

## ORIGINAL ARTICLE

Upregulation of the microRNA cluster at the *Dlk1-Dio3* locus in lung adenocarcinomaPN Valdmanis<sup>1,2</sup>, B Roy-Chaudhuri<sup>1,2</sup>, HK Kim<sup>1,2</sup>, LC Sayles<sup>3</sup>, Y Zheng<sup>3</sup>, C-H Chuang<sup>2,4,5</sup>, DR Caswell<sup>2,4,5</sup>, K Chu<sup>1,2</sup>, Y Zhang<sup>1,2</sup>, MM Winslow<sup>2,4,5</sup>, EA Sweet-Cordero<sup>1,3,5</sup> and MA Kay<sup>1,2</sup>

Mice in which lung epithelial cells can be induced to express an oncogenic *Kras*<sup>G12D</sup> develop lung adenocarcinomas in a manner analogous to humans. A myriad of genetic changes accompany lung adenocarcinomas, many of which are poorly understood. To get a comprehensive understanding of both the transcriptional and post-transcriptional changes that accompany lung adenocarcinomas, we took an omics approach in profiling both the coding genes and the non-coding small RNAs in an induced mouse model of lung adenocarcinoma. RNAseq transcriptome analysis of *Kras*<sup>G12D</sup> tumors from F1 hybrid mice revealed features specific to tumor samples. This includes the repression of a network of GTPase-related genes (*Prkg1*, *Gnao1* and *Rgs9*) in tumor samples and an enrichment of Apobec1-mediated cytosine to uridine RNA editing. Furthermore, analysis of known single-nucleotide polymorphisms revealed not only a change in expression of *Cd22* but also that its expression became allele specific in tumors. The most salient finding, however, came from small RNA sequencing of the tumor samples, which revealed that a cluster of ~53 microRNAs and mRNAs at the *Dlk1-Dio3* locus on mouse chromosome 12qF1 was markedly and consistently increased in tumors. Activation of this locus occurred specifically in sorted tumor-originating cancer cells. Interestingly, the 12qF1 RNAs were repressed in cultured *Kras*<sup>G12D</sup> tumor cells but reactivated when transplanted *in vivo*. These microRNAs have been implicated in stem cell pluripotency and proteins targeted by these microRNAs are involved in key pathways in cancer as well as embryogenesis. Taken together, our results strongly imply that these microRNAs represent key targets in unraveling the mechanism of lung oncogenesis.

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**Keywords:** lung adenocarcinoma; NSCLC; RNAseq; microRNAs

## INTRODUCTION

Lung cancer is the leading cause of cancer-related mortality in both men and women worldwide. Lung cancer subtypes include small cell lung cancer (SCLC) and non-SCLC, which is subdivided into adenocarcinoma, squamous cell carcinoma and large cell carcinoma. Adenocarcinomas represent approximately 30% of all lung tumors. Each of these subtypes have different prognosis, disease signature and risk factors (for example, smoking).

The role that KRAS has during development, organ homeostasis and in the development of lung cancer has been extensively studied, leading to an understanding of its role in uncontrolled tumor growth, angiogenesis and inhibition of apoptosis.<sup>1</sup> KRAS is a GTP-binding protein that is localized to the inner face of the plasma membrane. Guanine nucleotide exchange factors activate KRAS and enable cell growth and survival through downstream signaling pathways.<sup>2,3</sup> KRAS is inactivated by GTPase activating proteins; however, many point mutations identified in *KRAS* prevent this GTP hydrolysis and thus maintain a constitutively active KRAS.<sup>4</sup> *KRAS* mutations are very frequent in lung adenocarcinoma, occurring in approximately 25% of tumors.<sup>5,6</sup> Among *KRAS* mutations, variants at amino acid 12 represent 90% of the cases and a mouse bearing a conditional *Kras*<sup>G12D</sup> mutation has been generated to study the effects of this mutation on cancer initiation.<sup>5,7,8</sup>

The decreased cost of sequencing technologies has sparked an interest in identifying the genetic changes that occur during tumor progression. Targeted re-sequencing<sup>9</sup> in addition to whole exome and whole transcriptome studies<sup>10,11</sup> of tumor biopsies have provided several new candidate mutations. The analysis of the effect of additional somatic mutations and gene expression changes in mouse models of human tumors have complemented existing mutation data and provide a genetic framework for understanding tumor development. In addition, genomic sequencing of several mouse strains has revealed several coding single-nucleotide polymorphism (SNPs) that can be used to identify parent of origin expression from F1 offspring from hybrid mouse strain crosses. Large-scale non-coding RNA profiling studies have also identified microRNAs involved in oncogenesis including the miR-17 to miR-92 cluster of six microRNAs that are upregulated in B-cell lymphoma and SCLC<sup>12,13</sup> and the common microRNA, let-7, has been shown to regulate the 3'UTR of *Kras*.<sup>14</sup> These previous studies have been insightful in understanding tumor development in lung adenocarcinoma, despite of focus solely on either coding or non-coding RNA populations.

We used an integrative omics approach to identify transcriptional changes in a defined mouse model of lung adenocarcinoma. Sequencing was performed of bulk tumors, which reflect a

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mixed population of both tumor cells and infiltrating stromal cells; notable genetic changes could then be validated in purified sorted tumor cells. This approach revealed dysregulation of a complex stem cell-associated microRNA locus in lung adenocarcinoma.

**RESULTS**

Gene expression analysis indicates that a subset of genes are up and downregulated specifically in lung tumors

We performed a high-throughput RNA sequencing analysis of the small and large RNA populations from three wild-type lungs and three *Kras*<sup>G12D</sup>-driven lung adenocarcinomas. Two of these sets were derived from the offspring of an F1 between 129S4 and Molf/EiJ parents and one set from 129S4 homozygous parents (Table 1).

Differential expression analysis yielded ~450 significantly upregulated and twice as many downregulated genes in tumor versus normal lung samples (Figure 1a, Supplementary Table 1), distributed more or less evenly across the genome (Supplementary Figure 1). Genes significantly up in tumors include

the *Ros1* proto-oncogene (Supplementary Figure 2a) and *Clec4n*, both previously implicated in *Kras* transcriptomics and lung cancer.<sup>15,16</sup> The top three genes decreased in tumors are the cGMP-dependent protein kinase *Prkg1*, the guanine nucleotide-binding protein alpha (*Gnao1*) and the regulator of G-protein signaling 9 (*Rgs9*). These genes and *Kras* thus potentially are directly related or at least perform similar function as all are G-protein signal proteins. The *Kras* gene itself had similar levels of expression between tumors (*P*-value = 0.545; Supplementary Figure 2b).

