




Exploring coherent elastic neutrino-nucleus scattering using reactor electron antineutrinos in the NEON experiment

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Abstract Neutrino elastic scattering observation with NaI (NEON) is an experiment designed to detect neutrino-nucleus coherent scattering using reactor electron antineutrinos. NEON is based on an array of six NaI(Tl) crystals with a total mass of 13.3 kg, located at the tendon gallery that is 23.7 m away from a reactor core with a thermal power of 2.8 GW in the Hanbit nuclear power complex. The installation of the NEON detector was completed in December 2020, and since May 2021, the detector has acquired data at full reactor power. Based on the observed light yields of the NaI crystals of approximately 22, number of photoelectrons per unit keV electron-equivalent energy (keVee), and 6 counts/kg/keV/day background level at 2–6 keVee energy, coherent elastic neutrino-nucleus scattering (CE ν NS) observation sensitivity is evaluated as more than 3σ assuming 1-year reactor-on and 100 days reactor-off data, 0.2 keVee energy threshold, and 7 counts/keV/kg/day background in the signal region of 0.2–0.5 keVee. This paper describes the design of the NEON detector, including the shielding arrangement, configuration of NaI(Tl) crystals, and associated operating systems. The initial performance and associated sensitivity of the experiment are also presented.

1 Introduction

Since it was predicted in 1974 [1,2], coherent elastic neutrino-nucleus scattering (CE ν NS) has garnered the attention of particle physicists owing to its potential use in completing the standard model picture [3–5] and searching for

novel physics phenomena [6–8]. Several experiments using advanced detector technologies had been proposed and some were conducted [9–19], but they had a difficulty due to the tiny deposited energy (\sim keV) into the nucleus. In 2017, the COHERENT collaboration announced the detection of CE ν NS with a conventional CsI(Na) detector using a stopped-pion source [20]. Subsequently, the same group confirmed the process using a liquid argon detector [21].

The COHERENT experiment used neutrinos from a spallation neutron source (prompt muon neutrino followed by delayed electron neutrino and muon antineutrino) with energies of approximately 30 MeV [22,23]. Relatively high-energy neutrinos with significant background reduction using the timing information of the pulsed beam had allowed the capture of a low-energy signal induced by the CE ν NS process [20,21]. However, such success has not been achieved using other neutrino sources, such as reactors [19,24–26] or solar neutrinos [27], although extensive efforts have been made. In particular, reactor neutrinos with energy reaching a few MeV produce visible recoils with energy less than 1 keV, which is significantly lower than the typical energy threshold of a kg-size particle detector.

Measurement of CE ν NS using reactor electron antineutrinos provides valuable information for understanding neutrinos. In astrophysics, understanding neutrino interactions at the MeV-scale is important for modeling supernova energy transport [28]. The monitoring of nuclear reactors can be accomplished via CE ν NS using detectors weighing several tens of kilogram [29,30]. Possible investigations of novel physics beyond the standard model with reactor neutrinos have been proposed [10,31–33].

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Neutrino elastic scattering observation with NaI (NEON) is an experiment that aims to observe $CE\nu NS$ using reactor antineutrinos. Exploiting the expertise of the short baseline reactor neutrino experiment NEOS [34] and developing a high-quality NaI(Tl) detector for the COSINE experiment [35, 36], NEON proceeded smoothly from the initial development of the high-light-yield NaI(Tl) detector [37] to the installation of the NEON detector at the reactor site that was completed in December 2020. The detector has been acquiring data at full reactor unit operational power since May 2021. This study describes the detector configuration of the NEON experiment and its performance in the detection of $CE\nu NS$ from the reactor electron antineutrinos.

The remainder of this paper is organized as follows: Sect. 2 describes the NaI(Tl) crystals for $CE\nu NS$ searches. Section 3 discusses the NEON experiment from the experimental site to the shielding arrangement. Section 4 gives details about the internal radioactivity levels of individual crystals and describes how they are assembled into the detector array. Section 5 provides details about the liquid scintillator veto system. Section 6 provides a brief overview of the data acquisition system, and Sect. 7 describes the environmental monitoring system. Section 8 reports the detection sensitivity of $CE\nu NS$ in the NEON experiment. Finally, Sect. 9 presents a summary.

2 NaI(Tl) as a $CE\nu NS$ detector

The detection of light signals from scintillation crystals is a well-established technology used to search for extremely rare events, such as weakly interacting massive particles (WIMPs) [38, 39] and $CE\nu NS$ [20]. Among various scintillation crystals, NaI(Tl) has drawn particular attention because the DAMA/LIBRA collaboration reported a positive signal that manifested as an annual modulation in the rate of low-energy events in an array of NaI(Tl) crystals [40, 41]. This claim has triggered worldwide independent efforts to reproduce the DAMA/LIBRA observations with the same NaI(Tl) crystals [42–47]. Eventually, these efforts become realized as high-light yield [37, 48] and low-background NaI(Tl) detectors [49–52], which are essential for both WIMP dark matter searches and $CE\nu NS$ observations.

The COSINE-100 experiment is one such experiment that is currently operating with 106 kg of low-background NaI(Tl) crystals [44]. The average level of background in the energy region of 1–6 keVee (keVee denotes an electron equivalent energy in keV) is obtained as 2.73 ± 0.14 counts/kg/keV/day with dominant background sources from ^{210}Pb and ^3H [51]. As the NEON experiment operates at sea level with commercial-grade crystals, we expect higher backgrounds from both internal and external radiations. For internal sources, ^3H will be similar because it originates from cos-

mogenic activation. In the case of ^{210}Pb , commercial crystals contain similar or slightly larger amounts than those of COSINE-100 crystals. Considering the similarity between the COSINE-100 shield [44] and the NEON shield, no significant increase of the background level due to external radioactive elements is expected [51, 53]. The initial background level target in the signal region is 10 counts/kg/keV/day, which is similar to the measured background levels from the CONUS experiment in the 0.5–1 keVee energy region [19].

The NEON experiment uses a 13.3 kg NaI(Tl) array, which is one of the largest mass detectors operated in the reactor for $CE\nu NS$ observation, except for the RED-100 experiment [54]. The maximum recoil energy from $CE\nu NS$ for a given target species with nuclear mass m_A and neutrino energy E_ν is approximately $2E_\nu^2/m_A$ [54]. Owing to the low atomic mass number of sodium, NaI(Tl) crystals have the advantage of a larger recoil energy. In addition, low-background and high-light yield NaI(Tl) detectors have been developed for the next phase of NaI-based dark matter search experiments [49–52] which makes it easy to upgrade the current NEON experiment for the next phase 100 kg or 1 tonne-scale experiments to achieve precision measurement of $CE\nu NS$ as well as search for new physics phenomena.

