right) - a \bmod n = \left(\frac{L(1 + kab(m+a)(\lambda/t)n \bmod n^2)}{L(1 + kab\lambda n \bmod n^2)} \bmod n\right) - a \bmod n$$

$$= \left(\frac{kab(m+a)(\lambda/t)}{kab\lambda} \bmod n\right) - a \bmod n$$

$$= t^{-1}(m+a) \bmod n - a \bmod n.$$

Therefore, the decryption algorithm does not return the correct message corresponding to the ciphertext.

**Modification for correct decryption.** We can easily fix the decryption algorithm by multiplying the secret value t and the first term on the right side of Equation (1) as follows: For a ciphertext  $C = (C_1, C_2, C)$ , define a decryption algorithm by

$$G.Dec'(sk, C) = \left(t \cdot \frac{L((C \cdot C_1^{tn-a})^{\lambda/t} \bmod n^2)}{L(C_2^{\lambda} \bmod n^2)} \bmod n\right) - a \bmod n.$$

$$(5)$$

Then, we obtain the correct message corresponding to the ciphertext, because

$$\left(t \cdot \frac{L((C \cdot C_1^{tn-a})^{\lambda/t} \bmod n^2)}{L(C_2^{\lambda} \bmod n^2)} \bmod n\right) - a \bmod n = t(t^{-1}(m+a)) \bmod n - a \bmod n$$

$$= ((m+a) - a) \bmod n$$

$$= m \bmod n.$$

3.2. Toy example of Gong et al.'s scheme with our modification

Now, we provide an example of Gong et al.'s construction with artificially small parameters.

**Key generation.** A user *A* chooses primes p = 113 and q = 71, and computes n = pq = 8023,  $n^2 = 64368529$ , and  $\lambda = \text{lcm}(p - 1, q - 1) = 560$ . *A* selects t = 7 and computes  $\lambda/t = 560/7 = 80$ . Then, *A* chooses a = 4942, k = 3090,  $z_1 = 5391$ , and  $z_2 = 7980$ , and computes

$$g = 1 + kn = 1 + 3090 \cdot 8023 = 24791071,$$
  
 $y = g^a \mod n^2 = 24791071^{4942} \mod 64368529 = 24157254,$   
 $y' = z_1^a g^{a^2} \mod n^2 = 5391^{4942} \cdot 24791071^{4942^2} \mod 64368529 = 3934277,$   
 $y'' = z_1^a z_2^{tn} \mod n^2 = 5391^{4942} \cdot 7890^{7 \cdot 8023} \mod 64368529 = 48494224.$ 

A's public key is the pair  $(y, y', y'', z_1, n) = (24157254, 3934277, 48494224, 5391, 8023)$ , while A's secret key is  $(a, t, \lambda/t, \lambda) = (4942, 7, 80, 560)$ .

**Encryption.** To encrypt a message m = 3513, a user B chooses r = 4163,  $r_1 = 8013$ , and b = 4067, and computes

$$B_x = y^b \mod n^2 = 24157254^{4067} \mod 64368529 = 21172698,$$

$$B_x' = (y')^b \mod n^2 = 3934277^{4067} \mod 64368529 = 11136620,$$

$$C_1 = z_1^{b(r+1)} \mod n^2 = 5391^{4067(4163+1)} \mod 64368529 = 24863970,$$

$$C_2 = y^b r_1^n \mod n^2 = 24157254^{4067} \cdot 8013^{8023} \mod 64368529 = 13207654,$$

$$C = B_x^m B_x' (y'')^{br} \mod n^2 = 21172698^{3513} \cdot 11136620 \cdot 48494224^{4067\cdot4163} \mod 64368529 = 17168130.$$

A ciphertext C is a pair  $(C_1, C_2, C) = (24863970, 13207654, 17168130)$  and B sends C to A.