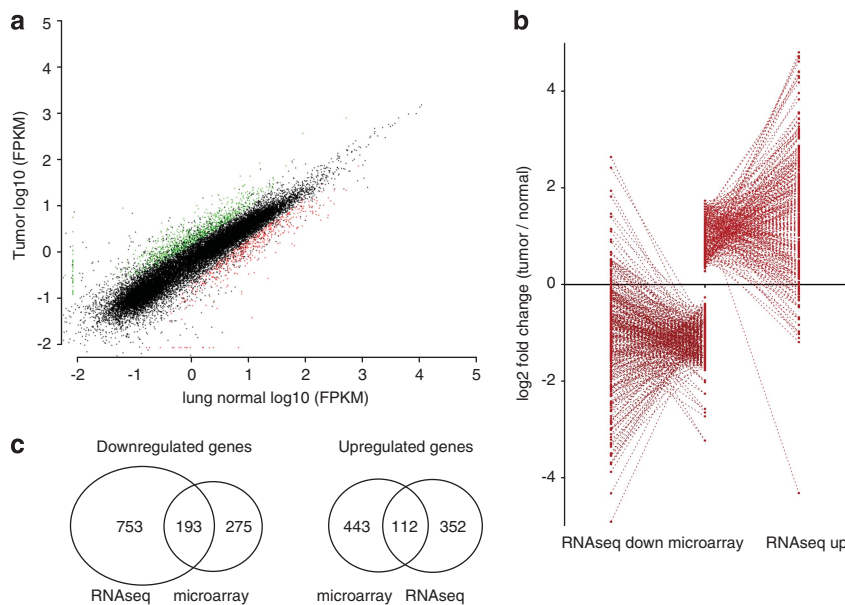
Ingenuity pathway analysis of the most significantly differentially expressed genes provided broad categories of nucleic acid metabolism, embryonic/organ development and cell signaling and cancer that were enriched in differentially regulated genes in the tumor (Supplementary Figures 3a and b). In addition, pathway analysis revealed that direct and indirect connections could be established between the *Kras* gene, the *p53* gene and the three most differentially downregulated G-protein-associated genes *Prkg1*, *Rgs9* and *Gnao1* (Supplementary Figure 3c).

RNA expression profiles have been evaluated previously in similar *Kras*<sup>G12D</sup>-driven lung tumors by microarray analysis

**Table 1.** Profile of samples subject to RNA sequencing

	Tumor 1	Tumor 2	Tumor 3	Normal 1	Normal 2	Normal 3
Maternal strain	MOLF/EiJ	MOLF/EiJ	129S4	MOLF/EiJ	MOLF/EiJ	129S4
Paternal strain	129S4	129S4	129S4	129S4	129S4	129S4
<i>Kras</i>	G12D	G12D	G12D	Wild type	G12D <sup>a</sup>	Wild type
Cre-addition	Yes	Yes	Yes	Yes	No	No
p53 status	Wild type	Wild type	Inactive (flox/flox)	Wild type	Wild type	Wild type
Sequence reads	165 569 498	149 208 283	164 380 208	171 553 662	171 393 687	180 671 287
% Mapped reads	87.17	84.62	86.01	80.76	84.14	81.45

<sup>a</sup>Mutation is in inactive state until Cre recombinase is expressed.



**Figure 1.** Expression profile of aligned RNA sequences defines tumor versus normal differentially expressed sequences. (a) A scatterplot of mean fragments per kilobase of exon per million fragments mapped (FPKM) values from all genes with a minimum value of 0.01 (*N* = 21 110). Genes significantly enriched in tumor samples are in green while those significantly down in tumor are red. Overall correlation between samples had an *R*<sup>2</sup> value of 0.90782. (b) Gene expression profiling is comparable with microarray data from lung adenocarcinoma tumors.<sup>17</sup> Significant values from the microarray data set are plotted in the middle column. For genes significantly up in this data set, the corresponding RNAseq log<sub>2</sub> fold change was plotted to the right most column. For genes significantly down in microarrays, the corresponding RNAseq values were plotted in the left most column. (c) Venn diagram of overlapping genes in this data set versus microarray examples.

that revealed 657 significantly differentially expressed genes.<sup>17</sup> The corresponding fold-changes of these genes in our RNAseq data were quite similar (Pearson  $r$  of 0.631;  $P < 0.001$ ; Figure 1b) and several genes were considered significantly differentially expressed (112 up and 193 down) in each data set (Figure 1c).

Somatic mutations accumulate at similar frequencies in normal lung and tumor samples

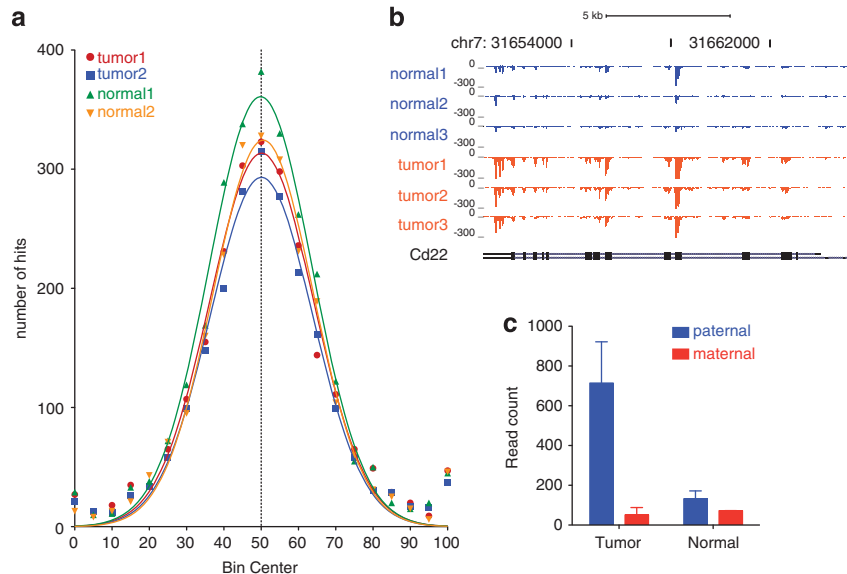
The extensive coverage afforded by high-throughput sequencing enabled us to identify 18, 34 and 23 nonsynonymous variants in the three tumor samples and 44, 40 and 80 variants in the three control lung samples (Supplementary Table 2). Genes with nonsynonymous variants from lung adenocarcinoma samples were not more frequently present in the Cosmic database<sup>18</sup> than the corresponding normal lung sample genes. Thus, in this model, *Kras* mutations do not appear to act together with multiple commonly mutated genes in lung or all cancers (Table 2).