The light output of NaI(Tl) crystals has continuously improved in recent decades. In the DAMA/LIBRA-phase 2 experiment, NaI(Tl) crystals with light yields of 5–10 NPE/keVee (NPE denotes the number of photoelectrons), were operated at a 1 keVee energy threshold [41]. Similarly, ANAIS-112 operated their crystals with approximately 15 NPE/keVee and reported physics results with a 1 keVee energy threshold [55, 56]. Furthermore, in the COSINE-100 experiment run at the Yangyang Underground Laboratory, NaI(Tl) crystals with light yields of approximately 15 NPE/keVee were used [44]. The NEON detector applied a novel technique of crystal encapsulation that significantly increased the light collection efficiency and obtained approximately 22 NPE/keVee [37], which was approximately 50% larger than the light yield of COSINE-100 crystals [44].

In the COSINE-100 data, the trigger of an event was satisfied with coincident photoelectrons in two PMTs attached to each side of the crystal, resulting in an approximately 0.13 keVee threshold. However, in the low-energy signal region below 10 keVee, PMT-induced noise events were predominantly triggered. A multivariable analysis technique using a boosted decision tree (BDT) [57] achieved 1 keVee analysis threshold of less than 0.1% noise contamination and above 80% selection efficiency [58, 59]. Further improvement of low-energy event selection is ongoing based on the development of new parameters for the BDT as well as the use of a machine learning technique that uses raw waveforms directly. NEON targets an analysis threshold of 5 NPE (0.2 keVee), which is similar to the energy threshold that has already been achieved by the COHERENT experiment with

a CsI(Na) crystal [20] and has the same target threshold as the next phase COSINE-200 experiment [60]. With this detector performance, NaI(Tl) detectors are suitable for searching for $CE\nu NS$ from the reactor electron antineutrinos.

3 Experiment

3.1 Hanbit nuclear power complex

The NEON detector was installed in November 2020 at the tendon gallery of reactor unit-6 of the Hanbit nuclear power complex in Yeonggwang, Korea. The location and distance from the reactor core are similar to those in the NEOS experiment, which was installed in reactor unit-5 in the same reactor complex [34]. In addition, this is the same reactor complex used for the RENO experiment [61]. The active core size of unit-6 has a diameter of 3.1 m, height of 3.8 m, is cylindrical in shape, and contains 177 low-enrichment uranium fuel assemblies. The detector is located 23.7 ± 0.3 m away from the center of the reactor core, as shown in Fig. 1, whereas the distance to the closest neighboring reactor core is 256 m. The expected neutrino flux at the tendon gallery is $8.09 \times 10^{12}/\text{cm}^2/\text{s}$ based on the reactor neutrino flux model in Ref. [62]. Between the reactor core and tendon gallery, over 10 m of heavy concrete shielding mitigates radiation from the reactor operation, as shown in Fig. 1. As the tendon gallery is not a radiation-restricted area, the experimental site can be accessed without a dosimetry badge. Furthermore, the tendon gallery is located 10 m below ground level under the wall of the concrete building. The experimental site has an approximately 20 m water-equivalent overburden, which has six times lower muon flux than that at sea level.

The NEON detector is located inside a temporary housing structure for temperature control and dust protection. Owing to the maximum electricity usage of 3 kW, an air control system with a low power consumption (1 kW maximum) has been installed, which maintains a temperature of $23 \pm 2^\circ\text{C}$ in the detector room.

3.2 Shielding design

To observe the $CE\nu NS$ signal, excellent background suppression is crucial. Background originating from environmental radioisotopes, cosmic muon decays, and reactor cores must be effectively reduced by shielding materials. As the NEOS experiment in the same tendon gallery of reactor unit-5 reported no significant reactor-correlated backgrounds for both γ [63] and neutrons [64], the NEON shield follows a design similar to that used for the COSINE-100 experiment [44]. The detailed design considers the limited space of the tendon gallery (3 m in width and 4 m in height) [65], background measurements of the NaI(Tl) crystals from

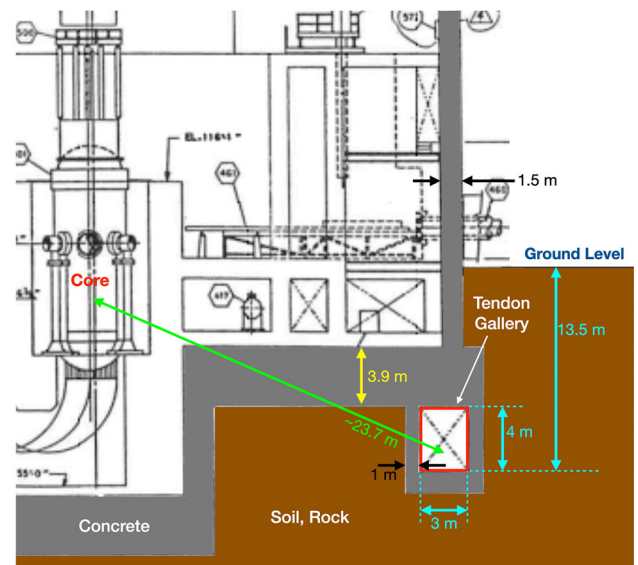


Fig. 1 Schematic view of Hanbit nuclear reactor unit-6. The tendon gallery is 23.7 m away from the reactor core that has more than 10 m shields of concrete and rock

the COSINE-100 experiment [51,53], and neutrons in the shallow-depth tendon gallery, such as muon-induced and reactor-related ones. This reduces the thickness of the lead-shielding layer and additional neutron-shielding layers using polyethylene and borated polyethylene blocks.

The NEON detector is contained within a 4-layer nested arrangement of shielding components, as shown in Fig. 2. It provides 4π coverage to shield external radiation from various sources as well as an active veto for internal or external sources. The shield is placed on a $250\text{ cm} \times 200\text{ cm} \times 20\text{ cm}$ steel palette. From the outside inward, the four shielding layers are a polyethylene castle, borated polyethylene board, lead castle, and linear alkylbenzene (LAB)-based liquid scintillator (LS), as described below. The six NaI(Tl) crystal assemblies are placed in an acrylic box to avoid direct contact with LS. Noise generation was observed in the PMTs when the PMT bases were exposed to LS. A new crystal encapsulation design to embed crystals in an LS without an acrylic box is an ongoing research area aimed at improving veto efficiency. This acrylic box and its support acrylic table are immersed in the LAB-LS. A few pictures of the NEON shielding obtained during detector installation are shown in Fig. 3.