**Decryption.** To decrypt  $C = (C_1, C_2, C) = (24863970, 13207654, 17168130)$ , A computes

$$\left(t \cdot \frac{L((C \cdot C_1^{tn-a})^{\lambda/t} \bmod n^2)}{L(C_2^{\lambda} \bmod n^2)} \bmod n\right) - a \bmod n$$

$$= \left(7 \cdot \frac{L((17168130 \cdot 24863970^{7 \cdot 8023 - 4942})^{80} \bmod 64368529)}{L(13207654^{560} \bmod 64368529)} \bmod 8023\right) - 4942 \bmod 8023$$

$$= \left(7 \cdot \frac{L(51339178)}{L(12900985)}\right) - 4942 \bmod 8023$$

$$= \left(7 \cdot \frac{6399}{1608} \bmod 8023\right) - 4942 \bmod 8023$$

$$= (7 \cdot 6399 \cdot (1608^{-1} \bmod 8023) - 4942) \bmod 8023$$

$$= (7 \cdot 6399 \cdot 2360 - 4942) \bmod 8023 = 3513.$$

Remark 1. On the other hand, we note that Gong et al.'s original decryption algorithm outputs

$$\left(\frac{L((C \cdot C_1^{tn-a})^{\lambda/t} \mod n^2)}{L(C_2^{\lambda} \mod n^2)} \mod n\right) - a \mod n = 6399 \cdot (1608^{-1} \mod 8023) - 4942 \mod 8023$$

$$= (6399 \cdot 2360 - 4942) \mod 8023 = 5435 \neq 3513$$

for the ciphertext  $C = (C_1, C_2, C) = (24863970, 13207654, 17168130)$ . This illustrates that their decryption algorithm is incorrect.

#### 4. Adaptive chosen ciphertext attack on Gong et al.'s scheme

In this section, we provide our CCA2 attack on Gong et al.'s construction and give an example of our attack with small parameters.

# 4.1. Our CCA2 attack

We present our CCA2 attack on Gong et al.'s construction. Our attack is very straightforward and consists of a simple re-randomization by computing an exponentiation of the challenge ciphertext using an exponent that is a randomly chosen element in a set  $\mathbb{Z}_n\setminus\{0,1\}$ . The result of this re-randomization is still a valid ciphertext of the same message as the challenge ciphertext. Therefore, the adversary can recover the exact message of the challenge ciphertext by querying the decryption oracle on the re-randomized ciphertext.

Let us explain our CCA2 attack more precisely. After the challenge phase of the CCA2 security game in Section 2.1, assume that the adversary receives the challenge ciphertext  $C = (C_1, C_2, C)$  from the challenger. Then, the challenge ciphertext of the message  $m_\beta$  for  $\beta \in \{0, 1\}$  can be represented as

$$C_1 = z_1^{b(r+1)} \bmod n^2, C_2 = y^b r_1^n \bmod n^2, \text{ and } C = (y^b)^{m_\beta} (y')^b (y'')^{br} \bmod n^2, \tag{6}$$

where b, r, and  $r_1$  are integers randomly chosen from  $\mathbb{Z}_n^*$  by the encryption algorithm.

At this point, the adversary randomly selects an element s from  $\mathbb{Z}_n \setminus \{0, 1\}$  and computes  $\mathcal{C}^s := (C_1^s, C_2^s, C^s)$ . Then,

$$C_1^s = z_1^{(bs)(r+1)} \mod n^2$$
,  $C_2^s = y^{(bs)}(r_1^s)^n \mod n^2$ , and  $C^s = (y^{(bs)})^{m_\beta}(y')^{(bs)}(y'')^{(bs)r} \mod n^2$ .

Hence, we can see that  $C^s$  is obtained by substituting b and  $r_1$  with bs and  $r_1^s$  in Equations (6), respectively. Thus,  $C^s$  is also a valid ciphertext of the message  $m_\beta$ , and the adversary can obtain the challenge message  $m_\beta$  by querying the decryption oracle on  $C^s$  in Phase 2 of the security game. Therefore, by our attack, Gong et al.'s construction is not CCA2-secure.

## 4.2. Toy example of our attack

Now, we describe an example of our CCA2 attack against Gong et al.'s construction. For convenience, we exploit the same parameters of Gong et al.'s scheme in Section 3.2. In the CCA2 security game, the adversary performs as follows:

- **Setup**: The challenger generates the public parameter pk =  $(y, y', y'', z_1, n) = (24157254, 3934277, 48494224, 5391, 8023)$  and the secret parameter sk =  $(a, t, \lambda/t, \lambda) = (4942, 7, 80, 560)$ , and passes pk to the adversary. We note that  $n^2 = 64368529$ .
- Phase 1: This step is not necessary for our attack.
- **Challenge**: The adversary sends two messages  $m_0 = 3512$  and  $m_1 = 3513$  to the challenger. We assume that the challenger selects  $m_1 = 3513$  and generates the challenge ciphertext

$$C = (C_1, C_2, C_3) = (24863970, 13207654, 17168130)$$

by selecting r=4163,  $r_1=8013$ , and b=4067 as in Section 3.2. The challenger forwards C to the adversary.