Analysis of known SNPs between MOLF and 129S4 mice identifies allele-specific expression and potential areas of loss of heterozygosity

A total of 8065 coding variants in 4234 unique genes differentiate MOLF and 129S4 mice. This enabled analysis of allele-specific expression of certain genes in addition to locations of loss of heterozygosity. Binning of SNPs based on their percent maternal expression did not show broad differences of parent-of-origin expression between tumor and normal lung samples (Figure 2a, Supplementary Figure 4). However, we could use the SNP information to identify individual genes with a biased allele-specific expression (Supplementary Table 3). *Cd22* had high levels in tumor samples (fragments per kilobase of exon per million fragments mapped (FPMK) of 10.21 in tumor and 0.32 in normal lung;  $P = 0.0001$ , Figure 2b). Surprisingly however, this expression largely or exclusively came from only the paternal allele while wild-type samples had bi-allelic expression (two-way analysis of variance  $P$ -value of 0.028; Figure 2c). This primarily mono-allelic expression has been observed previously as a mechanism to

**Table 2.** mRNA variant identification between samples

	<i>Tumor 1</i>	<i>Tumor 2</i>	<i>Tumor 3</i>	<i>Normal 1</i>	<i>Normal 2</i>	<i>Normal 3</i>
GATK total variants	2 498 695	2 243 002	951 637	2 358 541	3 639 468	1 328 724
Passing filters	117 167	238 903	27 091	248 036	186 095	50 882
In exons	3300	8443	550	9243	4051	815
Unique	41	79	40	105	89	180
Synonymous	21	45	17	61	48	100
Nonsynonymous	18	34	23	44	40	80
Nonsynonymous/synonymous	0.86	0.76	1.35	0.72	0.83	0.80
Nonsyn genes in lung cosmic mutations	5	0	1	6	5	9
Nonsyn genes in all cosmic entries	11	28	10	42	32	73
Indels	13	23	6	19	23	14



**Figure 2.** RNAseq analysis of coding variants reveals parent of origin-specific expression or amplification of alleles. A total of 8065 coding variants that differ between 129S4/SvJae and MOLF/EIJ mice were interrogated for parent of origin expression. (a) Binning of percent maternal expression reveals that most SNPs follow a binomial distribution surrounding equal (50%) expression. (b) RNAseq read coverage for *Cd22*. Y axis values represent read depth at each position adjusted by the total mapped reads for that sample relative to the mean mapped reads for all samples set from 0 to -300. Reads are negative because the gene is transcribed from right to left. (c) An analysis of genes that have the greatest fold difference of paternal to maternal allele expression reveals paternal-specific enhancement of *Cd22* mRNA expression while maternal read counts remain similar between tumor and normal lung (two-way analysis of variance  $P$ -value of 0.028 for tumor status). Error bars represent s.e.m. of the two F1 mice in each condition.

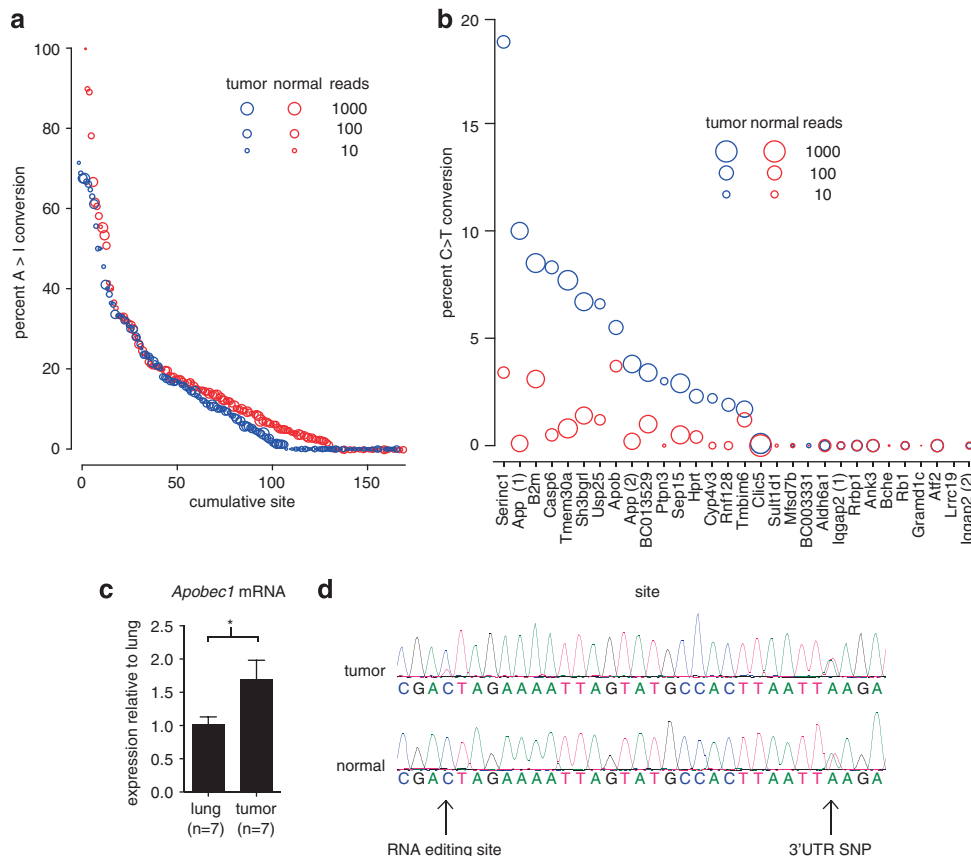
retain specific antigen activity.<sup>19</sup> Sanger-based sequencing revealed no chromosomal amplifications and confirmed an allele-specific expression bias for *Cd22* mRNA (Supplementary Figure 5). Allelic expression analysis for *Kras* revealed that neither the wild-type nor mutant allele was amplified, as can be the case in certain tumors with *KRAS* mutations.<sup>20</sup>

Analysis of RNA editing sites indicate that Apobec-mediated C-to-U editing is common in tumors but not in wild-type lung. Post-transcriptional modifications, including RNA editing, can also be evaluated from RNAseq data. Several adenosine to inosine RNA editing sites have been identified in mice.<sup>21</sup> We found no evidence of differential adenosine to inosine editing in these tumor samples at these known positions (Figure 3a; Wilcoxon signed-rank test  $P = 0.19$ ). However, the Apobec enzyme performs an alternate form of RNA editing, namely cytidine deamination leading to a uridine residue. Of the 30 editing sites that were identified in studies of *Apobec1*  $-/-$  mice<sup>22</sup> and were expressed in our lung samples, just over half (16) were C-to-U edited in tumor samples with editing ranging from 1.7 to 18.8% and the levels of editing were higher than corresponding rates in controls (Figure 3b; Wilcoxon signed-rank test  $P = 0.0003$ ). Detection of expression levels of *Apobec1* revealed a modest 1.5-fold increase in expression in tumors ( $P < 0.05$ ; Figure 3c). A C-to-U edited site present in the *Serinc1* 3'UTR was validated by Sanger sequencing (Figure 3d). These data indicate that C-to-U editing is enriched in lung tumorigenesis, although it does not distinguish between whether the editing arises within the