3.2.1 Polyethylene castle and borated polyethylene

Two types of polyethylene are used to prevent external neutrons: 2.5 cm-thick polyethylene boards with 5 % boron loading tightly cover the lead castle, whereas a 30 cm-(top and bottom) and 20 cm-thick (side) high-density polyethylene castle cover the borated polyethylene. Owing to the limited

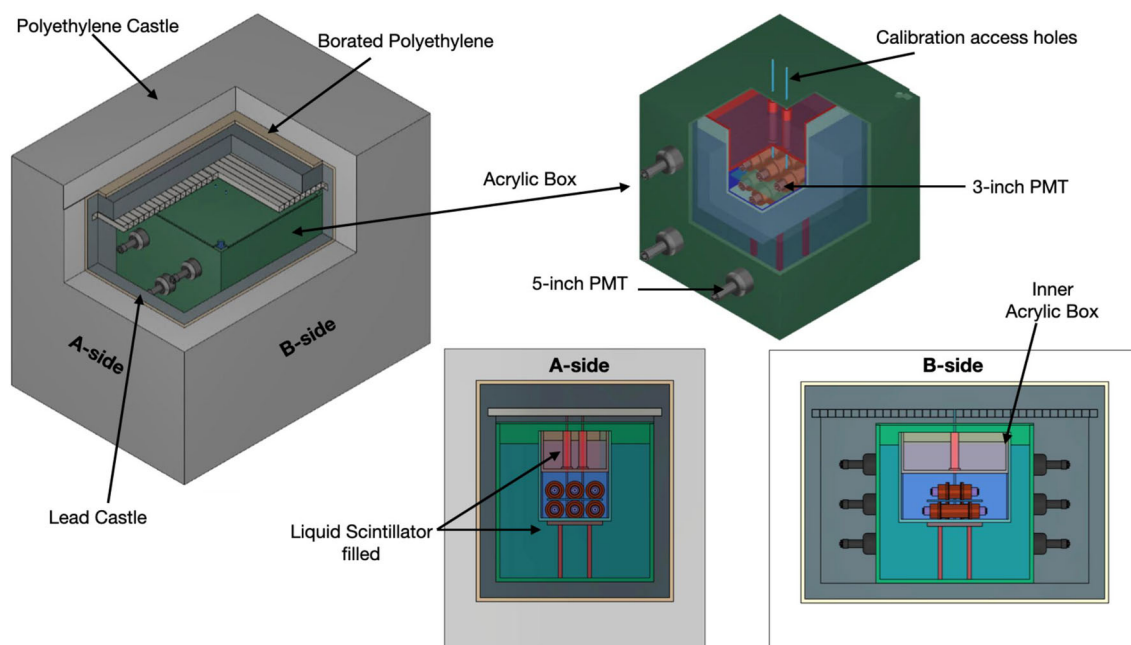


Fig. 2 NEON shielding overview. From outside inward, a polyethylene castle and borated polyethylene boards, a lead brick castle, stainless-steel pipes, and acrylic box (2.5 cm thick) with ten 5-inch PMTs and six encapsulated crystal detectors immersed in the liquid

scintillator are indicated. In addition, the locations of the calibration holes and size of the PMTs are indicated. Projections of the NEON shielding on the A-side and B-side are presented in the bottom plots

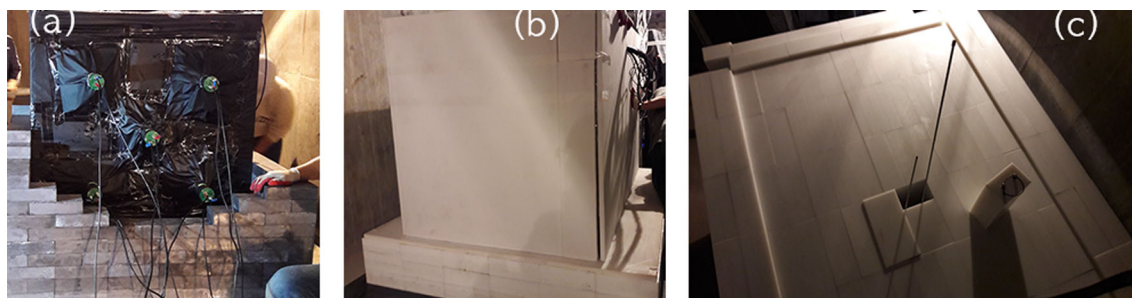


Fig. 3 Pictures of the NEON shield during installation. **a** The LS container and readout PMTs are surrounded by 10 cm lead bricks. **b** The lead castle is surrounded by 2.5 cm thick borated PE and **c** 20 cm high density PE. Two calibration tubes installed with the calibration rods (sources) are shown

space of the tendon gallery, the total width of the NEON detector must be less than 2 m. This results in a slightly narrower thickness of the polyethylene castle on the sides.

3.2.2 Lead castle

A 15 cm-(top and bottom) and 10 cm-thick (side) low-activity lead castle surround the acrylic box filled with LS. To reinforce the top, a 5 cm-thick square and 120 cm-long stainless-steel pipes support the lead bricks. There is a 10 cm space between the stainless-steel pipes and the LS-containing acrylic box.

3.2.3 Liquid scintillator

The innermost shield is provided by 800 L of LAB-LS contained in a 2.5 cm-thick 100 cm × 100 cm × 100 cm acrylic box. The outer wall of the box is wrapped with teflon sheets to increase the light collection efficiency of the LS, which is then covered by a black polyvinyl chloride sheet to prevent light leakage. The LS-produced scintillation signals are detected via ten 5-inch Hamamatsu PMTs (R877) that are attached to two sides of the box.