• Phase 2: This phase is a main part of our attack. Once the adversary receives C, he takes s = 2, computes

$$C_1^s = C_1^2 \mod n^2 = 24863970^2 \mod 64368529 = 23819165,$$
  
 $C_2^s = C_2^2 \mod n^2 = 13207654^2 \mod 64368529 = 63430208,$   
 $C_3^s = C_2^s \mod n^2 = 17168130^2 \mod 64368529 = 34772378,$ 

and queries  $C^2 = (C_1^2, C_2^2, C^2)$  to the decryption oracle. Then, the decryption oracle may return 3513 to the adversary, because

$$\left(t \cdot \frac{L(((C^2) \cdot (C_1^2)^{(tn-a)})^{\lambda/t} \mod n^2)}{L((C_2^2)^{\lambda} \mod n^2)} \mod n \right) - a \mod n$$

$$= \left(7 \cdot \frac{L((34772378 \cdot 23819165^{7 \cdot 8023 - 4942})^{80} \mod 64368529)}{L(63430208^{560} \mod 64368529)} \mod 8023\right) - 4942 \mod 8023$$

$$= \left(7 \cdot \frac{L(38309826)}{L(25801969)}\right) - 4942 \mod 8023$$

$$= \left(7 \cdot \frac{4775}{3216} \mod 8023\right) - 4942 \mod 8023$$

$$= (7 \cdot 6399 \cdot (3216^{-1} \mod 8023) - 4942) \mod 8023$$

$$= (7 \cdot 6399 \cdot 1180 - 4942) \mod 8023 = 3513.$$

• Guess: The adversary outputs 1 using the above result.

Because the message of the challenge ciphertext is  $m_1 = 3513$ , our CCA2 attack succeeds.

#### 5. On the additively homomorphic property of Gong et al.'s scheme

In this section, we look into Gong et al.'s assertion of an additively homomorphic property for their scheme. In their original paper [9], the authors did not provide an addition algorithm on ciphertexts. Hence, we first present a typical candidate for an addition algorithm by considering existing AHE schemes defined over multiplicative groups and show that it does not preserve an additively homomorphic property. Thereafter, we provide plausible evidence for the impossibility that their construction preserves an additively homomorphic property.

Throughout this section, we assume that two valid ciphertexts  $\hat{C} = (\hat{C}_1, \hat{C}_2, \hat{C})$  and  $\check{C} = (\check{C}_1, \check{C}_2, \check{C})$  under the same public key are given and that they satisfy the following relationships: Let pk be  $(y, y', y'', z_1, n)$ , where g = 1 + kn,  $y = g^a \mod n^2$ ,  $y' = z_1^a g^{a^2} \mod n^2$ , and  $y'' = z_1^a z_2^{tn} \mod n^2$  for integers  $a, k, z_1, z_2 \in \mathbb{Z}_n^*$ . Then, there exist  $\hat{b}$ ,  $\check{b}$ ,  $\hat{r}$ ,  $\check{r}$ ,  $\hat{r}$ , and  $\check{r}$ 1 in  $\mathbb{Z}_n^*$  such that

$$\hat{C}_1 = z_1^{\hat{b}(\hat{r}+1)} \bmod n^2, \hat{C}_2 = y^{\hat{b}} \hat{r}_1^n \bmod n^2, \hat{C} = (y^{\hat{b}})^{\hat{m}} (y')^{\hat{b}} (y'')^{\hat{b}\hat{r}} \bmod n^2$$

and

$$\check{C}_1 = z_1^{\check{b}(\check{r}+1)} \bmod n^2, \, \check{C}_2 = y^{\check{b}} \check{r}_1^n \bmod n^2, \, \check{C} = (y^{\check{b}})^{\check{m}} (y')^{\check{b}} (y'')^{\check{b}\check{r}} \bmod n^2.$$

**Typical candidate for an addition algorithm.** A typical candidate for an addition algorithm on ciphertexts of AHE defined over multiplicative groups is to multiply ciphertexts component-wise. Let us define  $C = (C_1, C_2, C)$  as a component-wise multiplication between two ciphertexts  $\hat{C}$  and  $\check{C}$ . That is,

$$C_1 = \hat{C}_1 \cdot \check{C}_1$$
,  $C_2 = \hat{C}_2 \cdot \check{C}_2$ , and  $C = \hat{C} \cdot \check{C}$ .