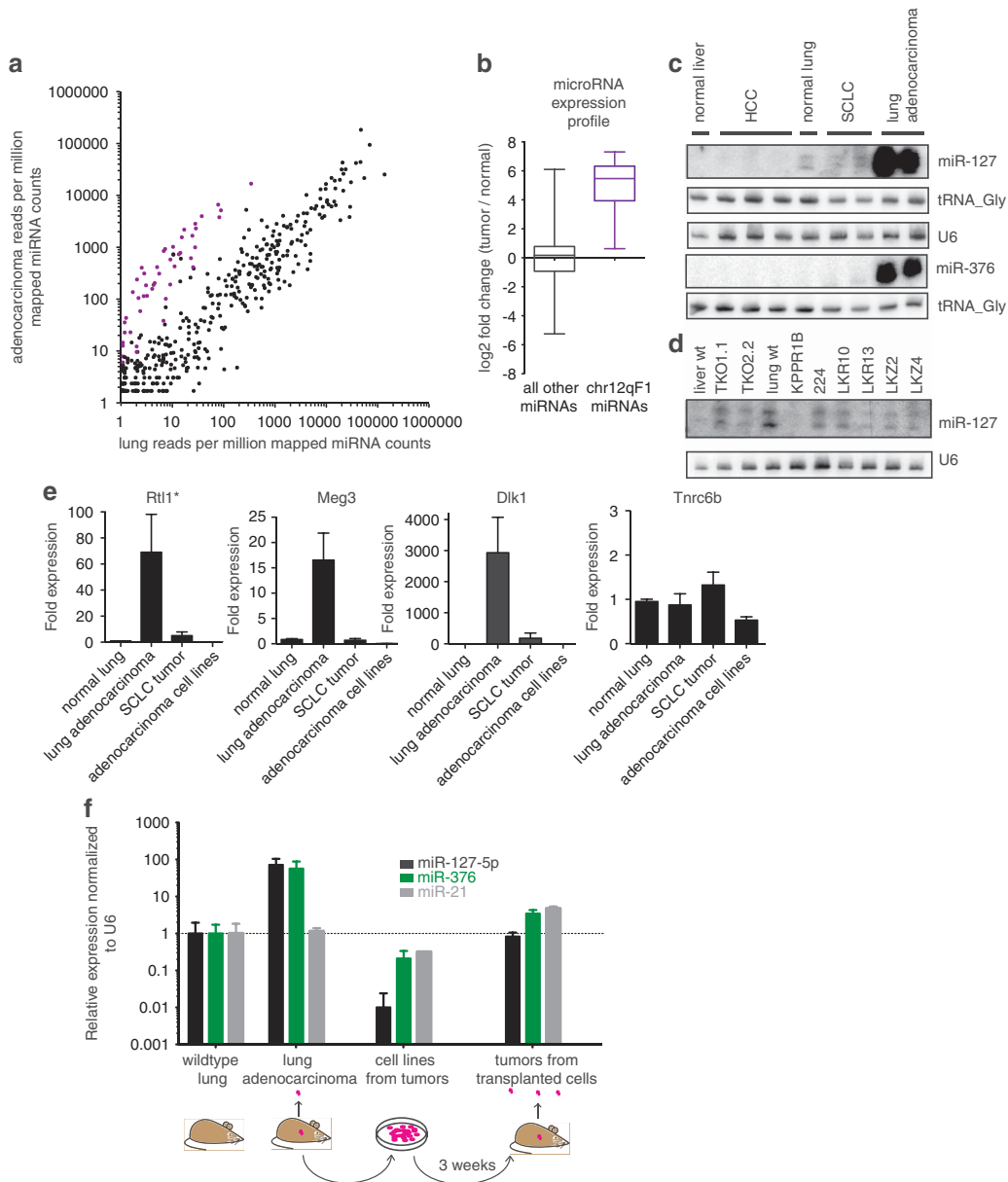
tumor during its formation or is the result of editing in immune-derived cells in response to the tumor. Indeed, sorting for pure tumor-originating cells (described below) revealed low *Apobec1* mRNA levels and an absence of C-to-U editing at the *Serinc1* site. Although this cannot exclude the possibility that C-to-U editing in surrounding cells contributes to tumor progression it suggests that editing is not an inherent property of the tumor-originating cells.

Small RNA sequencing identifies a cluster of microRNAs upregulated in lung adenocarcinomas

Our most salient finding arose when we complemented RNA sequencing by performing small RNA sequencing on the normal lung and adenocarcinoma samples. Almost all of the most differentially expressed microRNAs aligned to an ~800-kb region (nucleotides 110 691 433–111 519 307) on mouse chromosome 12qF1 (Figure 4a; Supplementary Table 4). Fifty-three microRNAs align to this region with a mean fold increase of 31.6 ( $t$ -test  $P = 3.8 \times 10^{-87}$  relative to all other microRNAs; Figure 4b). This fraction of chr12qF1 microRNAs represents ~9% of all lung adenocarcinoma miRNA reads, compared with 0.1–0.2% of miRNA reads in the normal lung. Outside this locus the two closest microRNAs, miR-345 at ~600-kb proximal and miR-203 at ~1.8-mb distal, had equivalent expression between tumors and normal lung samples. This level of microRNA induction was substantially higher and more prominent than was observed for large RNAs suggesting that microRNAs may be key mediators of oncogenic drive in this mouse model.



**Figure 3.** Lung tumors display an increase in Apobec but not Adar RNA editing. **(a)** Mean percent editing for 168 previously identified Adar-mediated A-to-I edited sites in the mouse with the size of the point reflecting the number of mapped reads at the given location. **(b)** Mean percent Apobec editing at 30 previously identified sites for the three samples, plotted as in panel A. **(c)** *Apobec1* mRNA expression levels from the three sequenced mice in each condition plus tumors and normal lungs from four additional mice each, error bars represent SEM;  $*P < 0.05$  by  $t$ -test. **(d)** Sanger verification of C-to-T editing in the *Serinc1* 3'UTR. Primers were designed to amplify the edited site along with a coding SNP that indicated equal expression from both parental alleles.



**Figure 4.** A cluster of microRNAs on chromosome 12qF1 is upregulated in lung adenocarcinomas. **(a)** A scatterplot of microRNA counts normalized to one million mapped microRNA reads. Points in purple represent microRNAs that arise on chromosome 12qF1. **(b)** Boxplot of log<sub>2</sub>-based fold change for chr12qF1 microRNAs (in purple) and all remaining microRNAs (in black). **(c)** Small RNA northern blot of two representative microRNAs that align on chromosome 12qF1 (miR-127 and miR-376c), re-probed for tRNA sequences and/or U6. SCLC and hepatocellular carcinoma (HCC) tumors were additional controls. **(d)** Cell lines derived from lung and liver tumor did not show high levels of chr12qF1 microRNA expression. **(e)** Quantitative reverse transcriptase-PCR analysis indicates that non-coding RNA expression of genes in the chromosome 12qF1 interval are highly expressed in lung adenocarcinomas relative to normal lung, SCLC tumors and human lung adenocarcinoma tumor-derived cell lines. (\*probes for *Rtl1* detect both sense and antisense transcripts). Values are plotted as mean  $\pm$  s.e.m. of at least three samples run in triplicate. Note the differences in the y axis for each of the plots. **(f)** microRNA quantitative PCR indicates that an upregulation of the chr12qF1 locus is specific to tumors *in vivo*. Levels of two microRNAs from this cluster, miR-127-5p and miR-376a were normalized to U6 snRNA and then to wild-type lung levels. Cell lines derived from tumors were grown in culture or transplanted back into mice.