A variety of backgrounds produced by radiogenic particles from components in and near the NaI(Tl) crystals are efficiently rejected owing to the anticoincidence requirement of

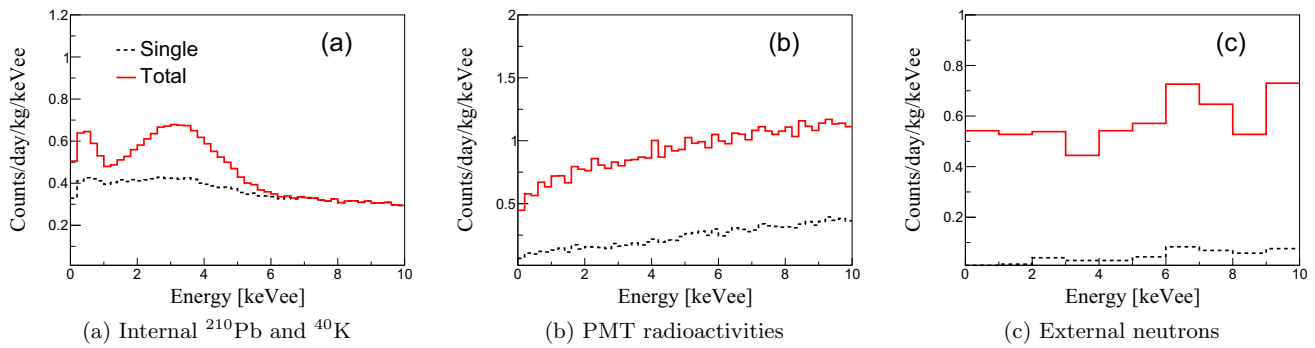


Fig. 4 Simulated energy spectra of the NEON crystal in 0–10 keV energy region from different background sources for the total events (solid-red lines) and single crystal hit events (black-dashed lines) that have no hit in the LS and other crystals. **a** Internal ^{210}Pb and ^{40}K assuming the NEO-5 contaminations in Table 1 are generated. **b** The PMT

radioactivities from the COSINE-100 data modeling are simulated with the NEON detector geometry. **c** External neutrons assuming the same flux of the CONUS site [17] without the muon-induced neutrons are generated. In this case, dominant interactions are neutron-induced X-rays and γ -rays in the shielding materials

Table 1 Measured radioactivity levels in the NEON crystals with their specifications are compared with one of the COSINE-100 crystals [44]. The light yields are measured with the 59.6 keV γ peak from a ^{241}Am source. The levels of ^{216}Po and ^{218}Po are measured by the time coincidence measurements of the α particles that are elements of decay chains from ^{232}Th and ^{238}U chain, respectively. A novel detector encapsulation

technique used for the NEON crystals enhances the light yields such that they are 53% higher than that of the COSINE-100 crystal. Although commercial quality crystals are used, contaminations of radioactive elements in the NEON crystals are similar with those of the COSINE-100 crystal without NEO-2

Crystal	Mass (kg)	Size (inch, D × L)	^{nat}K (ppb)	α Rate (mBq/kg)	^{210}Pb (mBq/kg)	^{216}Po ($\mu\text{Bq/kg}$)	^{218}Po ($\mu\text{Bq/kg}$)	Light yield (NPE/keV)
NEO-1	1.62	3 × 4	50 ± 20	2.16 ± 0.02	1.89 ± 0.26	1.6 ± 0.7	10.6 ± 4.2	20.5 ± 0.9
NEO-2	1.67	3 × 4	137 ± 28	7.78 ± 0.03	7.46 ± 0.73	< 59.8	< 57.2	19.3 ± 0.9
NEO-3	1.67	3 × 4	46 ± 20	0.56 ± 0.01	0.53 ± 0.13	< 3.6	< 11.2	21.8 ± 0.9
NEO-4	3.35	3 × 8	22 ± 11	0.76 ± 0.01	0.69 ± 0.18	1.6 ± 0.8	< 3.3	22.4 ± 1.0
NEO-5	3.35	3 × 8	< 29	0.76 ± 0.01	0.68 ± 0.17	1.6 ± 0.5	2.9 ± 1.6	21.8 ± 0.9
NEO-6	1.65	3 × 4	< 38	0.94 ± 0.01	0.88 ± 0.21	5.8 ± 1.3	11.0 ± 3.3	21.7 ± 1.0
COSINE-100(C6)	12.5	4.8 × 11.8	17 ± 3	1.52 ± 0.04	1.46 ± 0.07	2.5 ± 0.8	< 0.25	14.6 ± 1.5

the PMT signals from the LS [44]. In addition, the LS shield provides effective shielding of external neutrons.

3.2.4 Simulation study

We construct a simplified geometry for the NEON detector and generated background events using the Geant4 simulation toolkit [66]. To understand the effectiveness of the active veto of the LS detector depending on the source locations, we study the simulated background events from three different locations: internal crystals, crystal PMTs, and outside the shield. Figure 4 shows the simulated energy spectra of the 0–10 keV energy regions in the NEON crystal for three different cases. Internal sources of ^{210}Pb and ^{40}K assuming the NEO-5 contamination in Table 1, PMT radioactivities assuming the same contaminations of the COSINE-100 detector [51], and external neutrons without muon induced neutrons assuming neutron flux from the CONUS experiment [17] are simulated, and their energy spectra are presented in Fig. 4a–c. The single hit ratios to the total events in the 0.2–1 keV regions are

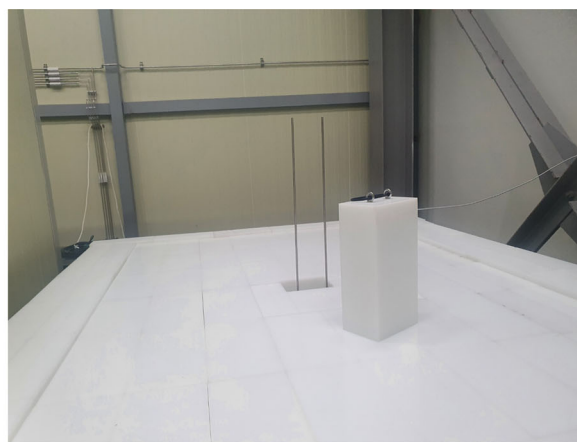
70 ± 6%, 21 ± 5%, and less than 1% for internal sources, PMT radioactivity, and outside neutron sources, respectively. The veto efficiencies of the LS detector are highly dependent on the locations of the background sources, which makes it easy to understand their origins. For instance, unexpected backgrounds from the reactor operation can be easily identified by enhanced backgrounds in the reactor from data on the total event rates rather than that on single-crystal hit rates, similar to Fig. 4c.