Then.

$$\begin{split} C \cdot C_1^{tn-a} &= (\hat{C} \cdot \check{C}) \cdot (\hat{C}_1 \cdot \check{C}_1)^{tn-a} \bmod n^2 \\ &= \left( (y^{\hat{b}\hat{m} + \check{b}\check{m}}) (y')^{\hat{b} + \check{b}} (y'')^{\hat{b}\hat{r} + \check{b}\check{r}} \right) \cdot \left( z_1^{\hat{b}(\hat{r} + 1) + \check{b}(\check{r} + 1)} \right)^{tn-a} \bmod n^2 \\ &= \left( (g^{a(\hat{b}\hat{m} + \check{b}\check{m})}) (z_1^a g^{a^2})^{\hat{b} + \check{b}} (z_1^a z_2^{tn})^{\hat{b}\hat{r} + \check{b}\check{r}} \right) \cdot \left( z_1^{\hat{b}(\hat{r} + 1) + \check{b}(\check{r} + 1)} \right)^{tn-a} \bmod n^2 \\ &= \left( (g^{a(\hat{b}\hat{m} + \check{b}\check{m})}) \cdot g^{a^2(\hat{b} + \check{b})} \right) \cdot \left( z_1^{\hat{b}(\hat{r} + 1) + \check{b}(\check{r} + 1)} z_2^{\hat{b}\hat{r} + \check{b}\check{r}} \right)^{tn} \bmod n^2 \\ &= \left( g^{a(\hat{b}\hat{m} + \check{b}\check{m}) + a^2(\hat{b} + \check{b})} \right) \cdot \left( z_1^{\hat{b}(\hat{r} + 1) + \check{b}(\check{r} + 1)} z_2^{\hat{b}\hat{r} + \check{b}\check{r}} \right)^{tn} \bmod n^2 \end{split}$$

and hence

$$\begin{split} L((C\cdot C_1^{tn-a})^{\lambda/t} \bmod n^2) &= L(((1+kn)^{a(\hat{b}\hat{m}+\check{b}\check{m})+a^2(\hat{b}+\check{b})})^{\lambda/t} \bmod n^2) \\ &= \frac{1+k(a(\hat{b}\hat{m}+\check{b}\check{m})+a^2(\hat{b}+\check{b}))(\lambda/t)n-1}{n} \\ &= k\left(a(\hat{b}\hat{m}+\check{b}\check{m})+a^2(\hat{b}+\check{b})\right)(\lambda/t) \bmod n. \end{split}$$

The first equality in the above equation holds because the multiplicative order of each element in  $\mathbb{Z}_{n^2}^*$  is a factor of  $n\lambda$ . Moreover, the following holds:

$$L(C_2^{\lambda} \bmod n^2) = L(((y^{\hat{b}})\hat{r}_1^n(y^{\check{b}})\check{r}_1^n)^{\lambda} \bmod n^2)$$

$$= L(((y)^{\hat{b}+\check{b}}(\hat{r}_1\check{r}_1)^n)^{\lambda} \bmod n^2)$$

$$= L(((g)^{a(\hat{b}+\check{b})}(\hat{r}_1\check{r}_1)^n)^{\lambda} \bmod n^2)$$

$$= L((1+kn)^{(a(\hat{b}+\check{b}))\lambda} \bmod n^2)$$

$$= \frac{1+ka(\hat{b}+\check{b})\lambda n-1}{n}$$

$$= ka(\hat{b}+\check{b})\lambda \bmod n$$

Therefore, our modified decryption algorithm in Equation (5) outputs  $\frac{\hat{b}\hat{m} + \check{b}\check{m}}{\hat{b} + \check{b}} \mod n$ , not  $\hat{m} + \check{m} \mod n$ , by the following computation:

$$\begin{aligned} \mathsf{G.Dec'}(\mathsf{sk},\mathcal{C}) &= \left( t \frac{L((C \cdot C_1^{tn-a})^{\lambda/t} \bmod n^2)}{L(C_2^{\lambda} \bmod n^2)} \bmod n \right) - a \bmod n \\ &= t \frac{k \left( a(\hat{b}\hat{m} + \check{b}\check{m}) + a^2(\hat{b} + \check{b}) \right) (\lambda/t)}{ka(\hat{b} + \check{b})\lambda} \bmod n - a \bmod n \\ &= \frac{\hat{b}\hat{m} + \check{b}\check{m}}{\hat{b} + \check{b}} \bmod n. \end{aligned}$$