Northern blot analysis validated representative chr12qF1 microRNA expression patterns in lung adenocarcinoma samples from all 12 mice tested (two shown in Figure 4c). However, cell lines derived from the *Kras*<sup>G12D</sup> mouse tumors, normal lung and SCLC samples had levels of chr12qF1 microRNAs comparable to wild-type lung (Figures 4c and d). Thus, the upregulated microRNA cluster is a hallmark only of the tumors *in vivo* and not of the associated derived cell lines.

The mouse chr12qF1 region, also known as the *Dlk1-Dio3* locus,<sup>23</sup> it is an area that is extensively methylated with a set of

genes that are expressed specifically from the maternal or paternal chromosome. The cluster of microRNAs is transcribed from the chromosome inherited from the mother, as are the non-coding RNAs *Meg3*, *Meg8*, *Rtl1as* and *Rian*. Conversely, paternally expressed genes include *Dlk1*, *Rtl1* and *Dio3*. SNPs in these genes were used to verify that this established parent of origin expression pattern was maintained in the tumor samples. From the RNAseq data set, only the *Rian* gene was significantly upregulated in the lung adenocarcinoma samples (FPKM of 6.48 for tumors versus 1.58; *q*-value <0.005). However, when we

examined previous microarray data from lung adenocarcinoma mouse tumors, probes for *Meg3* were ranked second and sixth as the most abundantly represented in adenocarcinoma versus control (10.56-fold and 5.33-fold differences, respectively) and a probe for *Dlk1* ranked ninth on this list (5.18-fold increase).<sup>17</sup> We confirmed this upregulation via quantitative real-time PCR with probes against *Rtl1* (sense and antisense), *Dlk1* and *Meg3* (Figure 4e). Consistent with data from microRNAs in this locus, tumor samples displayed a marked increase in expression of these co-expressed non-coding RNAs (Figure 4e). Differentially methylated regions exist at the *Meg3* promoter and intergenic to *Meg3* and *Dlk1* that are fully methylated in the adult lung. Although hypomethylation at the maternal allele is typically associated with microRNA expression,<sup>24</sup> we observed no change in methylation in bulk tumors (Supplementary Figure 6) suggesting a different mode of locus activation is involved.

Increase of chr12qF1 microRNA expression is present in tumor-originating cells

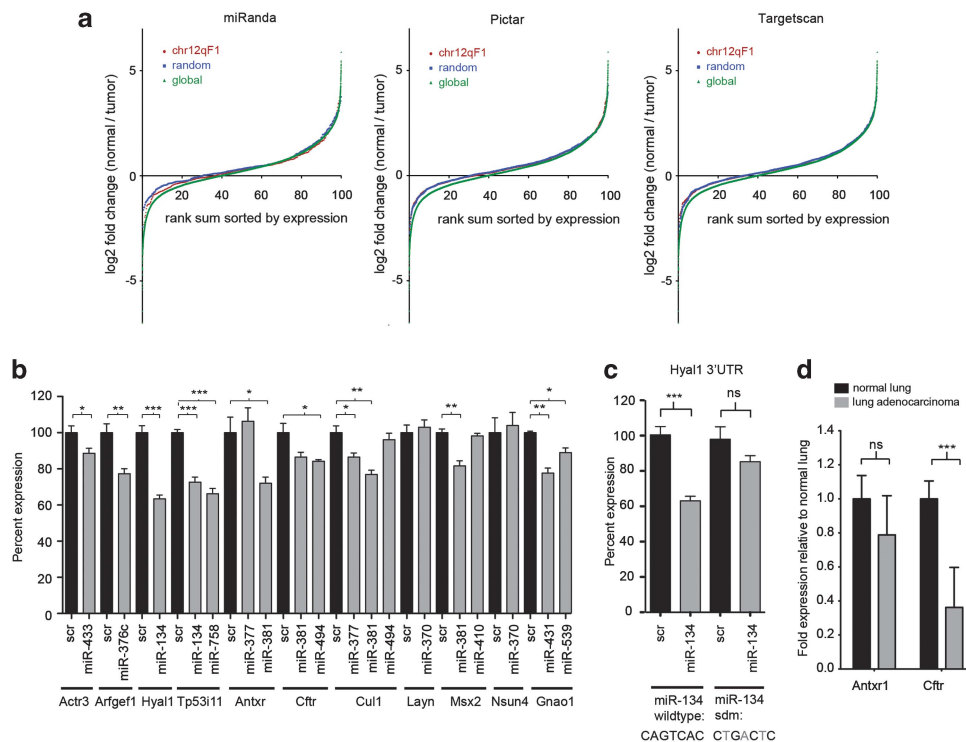
Differential expression of genes or small RNAs from bulk tumors could arise from stromal cells that have infiltrated and become mixed with *bona fide* cancer cells. To reconcile whether the chromosome 12qF1 microRNAs and mRNAs are specific to the cancer cells, we generated tumors in *Kras<sup>LSL-G12D</sup>* mice that also carried a *Rosa26<sup>LSL-tdTomato</sup>* Cre reporter allele. In this situation, when tumors were initiated with viral Cre, all resulting cancer cells would express red fluorescent protein along with the activated

*Kras<sup>G12D</sup>* allele. Fluorescence-activated cell sorting of Tomato-positive cells from tumors enabled an evaluation of genes that are specific to the cancer cells. Validation of chromosome 12qF1 microRNAs revealed a ~35-fold induction of miR-127 and miR-376a in *Kras<sup>G12D</sup>*-expressing cells relative to wild-type lung (Figure 4f). Further, the *Meg3*, *Dlk1* and *Rtl1* genes were markedly upregulated (Supplementary Figure 7a). This demonstrates that the chromosome 12qF1 locus is activated in a manner specific to cells carrying a *Kras<sup>G12D</sup>* mutation.

As noted above, cell lines derived from lung adenocarcinomas exhibited low levels of chr12qF1 gene and microRNA activation. However, when the *Kras<sup>G12D</sup>* cells were transplanted back into mice, tumors arose within 3 weeks. By evaluating three of the tumors derived from transplanted cells, we were able to demonstrate that the same population of cells could reactivate their chr12qF1 microRNAs (Figure 4f) and mRNAs (Supplementary Figure 7b) when propagated *in vivo*. Taken together, this implies that the cell lines derived from lung adenocarcinomas do not display some of the more prominent features of solid tumors that are dependent on their *in vivo* environment.

miRNAs alter select target protein levels without globally influencing mRNA target expression

The large-scale small RNA and large RNA sequencing data sets enabled global comparisons between predicted microRNA targets and their expression changes. The 53 microRNAs that are upregulated on chr12qF1 were compared with 51 microRNAs