Various background sources that can contribute to the NEON detector are under studied with known elements from the COSINE-100 experiments [51, 53], CONUS experiment [17], and NEOS experiment [34]. By incorporating all known background sources and modeling the NEON data for both reactor-on and reactor-off periods, a precise understanding of the background contributions to the NEON detectors can be achieved. By taking advantage of the active LS veto detector shown in Fig. 4, simultaneous modeling of single crystal hit events and multiple crystals, or LS hit events can be performed, making it easy to disentangle and understand the con-

Fig. 5 **a** ^{22}Na and ^{241}Am calibration sources are contained in cases of 6 mm diameter and 12 mm height. **b** The encapsulated sources are connected to stainless-steel rods and located in the calibration pipe



(a)

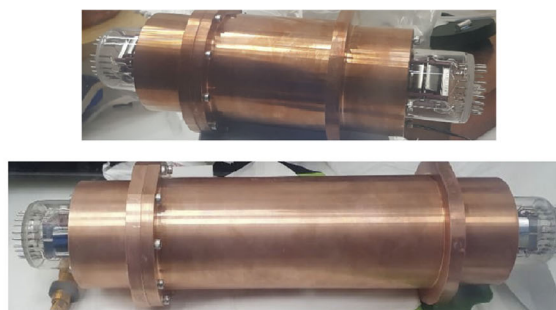


(b)

Fig. 6 NaI(Tl) crystals are polished manually with lapping films and encapsulated together with PMTs inside a copper encapsulation. **a** Bare crystals of 1.6 kg and 3.7 kg types are shown. **b** Completed detector assemblies are presented



(a)



(b)

tributions from various background sources. Although this is under development with the NEON data, similar studies with the COSINE-100 data were successfully done [51,53].

3.3 Calibration sources

The calibration sources are prepared by sealing each isotope in a stainless-steel case suitable for the calibration tube. Further, using standard isotope solutions,¹ calibration sources are produced to yield approximately 100 Bq activities. During the calibration data acquisition, these calibration sources are connected to a 1.5 m stainless-steel rod and installed on the calibration tubes to reach near the crystal detectors. Figure 5 shows the encapsulated ^{22}Na and ^{241}Am calibration sources and stainless-steel rods.

4 NaI crystal detectors

4.1 Crystal assembly

Six commercial-grade crystals with two different dimensions are manufactured by Alpha Spectra Inc. (AS): four with 3-inch diameter and 4-inch length, and two with 3-inch diam-

eter and 8-inch length. These crystals are labeled NEO-1 to NEO-6. Further, the lateral surfaces of each crystal are wrapped in approximately 10 layers of 250- μm -thick teflon reflective sheets, inserted into the copper tubes in a nitrogen gas environment, and sealed to render them airtight. A novel technique for crystal encapsulation is developed to collect scintillation photons efficiently and maximize the measured light yield, as described in Ref. [37]. First, the size of the crystal end-face is matched to that of the PMT photocathode. In addition, only a single optical pad is used between the PMT window and the NaI(Tl) end face, although the typical encasement of the NaI(Tl) crystal requires three layers of optical interfaces owing to quartz windows. This detector-sensor combined assembly reduces light losses due to reflections at each optical interface. Consequently, by applying this design to the NEON crystals, an approximately 50% increased light yield is achieved compared to that of the COSINE-100 crystals [37].

The bare crystals and completed assemblies are shown in Fig. 6 for the two different sizes. The measured light yields for the NEON crystals are summarized in Table 1. Further details regarding encapsulation and detector assembly can be found in [37,50].

¹ Procured from Eckert and Ziegler Isotope Products.

Fig. 7 3×2 NaI(Tl) crystal array, with each crystal coupled with two PMTs and encased in the copper housing, are presented. The crystal arrangement photon (a) and its diagram (b) show that the crystals stand on the acrylic supporting table. The six crystals are labeled as NEO-1 through NEO-6. Two vertical stainless-steel pipes are used for calibration

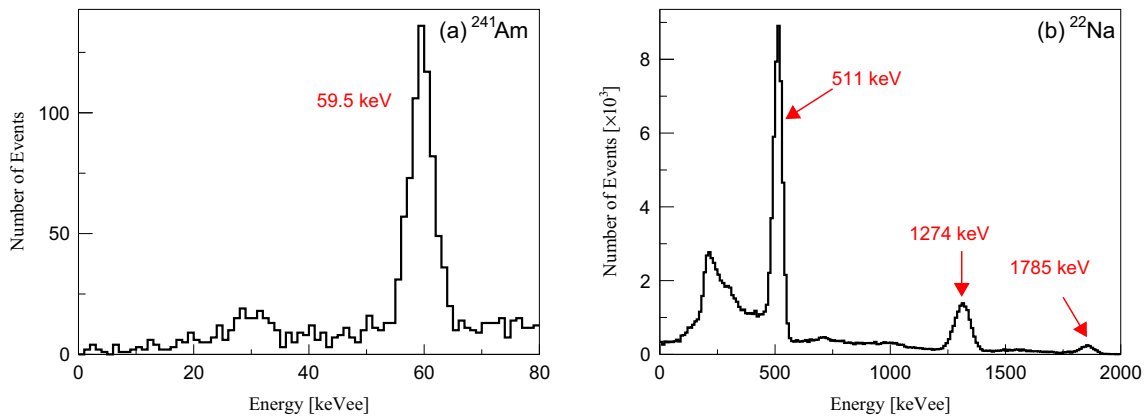
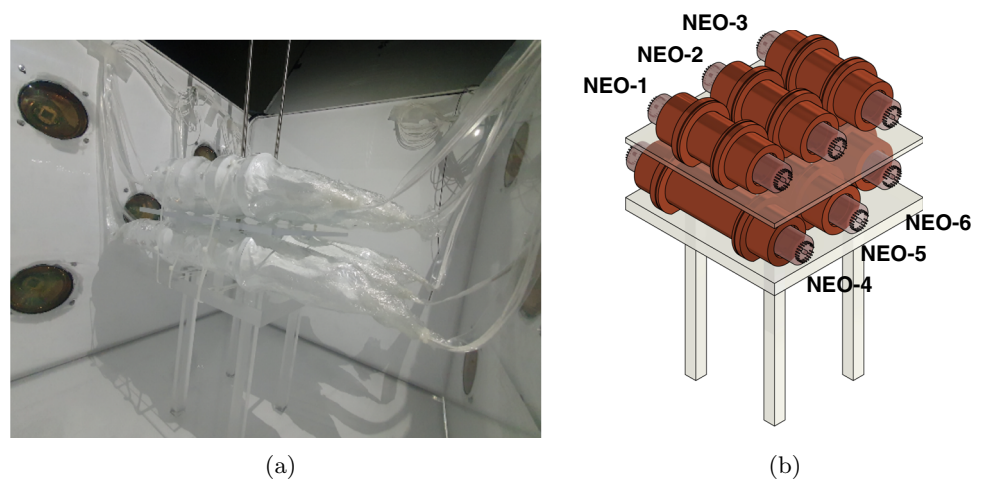


Fig. 8 Energy spectra of ^{241}Am (a) and ^{22}Na (b) calibration data of the NEO-5 crystal

4.2 Crystal placement and detector calibration

The six NaI(Tl) crystals are arranged in a 3×2 array supported by a two-layer acrylic table located in the central region of the LS. The crystal arrangement and numbering scheme are shown in Fig. 7.