Here, the receiver who decrypts ciphertexts cannot know a meaningful relation between  $\hat{b}$  and  $\check{b}$ , because they are randomly chosen by the encryption algorithm. Hence, the receiver cannot recover  $\hat{m} + \check{m} \mod n$  from  $\frac{\hat{b}\hat{m} + \check{b}\check{m}}{\hat{b} + \check{b}} \mod n$ . Therefore, an additively homomorphic property of Gong et al.'s construction cannot be preserved by using this typical candidate.

**Discussion on the impossibility of preserving additively homomorphic property in Gong et al.'s scheme.** Now, we provide plausible evidence for the impossibility of providing an addition algorithm for Gong et al.'s construction. To do this, we will first simplify the problem of providing an addition algorithm for their construction by replacing their ciphertexts with Paillier's and then examine the hardness of this simplified problem.

As seen in Section 2.3, parts of the ciphertexts in Gong et al.'s scheme can be regarded as ciphertexts in the Paillier encryption. Hence, we can replace  $\hat{\mathcal{C}} = (\hat{C}_1, \hat{C}_2, \hat{C})$  and  $\check{\mathcal{C}} = (\check{C}_1, \check{C}_2, \check{C})$  with

$$(\hat{C}_1, P.\mathsf{Enc}(\mathsf{pk}, a\hat{b}), P.\mathsf{Enc}(\mathsf{pk}, a\hat{b}(\hat{m} + a)) \cdot z_1^{a\hat{b} + a\hat{b}\hat{r}} \bmod n^2)$$
 (8)

and

$$(\check{C}_1, \mathsf{P.Enc}(\mathsf{pk}, a\check{b}), \mathsf{P.Enc}(\mathsf{pk}, a\check{b}(\check{m} + a)) \cdot z_1^{a\check{b} + a\check{b}\check{r}} \bmod n^2),$$
 (9)

respectively, where pk is (n, g = 1 + kn). Here, the role of  $C_1$  in a ciphertext  $C = (C_1, C_2, C)$ , where  $C = \left(g^{ab(m+a)}(z_2^{brt})^n\right) \cdot z_1^{ab+abr} \mod n^2$  in Equation (2), is to remove  $z_1^{ab+abr}$  from the value of C for correct decryption. Hence, we can regard  $C_1$  as having no effect on the message in the case of a valid ciphertext, and we may ignore the  $C_1$  component and  $C_1^{ab+abr}$  in the C component for constructing an evaluation algorithm.

Since an evaluation algorithm should take only public parameters and ciphertexts as inputs, by replacing  $a\hat{b}$  and  $a\check{b}$  with  $\alpha$  and  $\beta$  in Equations (8) and (9), respectively, we can define the problem of providing an addition algorithm for Gong et al.'s construction as follows: Let P.Enc be Paillier's encryption algorithm. For any hidden  $\hat{m}$ ,  $\check{m}$ ,  $\alpha$ ,  $\beta \in \mathbb{Z}_n$  and a fixed value  $a \in \mathbb{Z}_n^*$ , when P.Enc(pk,  $\alpha$ ), P.Enc(pk,  $\beta$ ), P.Enc(pk,  $\alpha$ ), and P.Enc(pk,  $\beta$ ) are given, generate P.Enc(pk,  $\gamma$ ) and P.Enc(pk,  $\gamma$ ) for some nonzero integer  $\gamma$ .