**Figure 5.** Chr12qF1 microRNAs can repress protein levels of a subset of genes involved in oncogenesis. **(a)** The cumulative distribution of mRNA expression is unchanged for mRNAs predicted to be targets of the 53 chr12qF1 microRNAs upregulated in lung adenocarcinoma (in red) versus 51 control unchanged microRNAs (in blue) and overall mRNAs (in green). Three prediction programs were queried, Miranda (395 chr12qF1 targets and 376 control targets), Pictar (1231 and 1117 respective targets) and Targetscan (1002 and 1125 respective targets). **(b)** Luciferase expression of UTRs of mRNAs predicted to be targets of chr12qF1 microRNAs. Expression is normalized to firefly luciferase within the same construct and to a scrambled control short hairpin RNA (shRNA; scr; black bars), transfected in E10.5 mouse embryonic fibroblasts (MEFs) where chr12qF1 microRNAs are not expressed. Significance was determined by a two-tailed *t*-test compared with a corresponding control shRNA (\**P* < 0.05; \*\**P* < 0.01; \*\*\**P* < 0.001). Values represent the mean ± s.e.m. of at least two experiments performed in triplicate. **(c)** Site-directed mutagenesis of three nucleotides of the miR-134 binding site in the *Hyal1* 3'UTR abrogated the repression of miR-134 on this 3'UTR (\*\*\**P* < 0.001). **(d)** Quantitative reverse transcriptase-PCR showed a reduction in *Cfr* but not *Antxr1* mRNA levels in lung adenocarcinoma samples (*n* = 7) relative to normal lung (*n* = 7) (\*\*\**P* < 0.001).

that had a similar expression profile (within 10% of each other) between tumor and normal lung. Target mRNAs had no significant difference in expression for chr12qF1 microRNAs relative to the control microRNA set for Miranda ( $P=0.18$ ), Pictar ( $P=0.46$ ) and TargetScan ( $P=0.39$ ) prediction programs (Figure 5a). This indicates that chr12qF1 microRNAs do not lead to reduction in mRNA levels of predicted targets.

We utilized a luciferase reporter system to identify whether protein levels were altered by the upregulation of these microRNAs. Twelve 3'UTRs that were predicted by TargetScan to be strong targets of ten of the most highly expressed chr12qF1 microRNAs. Co-transfection of the luciferase constructs and its corresponding target miRNA led to the downregulation of 8 of these 11 targets from 9 of the 10 microRNAs relative to a scrambled control short hairpin RNA (Figure 5b). Three point mutations in the miR-134-binding site of the Hyal1 3'UTR caused a loss of miR-134-mediated repression of this 3'UTR (Figure 5c). Quantitative reverse transcriptase-PCR analysis revealed that *Cftr* but not *Antxr1* showed a reduction in mRNA levels (Figure 5d). This is just a select subset of genes that are potential targets, yet it indicates that the microRNAs do have the intended effect of mediating post-transcriptional effects. Given that microRNAs have many more predicted targets, the number of proteins influenced by these 53 microRNAs is exponentially larger and has the potential to markedly re-shape the living tissue environment.

Dlk1-Dio3 locus activation is characteristic of a subset of human lung adenocarcinoma samples

The small RNA population from 346 human lung adenocarcinoma samples has been subject to high-throughput sequencing, available for analysis as part of the cancer genome atlas (TCGA). The percentage of chr12qF1 microRNAs were increased 3.31-fold in these TCGA adenocarcinoma samples relative to 40 matched normal lung samples ( $P=0.014$ ; Supplementary Figure 8a). However, from the tumor samples, a bimodal expression pattern was noted whereby several samples exhibited elevated expression patterns (Supplementary Figure 8a). Parsing of tumor samples into the 34 with high locus expression (~10%) with all other tumor samples revealed a consistent and specific activation of all Dlk1-Dio3 locus microRNAs (Supplementary Figure 8b). Thus, this locus is aberrantly activated in a subset of human lung adenocarcinomas.

## DISCUSSION

A central tenet of cancer genetics is that rapidly dividing tissues over time have the potential to accumulate enough mutations and chromosomal alterations in oncogenes and tumor suppressors such that a critical threshold is obtained and tumorigenesis ensues. However, in organs that do not undergo this rapid cell division and turnover—such as the lung—a reversal back to an embryonic state or a proliferation of stem cells (cancer stem cells) with their associated rapid growth and development is one of the mechanisms that is postulated to be involved. This is indicative of the scenario that we observe in this murine lung adenocarcinoma data set in which a cluster of stem cell-associated microRNAs are upregulated.

Several lines of evidence point to the role of the chr12qF1 locus in stem cell biology, lung development and oncogenesis. The sustained expression of this locus is essential in the development of induced pluripotent cells with a common loss of chr12qF1 microRNA expression resulting in the low proportion of cells that maintain an iPS state.<sup>25</sup> The proper expression of mmu-miR-127 is essential for lung development as its overexpression led to fewer terminal buds indicating impaired lung branching.<sup>26</sup> Meanwhile, removal of the maternally derived (but not paternally derived) *Meg3* allele in mice led to thin-walled lungs with reduced radial

alveolar counts and early postnatal lethality.<sup>27</sup> In addition, knockout of the *Dicer1* gene, critical for RNA interference, had effects that were more specific to the lung,<sup>28</sup> whereas loss of one *Dicer1* allele reduced survival in the same *Kras*<sup>LSL-G12D</sup> lung adenocarcinoma model.<sup>29</sup> Several of the chr12qF1 microRNAs were among the most upregulated by microarray analysis in a completely distinct mouse model of lung adenocarcinoma with sustained high levels of cyclin E and upregulation of miR-376a and miR-136 was validated in human lung adenocarcinomas.<sup>30</sup> Differential expression of the chr12qF1 locus has also been identified in other cancer subtypes<sup>31</sup> including upregulation in mouse and human hepatocellular carcinoma samples,<sup>32</sup> gastrointestinal stromal tumors,<sup>33</sup> acute promyelocytic leukemia<sup>34</sup> and associated with epithelial-to-mesenchymal transition in endometrial carcinoma.<sup>35</sup> Notably, miR-127-3p was significantly up in colorectal cancer associated with *KRAS* mutations.<sup>36</sup>

When an entire cluster of genes and miRNAs is upregulated, it becomes difficult to identify and target a single gene or miRNA that may be responsible for the tumorigenic phenotype. It will be interesting to determine whether one or a few microRNAs are sufficient to recapitulate this oncogenic event, although a more likely scenario is that the cohort of small RNAs and non-coding RNAs act coordinately to regulate a multitude of genes. Several genes that are targets of chr12qF1 by luciferase analysis have implications in oncogenesis and lung development. The p53 interacting protein *Tp53i11*, repressed by miR-134 and miR-758 is a putative tumor suppressor in liver cancer.<sup>37</sup> The actin-related protein *Actr3* is a major constituent of the Arp2/3 protein complex downregulated in gastric cancer,<sup>38</sup> *Arfgef1* in breast cancer<sup>39</sup> and *Cul1* in various tissues.<sup>40</sup> Relevant to lung biology, the cystic fibrosis transmembrane receptor *Cftr*, which is hypermethylated and downregulated in lung adenocarcinoma<sup>41</sup> and mutated in non-SCLCs,<sup>42</sup> is targeted by miR-381 and miR-494. Indeed several oncogenic pathways are implicated upon microRNA activation. How these microRNAs are specifically activated (or how a stem cell-like population can continue to proliferate unabated) will be of interest in future studies. Although methylation patterns do not appear to change in the tumor samples (contradictory to established methylation patterns at this locus), other epigenetic marks certainly may be involved, particularly histone marks. Alternatively, as we examined methylation patterns of tumor samples in bulk, it remains possible that demethylation at a subset of cells is sufficient to activate the chr12qF1 locus. The results of this and other mouse models<sup>43</sup> appear to be limited to a subset of human lung tumors, and it will be interesting to determine if *KRAS* mutations in human samples can induce a similar activation of the chr12qF1 microRNAs.