The energy scales of the NaI(Tl) crystals and LS are measured with two γ -ray sources, ^{241}Am and ^{22}Na . Figure 8 shows the energy spectra of the NEO-5 crystal obtained using the ^{241}Am (a) and ^{22}Na (b) sources.

4.3 Internal contamination of radioactive elements in the NaI(Tl) crystals

Dominant background contributions in the low-energy signal region for NaI(Tl) crystals are due to the internal contaminants, such as ^{40}K and ^{210}Pb , of the radioactive materials [51,53]. Table 1 presents the measured results for the internal background of the six crystals.

4.3.1 ^{40}K background

The ^{40}K contamination is evaluated by studying the coincidence signals of approximately 3.2 keV X-rays and 1460 keV γ -rays tagged using surrounding crystals. Figure 9 shows the low-energy background spectrum of NEO-2 when 1460 keV γ events are tagged by surrounding the other crystals (NEO-1, NEO-3, NEO-4, NEO-5, and NEO-6). The ^{40}K background level in each crystal is determined by comparing the measured coincidence rate with a GEANT4-simulated rate [42,67] and is summarized in Table 1.

4.3.2 α analysis

Alpha-induced events inside the crystal can be identified based on the mean time of the signal, which is defined as

$$\langle t \rangle = \frac{\sum_i A_i t_i}{\sum_i A_i} - t_0, \tag{1}$$

where A_i and t_i denote the charge and time of each time bin, respectively, and t_0 denotes the start time of an event evaluated from the rising edge near the trigger position. Here, a

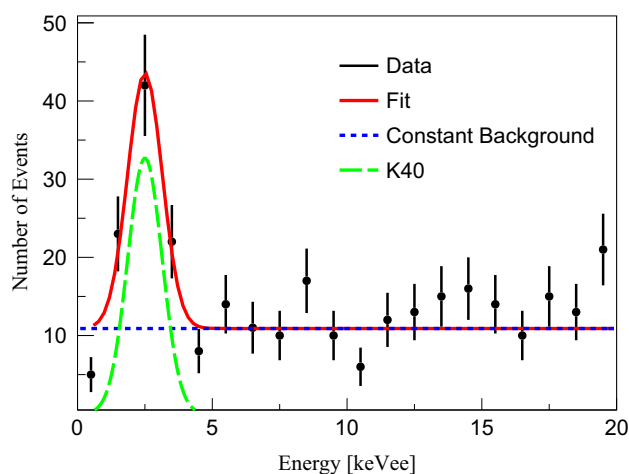


Fig. 9 ^{40}K 3.2 keV spectrum in the NEO-2 crystal tagged by the 1460 keV γ s in the surrounding NaI(Tl) crystals

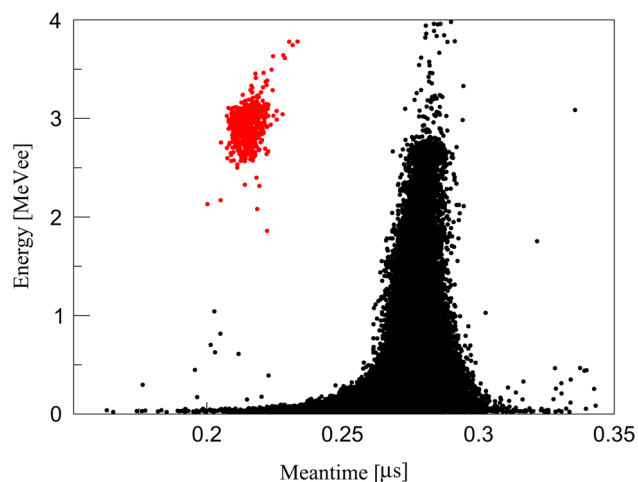


Fig. 10 Mean time VERSUS energy of the NEO-6 crystal. The mean time parameter separates the α events from the β/γ events as indicated by the red dots in a short mean time

1.5 μs time window from t_0 is used for the mean time calculation. Figure 10 shows a scatter plot of the energy VERSUS mean time for the event signals from the NEO-6 crystal. Alpha-induced events are clearly separated from γ -induced events owing to the faster decay times of the α -induced events. Alpha rates are summarized in Table 1 that are the selected alpha events using the mean time parameter for the measured energy greater than 1 MeV as shown in Fig. 10.

4.3.3 ^{232}Th chain

Contamination from the ^{232}Th chain can be studied through $\alpha - \alpha$ time-interval measurements in the crystals. A ^{216}Po α decay has a half-life of 145 ms following its production via $^{220}\text{Rn} \rightarrow ^{216}\text{Po}$ α decay. Figure 11a shows the distribution of the time difference between two α -induced events of NEO-6,

wherein an exponential component of the ^{216}Po decay time can be observed. The ^{216}Po contamination levels of all the crystals are listed in Table 1 indicating ^{232}Th contamination if we assume the chain equilibrium. The chain equilibrium of ^{232}Th decay is not verified.

4.3.4 ^{238}U chain

Delayed coincidence $\alpha - \alpha$ events with a decay time of 3.10 min from $^{218}\text{Po} \rightarrow ^{214}\text{Pb}$ can be used to infer the ^{238}U contamination levels, as shown in Fig. 11b. Furthermore, the measured rate for $^{220}\text{Rn} \rightarrow ^{216}\text{Po}$ (half-life of 55.6 s) is extracted from the ^{232}Th level, which is determined from $^{216}\text{Po} \rightarrow ^{212}\text{Pb}$ decays. The ^{218}Po contamination levels of all the crystals are listed in Table 1 indicating ^{238}U contamination with the assumption of the chain equilibrium.

4.3.5 ^{210}Pb background

The ^{238}U and ^{232}Th contamination levels measured by $\alpha - \alpha$ time correlation methods summarized in Table 1 are too low to account for the total observed α rates although we assume the chain equilibrium. This suggests that the α rate is dominated by the decay of ^{210}Po ($E_\alpha = 5.3$ MeV) nuclei. Considering α quenching in the NaI(Tl) crystals, the electron-equivalent measured energy of approximately 3 MeV in Fig. 10 matches well with the ^{210}Po α energy indicated in the literatures [68, 69].

In NaI(Tl) crystals, internal contamination of ^{210}Pb was the dominant background in the low-energy signal region [51, 52, 69–71]. ^{210}Pb amounts can be studied with the alpha events owing to the decay of ^{210}Po that originates from β -decay of the ^{210}Pb nuclei as well as a 46.5 keV γ peak. As typical contamination of ^{210}Pb occurred during crystallization by exposure to ^{222}Rn , the ^{210}Po decay grows with a lifetime of ^{210}Po , $\tau_{^{210}\text{Po}} = 200$ days as an example shown in Fig. 12. From this fit, the ^{210}Pb amount can be extracted [44, 50]. The measured ^{210}Pb levels are summarized in Table 1.