Because Paillier's encryption supports only an additively homomorphic property, a solver of the above problem allows only scalar multiplications and additions on ciphertexts. Hence, he can only obtain ciphertexts of the form

P.Enc(pk, 
$$\alpha X_1(\hat{m} + a) + \alpha X_2 + \beta Y_1(\check{m} + a) + \beta Y_2 + Z)$$
  
= P.Enc(pk,  $\alpha X_1(\hat{m} + a) + \beta Y_1(\check{m} + a) + \alpha X_2 + \beta Y_2 + Z)$  (10)

by computing

$$\mathsf{P.Enc}(\mathsf{pk},\alpha(\hat{m}+a))^{X_1} \times \mathsf{P.Enc}(\mathsf{pk},\alpha)^{X_2} \times \mathsf{P.Enc}(\mathsf{pk},\beta(\check{m}+a))^{Y_1} \times \mathsf{P.Enc}(\mathsf{pk},\beta)^{Y_2} \times \mathsf{P.Enc}(\mathsf{pk},Z)$$

for some scalars  $X_1, X_2, Y_1, Y_2$ , and Z.

To generate a ciphertext of the form P.Enc(pk,  $\gamma(\hat{m} + \check{m} + a)$ ) from ciphertexts of the form (10) for any  $\hat{m}$  and  $\check{m}$ , a tuple of scalars  $(X_1, X_2, Y_1, Y_2, Z)$  should be a solution of the following system of equations:

$$\begin{cases} \alpha X_1 = \beta Y_1 = \gamma \neq 0 \mod n\lambda \\ a\alpha X_1 + \alpha X_2 + \beta Y_2 + Z = 0 \mod n\lambda \end{cases}$$
(11)

where n is a part of the public key and  $\lambda$  is a part of the secret key. This is so because if the above is satisfied, then the following holds for any  $\hat{m}$  and  $\check{m}$ :

(10) = P.Enc(pk, 
$$\alpha X_1(\hat{m} + a) + \beta Y_1(\check{m} + a) + \alpha X_2 + \beta Y_2 + Z)$$
  
= P.Enc( $\alpha X_1(\hat{m} + \check{m} + a) + a\alpha X_1 + \alpha X_2 + \beta Y_2 + Z)$   
= P.Enc( $\gamma (\hat{m} + \check{m} + a)$ ).

However, it is infeasible to solve the above system of equations because a,  $\alpha$ , and  $\beta$  are hidden. Therefore, it seems hard to provide an addition algorithm for Gong et al.'s construction without any modification.

On simple modification using a fixed b. One may think that Gong et al.'s scheme can preserve an additively homomorphic property in the case where  $\hat{b} = \check{b}$  because the decryption algorithm with input given in Equation (7) outputs  $\frac{\hat{b}\hat{m} + \check{b}\check{m}}{\hat{b} + \check{b}}$  mod

n and it is equal to  $\frac{\hat{m} + \check{m}}{2} \mod n$  when  $\hat{b} = \check{b}$ . Hence, one may attempt to modify their scheme so that an encryption algorithm utilizes a fixed b (and so  $\hat{b} = \check{b}$  always holds) by including it into the public key in the key generation algorithm.

Now, we discuss an additively homomorphic property of the modified scheme with a fixed b. First, consider the problem of providing an addition algorithm to Gong et al.'s scheme. We have to find a solution of the system of equations in (11). If b is fixed, then  $\alpha = \beta = ab$ . Hence, the system can be transformed into

$$\begin{cases} X_1 = Y_1 = \gamma \neq 0 \mod n\lambda \\ aX_1 + X_2 + Y_2 + ((ab)^{-1} \mod n\lambda)Z = 0 \mod n\lambda \end{cases}$$

where n is a part of the public key and  $\lambda$  is a part of the secret key. However, it is still infeasible to find a solution of the above system, because a, being a part of the secret key, is unknown. Therefore, it seems hard to provide a proper evaluation algorithm without some additional modification, even after fixing b in their encryption algorithm.

Next, we attempt to modify Gong et al.'s scheme further to provide a proper addition algorithm. Consider a typical candidate for an evaluation algorithm and assume that three ciphertexts  $\hat{\mathcal{C}}_1 = (\hat{C}_1, \hat{C}_2, \hat{C}), \check{\mathcal{C}} = (\check{C}_1, \check{C}_2, \check{C}), \text{ and } \bar{\mathcal{C}} = (\bar{C}_1, \bar{C}_2, \bar{C})$  for messages  $\hat{m}, \check{m}$ , and  $\bar{m}$ , respectively, are given. Let  $\mathcal{C}$  be a result of the typical evaluation algorithm for three ciphertexts, i.e.,  $\mathcal{C} = (C_1, C_2, C) = (\hat{C}_1 \cdot \check{C}_1 \cdot \bar{C}_1, \hat{C}_2 \cdot \check{C}_2 \cdot \bar{C}_2, \hat{C} \cdot \check{C} \cdot \bar{C})$ . Then, the decryption algorithm, on input  $\mathcal{C}$ , outputs  $\frac{\hat{m} + \check{m} + \bar{m}}{3} \mod n$ , since