By sequencing the transcriptome to a considerable depth, we could search for additional mutations and expression changes that could aid in progression of tumorigenicity. An evaluation of both normal and tumorigenic lungs indicated no difference in the number of coding changes. However, if a variant caused nonsense-mediated decay or induces loss of expression, it would be more difficult to detect using this approach and would require whole genome or exome DNA sequencing. By examining allele-specific expression, we identified genes with modifications in expression of maternal versus paternal alleles depending on tumor status. This includes the paternal-specific enhancement of *Cd22* mRNA expression specifically in tumor samples. CD22 is a B-cell lymphocyte cell surface marker that incurs mutations and splicing defects in human B-precursor leukemia<sup>44</sup> and is the target of Epratuzumab, a humanized monoclonal antibody therapeutic for B-cell tumors.<sup>45</sup> Interestingly, a group recently independently identified CD22 cell surface expression in A549 cells and solid tumors and have shown that anti-CD22 antibodies can delay tumor progression.<sup>46</sup> Quantification of RNAseq read hits enabled a calculation of expression changes across the genome. The three most downregulated genes (*Prkg1*, *Gnao1* and *Rgs9*) are

implicated in G-protein coupling and which have indirect connections with Kras and p53. Of note, a point mutation in *Gnao1* has been recently identified in breast cancer,<sup>11</sup> and this mutation appears to function in a manner analogous to the *Kras G12D* mutation in that it maintains the gene in a constitutively active state.<sup>47</sup>

The presence of editing in these lung adenocarcinoma samples is quite striking, yet it is difficult to ensure that this effect is specific to tumor progression or infiltration of B cells in tumor samples. Of the 32 Apobec1 edited sites identified previously,<sup>22</sup> all but one are present in the 3'UTR of transcripts, which can have multiple effects including influencing poly-A usage.<sup>48</sup> This can have regulatory consequences if regions such as microRNA-binding sites are precluded from the edited RNA transcript. Our work suggests that much of the editing does not occur in tumor-originating cells; nonetheless, *Apobec1* mRNA was increased overall in tumors and Apobec transgene expression in the liver has been shown to inadvertently drive hepatocellular carcinoma.<sup>49</sup> Separate from RNA editing, APOBEC-mediated DNA mutagenesis from upregulated APOBEC family proteins was reported to be a property of several human cancers<sup>50,51</sup> after it was noted that cascades of localized C-to-T changes, termed kataegis, were found in breast cancer samples.<sup>52</sup>

In conclusion, through use of high-throughput sequencing technology, we have uncovered several novel genetic abnormalities that exist in the coding and non-coding transcriptome of extracted solid tumors of the lung. By small RNA sequencing, we were able to detect consistent upregulation of a cluster of microRNAs typically associated with a stem cell-like state. It is the activation of this locus and the multitude of mRNA targets of the ~53 microRNAs that we believe are crucial for oncogenic drive in this *Kras* mutant mouse model of lung adenocarcinoma.

## MATERIALS AND METHODS

### Mouse breeding

The Stanford Institute of Medicine Animal Care and Use Committee approved all animal studies and procedures. 129S4 males heterozygous for a *Kras<sup>LSL-G12D</sup>* allele were mated with MOLF/EiJ females. Littermate controls that were used include a *Kras<sup>LSL-G12D</sup>* mouse with no Adeno-Cre administered, and a wild-type *Kras* mouse with Adeno-Cre (to control for adenovirus exposure effects). In addition, tumors and normal lungs were extracted from a distinct mouse lung adenocarcinoma model, namely the *Kras* LA mouse, which does not require Cre delivery and is present on an inbred background.<sup>8</sup>

*Kras<sup>LSL-G12D</sup>* mice were bred as previously described.<sup>53,54</sup> To evaluate allele-specific expression, *Kras<sup>LSL-G12D</sup>* mice on the 129S4/SvJae background were bred with MOLF mice. Offspring were genotyped for the presence of the *Kras<sup>LSL-G12D</sup>* allele. To activate *Kras*, an adenovirus bearing Cre-recombinase (AdCre:CaPi co-precipitates, Baylor Vector Development Lab, Houston, TX, USA) was intranasally administered at 6 weeks of age. Tumors were dissected ~4 months later.

### Tumor cell sorting and transplantation

The Rosa-LSL-tdTomato Cre reporter allele was bred into *Kras<sup>LSL-G12D</sup>; p53<sup>fllox</sup>* mice. Tumors were initiated by intratracheal infection of mice with a lentiviral vector-expressing Cre recombinase.<sup>54</sup> Single-cell suspensions were generated from individual lung tumors harvested from mice 8 to 14 months after tumor initiation. Tumors were minced and then digested for 30 min at 37 °C in 2 ml of Hank's balanced salt solution-free containing trypsin, collagenase IV and dispase. Subsequently, 4 ml of Quench Solution (L15 media supplemented with fetal bovine serum and DNase) was added and samples were then pressed through 40 mm cell strainers (BD Biosciences, San Jose, CA, USA). Finally, samples were centrifuged at 1000 r.p.m. for 5 min and re-suspended in fluorescence-activated cell sorting media (phosphate-buffered saline, 2% fetal bovine serum, 2 mM EDTA). Sorting for td-Tomato-positive cells was performed at the Stanford Shared FACS Facility.

### High-throughput large RNA sequencing

The Ribo-Zero ribosomal removal kit (Epicentre, Madison, WI, USA) was used to remove ribosomal sequences from total Trizol-extracted RNA. In all, 200 ng of purified RNA was subjected to strand-specific RNAseq using the ScriptSeq library preparation kit (Epicentre). In total, 50-bp paired end reads were generated on a HiSeq 2000 machine (Illumina, San Diego, CA, USA). Trimmed sequences were mapped to the mm9 genome using TopHat version 1.3.3 under default settings allowing multiple alignments with the following specific parameters: -r 100,—mate-std-dev 100—segment-length 20—library-type fr-unstranded.<sup>55</sup> To accommodate SNPs between the Molf/EiJ and 129S4/SvJae strains, three mismatches were allowed in the mapping process for these samples, whereas two mismatches were allowed for samples with homozygous parents. Fragments per kilobase of exon per million fragments mapped (FPKM) values were calculated using Cufflinks v.1.3.0.<sup>56,57</sup> Transcripts with a *q*-score of <0.05 were considered significant and we further required a minimum FPKM of 5 in at least one of the two conditions.