4.4 Light yield

γ rays from the ^{241}Am source with an energy of 59.54 keV are used to evaluate the light yields of the NaI(Tl) crystals. The charge distribution of single photoelectrons (SPEs) is obtained by identifying isolated clusters at the decay tails of the 59.54 keV signal (2–5 μs after the signal started) to suppress multiple photoelectron clusters, as shown in Fig. 13. Furthermore, the total charges from 59.54 keV γ are divided by the measured single photoelectron charge to obtain the light yield per unit keVee. As shown in Table 1, approximately 22 NPE/keVee light yields are achieved. Two crystals, NEO-1 and NEO-2, exhibit relatively small light yields

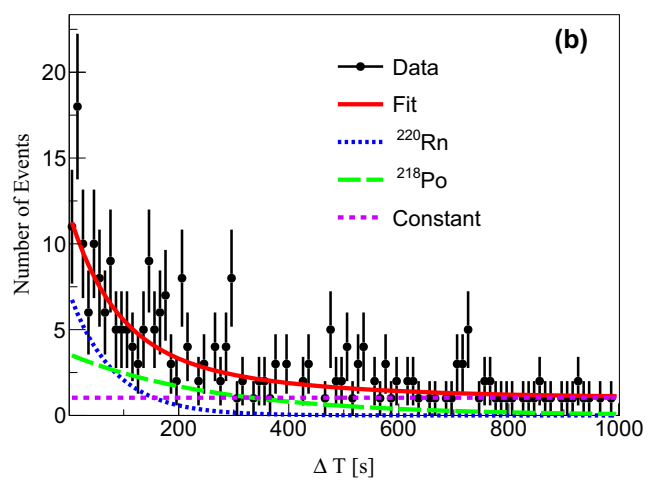
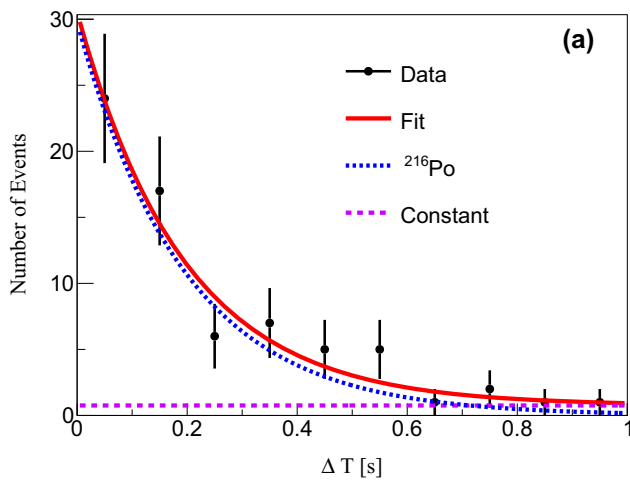


Fig. 11 Time difference (ΔT) distributions of data (points) and exponential fits (red solid line) between two successive α -induced events are presented. Here, decay time used in the fit is fixed to the known lifetime of each radioisotope. **a** $^{216}\text{Po} \rightarrow ^{212}\text{Pb}$ (half-life of 0.145 s) events are extracted from the fit including an exponential component of the ^{216}Po (blue dotted line) and random coincidence (purple dashed line) events. **b**

$^{218}\text{Po} \rightarrow ^{214}\text{Pb}$ (half-life of 186 s) events are obtained from two exponential components of ^{218}Po (green long dashed line) and ^{220}Rn (half-life 55.6 s) whereas the activity of ^{220}Rn is constrained from ^{216}Po measurement in **a**, together with random coincidence events (purple dashed line) in the NEO-6 crystal

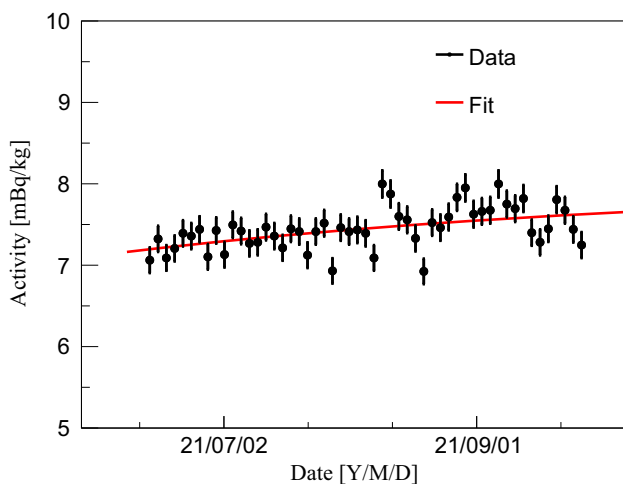


Fig. 12 Total alpha rates in the NEO-2 crystal as a function of time are modeled with ^{210}Po assuming a contamination of ^{222}Rn (and/or ^{210}Pb)

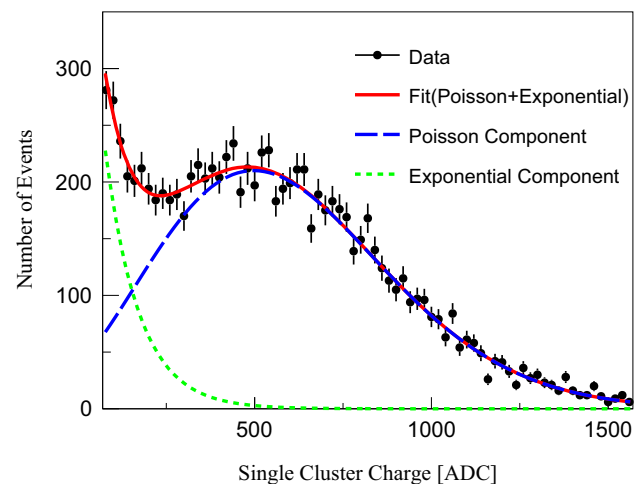


Fig. 13 Single cluster charge spectrum of one PMT attached to the NEO-5 crystal is modeled with exponential backgrounds (pedestal and low-gain dark current, green dashed line) and single photoelectron (Poisson component, blue long dashed line)

of approximately 20 NPE/keVee owing to the development of cracks because of the initial polishing process.