$$\begin{aligned} \mathsf{G.Dec'}(\mathsf{sk},\mathcal{C}) &= \left(t \frac{L((C \cdot C_1^{tn-a})^{\lambda/t} \bmod n^2)}{L(C_2^{\lambda} \bmod n^2)} \bmod n\right) - a \bmod n \\ &= t \frac{k \left(ab(\hat{m} + \check{m} + \bar{m}) + 3a^2b\right)(\lambda/t)}{3kab\lambda} \bmod n - a \bmod n \\ &= \frac{\hat{m} + \check{m} + \bar{m}}{3} \bmod n. \end{aligned}$$

More generally, let  $C_i$  be a ciphertext of a message  $m_i$  for  $1 \le i \le \ell$  and let C be the outcome of additions of all  $\ell$  ciphertexts  $C_i$ 's. Then, the decryption algorithm, on input C, outputs  $\frac{\sum_{i=1}^{\ell} m_i}{\ell} \mod n$ . Hence, we need to multiply by  $\ell$  to decrypt correctly. Thus, we need to know  $\ell$  to perform decryption correctly.

Considering the above facts, we modify Gong et al.'s construction. We first modify the encryption algorithm so that it outputs  $(C, \ell)$  with  $\ell = 1$  in this case. Define an addition algorithm by

$$\mathsf{Add}((\mathcal{C}_1, \ell_1), (\mathcal{C}_2, \ell_2)) = (\mathcal{C}_1 \cdot \mathcal{C}_2, \ell_1 + \ell_2),$$

where  $C_1 \cdot C_2$  is the ciphertext obtained by a component-wise multiplication between ciphertexts  $C_1$  and  $C_2$ . We modify the decryption algorithm as follows:

$$G.Dec''(sk, (C, \ell)) = \ell \cdot G.Dec'(sk, C) \mod n.$$

Then, we obtain an additively homomorphic encryption scheme.

It appears that the modified scheme is secure against chosen plaintext attacks under the DCR assumption, where the adversary is not allowed access to the decryption oracle in chosen plaintext attacks. However, further detailed analysis does not seem necessary since even though we may obtain a proper modification that preserves an additively homomorphic property as above, it has no advantage over Paillier's AHE schemes in terms of both computational efficiency and parameter sizes. Paillier's first scheme, for example, requires 2 exponentiations for both encryption and decryption and its ciphertext consists of one element in  $\mathbb{Z}_{n^2}$ . On the other hand, the above modification requires 6 and 3 exponentiations for encryption and decryption, respectively, and its ciphertext consists of 3 elements in  $\mathbb{Z}_{n^2}$  and one (small) integer.<sup>1</sup> In addition, the achievable security level and the assumption needed are the same as those in Paillier's AHE scheme. In conclusion, it may be difficult to exploit it as an alternative to Paillier's scheme.

#### 6. Conclusion

Very recently, Gong et al. proposed a construction asserted to be a CCA2-secure AHE scheme [9]. In this paper, we first identified that their decryption algorithm does not function correctly and provided the rectified algorithm for correct decryption. Subsequently, we provided a simple CCA2 attack on their construction by re-randomizing the challenge ciphertext with a randomly chosen exponent in  $\mathbb{Z}_n\setminus\{0,1\}$ . We also pointed out that their construction seems hard to support an additively homomorphic property by considering a typical candidate for an addition algorithm and providing plausible evidence for achieving an additively homomorphic property with their construction. As a result, we conclude that their construction is in fact not a CCA2-secure homomorphic encryption scheme.

#### Acknowledgements

The authors would like to thank the anonymous reviewers for their helpful comments. This work was supported by Research Grant TL-9014101684-01 and the Singapore Ministry of Education under Research Grant MOE2013-T2-1-041. Huaxiong Wang was also supported by NTU under Tier 1 grant RG143/14.

<sup>&</sup>lt;sup>1</sup> In fact, we can further improve the efficiency of the modification by removing redundant and repeated parts from the current version, but they do not bring any advantage over Paillier's scheme. Hence, we do not consider the details in this paper.

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