### SNP analysis

GATK (v.1.5) was used to calculate nonsynonymous variants present in tumor and normal lung samples.<sup>58,59</sup> Candidate variants in tumors were filtered based on a minimum read depth of 10, an absence in control lung samples, and that did not exhibit a bias in end-of-sequence. Raw reads were manually inspected for accuracy of GATK calls.

### Allele-specific expression

To evaluate allele-specific expression, we extracted a list of all coding single-nucleotide variants between MOLF and 129S4 mice as computed from the Mouse Genome Informatics data set (Jackson Labs, Bar Harbor, ME, USA). The Samtools BCFtools program<sup>60</sup> was used to call the number of variants in each sequenced sample. These data were filtered to have a minimum of 20 reads per sample. Variants in individual genes were sorted based on combined fold-changes between tumor and normal samples. Genes with the greatest fold-differences were manually analyzed for additional exonic SNPs.

### RNA editing analysis

Allele calls at adenosine to inosine<sup>21</sup> and cytosine to uridine<sup>22</sup> editing sites were identified using BCFtools. The *Serinc1* variant at chr10:57,235,791 (mm9) was validated by Sanger sequencing using the primers 5'-ACATTAG GCTCGGGTTAGGCACTA-3' and 5'-AAGGCTGGAACATGAAGTGAAGT-3' for both genomic DNA and complementary DNA.

### Small RNA sequencing

In all, 3 µg of a mirVana (Life Technologies, Carlsbad, CA, USA) extracted small RNA fraction was ligated to a 3' linker in ATP-free buffer. Samples were resolved on a 12% polyacrylamide gel and 17–28nt fragments were excised. A bar-coded linker was ligated to the 5' end of the extracted RNA using T4 RNA ligase and these RNAs were reverse transcribed using Superscript II (Life Technologies). In total, 5 µl of this product was subject to 21–24 PCR amplification cycles in a total of 50 µl volume using Taq polymerase (NEB, Ipswich, MA, USA). The product was resolved on a 4% Nusieve GTG agarose gel (Lonza, Rockland, ME, USA). In all, 20 ng of this product was subjected to 36-bp high-throughput sequencing on a GAll machine (Illumina). Small RNA reads (17–28 bp) were aligned to miRBase (release 15)<sup>61</sup> using the Bowtie program release 0.12.7<sup>62</sup> allowing for two mismatches.

### Small RNA northern blot

In all, 10 µg of Trizol (Life Technologies) extracted RNA was resolved on a 15% acrylamide gel, transferred to a Hybond N+ membrane (GE Healthcare, Pittsburgh, PA, USA). Membranes were scanned using a phosphorimager.

### Quantitative PCR

In all, 2 µg of total RNA was reverse-transcribed using Superscript II (Life Technologies). Gene-specific probes used included *Rt11* (Mm02392620\_s1), *Dlk1* (Mm00494477\_m1), *Meg3* (Mm00522599\_m1), *Tnrc6b* (Mm00523487\_m1), *Cftr* (Mm00445197\_m1) and *Anxr1* (Mm00712952\_m1) (Life Technologies). MicroRNA Taqman analysis was performed on 250 ng of Trizol-extracted small RNAs using probes that detect mature miR-21, miR-127-5p, miR-376a and U6 (Life Technologies). Quantitative reverse transcriptase-PCR was performed on a CFX384 Real-Time system (Bio-Rad, Hercules, CA, USA).



### Luciferase analysis

Dual-luciferase assays (Promega, Madison, WI, USA) were performed 24 h after transfection according to the manufacturer's protocol and detected by a Modulus Microplate Luminometer (Promega). For transfection, 250 ng of psi-check reporter plasmids were co-transfected with 250 ng of miRNA overexpression plasmids (Sh-constructions) in E10.5 mouse embryonic fibroblasts using TransIT-LT1 (Mirus, Madison, WI, USA). Cell seeding was performed at a concentration of  $2.5 \times 10^4$  cells per well in a 24-well plate.

For cloning of the psi-check constructs, the entire 3'UTR of each gene was PCR amplified (see Supplementary Table 5 for primer sequences) from mouse genomic DNA and cloned in psi-check-2 vector (Promega) between the *XhoI* and *SpeI* sites using the In-fusion HD cloning kit. The quickchange II site directed mutagenesis kit (Agilent, Santa Clara, CA, USA) was used to introduce three mutations in the Hyal1 3'UTR using the primer 5'-GGACTTCCTCAAATACTGACTCATGCCATAAGTC-3' and the reverse complement thereof (mismatches are listed in bold). For generation of the microRNA overexpression constructs, short hairpin RNA sequences (Supplementary Table 6) were chemically synthesized; both strands were annealed and inserted between *BglII* and *KpnI* sites downstream of the U6 Pol III promoter.

### Bisulfite sequencing

Genomic DNA was extracted from tumor and normal lung samples by the DNeasy tissue kit (Qiagen, Valencia, CA, USA) and converted by bisulfite treatment using an EZ DNA methylation kit (Zymo Research, Seattle, WA, USA). PCR primers were as follows for *Meg3*: 5'-GTTATAGTAATTTGTTATAGAATTTGGGG-3' (forward) and 5'-AAACTTTCACCAAAAACC-3' (reverse), and for an intergenic differentially methylated region: 5'-GGTTTGGTATATATGGATGATTGTAATATAGG-3' (forward) and 5'-ATAAAA-CACCAATCTATACCAAAAATATACC-3' (reverse).<sup>63</sup> Products were cloned using the Topo TA cloning system (Life Technologies) and at least eight clones were sequenced per sample per locus.

### miRNA target prediction

For Miranda, 395 targets were identified for chr12qF1 microRNAs versus 376 in controls using a cutoff mirSVR score of  $-1.3$ .<sup>64</sup> The Pictar program predicted 1231 chr12qF1 and 1171 control target mRNAs. Finally, 1002 chr12qF1 and 1125 control mRNAs were identified as TargetScan<sup>61,65</sup> targets (within the 95th percentile of hits).

### Pathway analysis

Transcripts significantly differentially expressed between tumor and normal samples (false discovery rate  $<0.001$ ) were analyzed by the ingenuity pathway analysis program using default settings.

### Human lung adenocarcinoma samples

Small RNA deep sequencing reads corresponding to human microRNAs were queried from samples collected as part of the cancer genome atlas (TCGA). Data were available from a total of 346 samples from patients with stages I–IV lung adenocarcinomas collected from centers throughout the United States. This was compared with data from 40 matched normal samples.

### Data access

Sequences have been deposited in the NCBI Gene Expression Omnibus (accession number GSE43028).

### CONFLICT OF INTEREST

The authors declare no conflict of interest.

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