4.5 Background levels of the NaI(Tl) crystals

Low-energy data acquired via NaI(Tl) crystals predominantly collect non-physical events that are primarily caused by PMT-induced noise. These noise events can be caused by the radioactive impurities in the PMTs, discharge of an accumulated space charge, PMT dark current, and phosphorus tails of large pulses. In the COSINE-100 experiment, an effi-

cient noise rejection method was developed by employing a machine learning technique with multiple parameters using BDT [58]. As the BDT-based machine learning technique is under development for NEON data, box cuts for multiple parameters are applied. The parameters used in the event selection are the mean time, which is the amplitude-weighted average time of the events, a likelihood parameter for samples of scintillation-signal events and fast PMT-induced events [58], and the DAMA ES parameter [72], which is based on the difference between the trailing-edge (100–600 ns) and

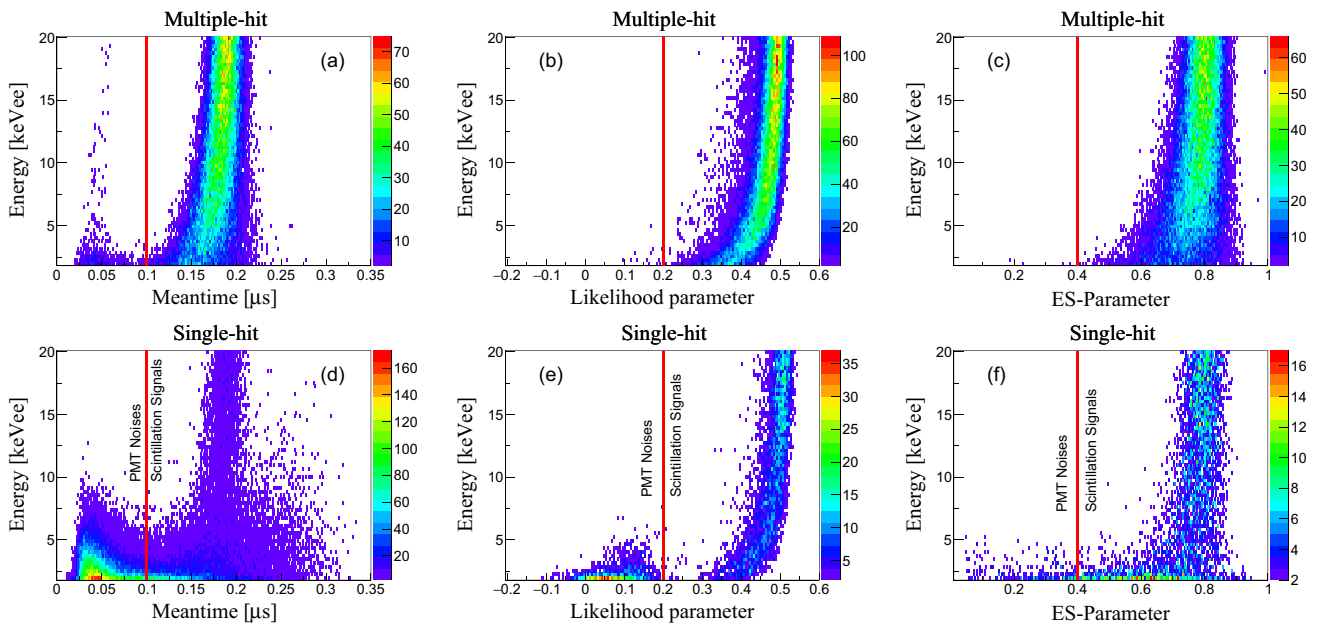


Fig. 14 Distribution of the event selection parameters: mean time (left **a** and **d**), likelihood parameter (middle **b** and **e**), and ES parameter (right **c** and **f**), are presented separately for the multiple-hit (top **a–c**) and single-hit data (bottom **d–f**). As the multiple-hit data is less affected

by the PMT-induced noise events, the selection criteria (red solid lines) were chosen so that the selection efficiency would be greater than 99%. The likelihood and ES parameters are shown only for the mean time accepted events

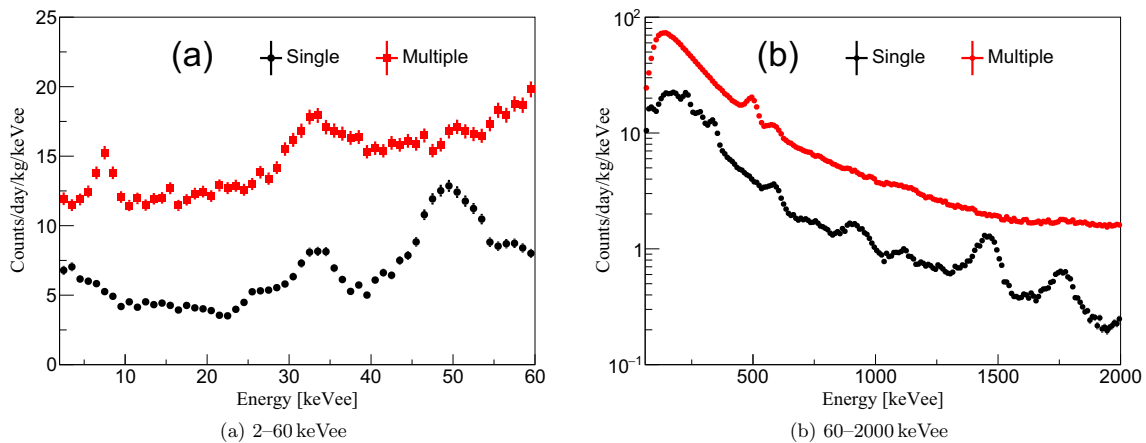


Fig. 15 Background spectra of the NEO-5 crystal, which are measured using the full power of the reactor, are shown for the single-hit (black dots) and multiple-hit (red squares) events. An effective

active veto using the liquid scintillator is shown as a large number of multiple-hit events. The single-hit 2–6 keVee has approximately 6 counts/kg/keV/day background level

leading-edge (0–50 ns) charge ratios to the total charge (0–600 ns). Figure 14 shows the event selection parameters for multiple- and single-hit data. As the multiple-hit data contained fewer PMT-induced noise events, the selection criteria are developed. An event selection efficiency above 2 keVee is maintained at more than 99%.

We process data obtained between September 2021 and November 2021 at the tendon gallery of reactor unit-6 with full power in the Hanbit nuclear power complex. Figure 15a

and b show examples of the background spectra from the NEO-5 crystal in the 2–60 keVee and 60–2000 keVee regions, respectively, following the application of the selection criteria. Here, the low-energy and high-energy spectra are calibrated with a 59.54 keV line of ²⁴¹Am and 511, 1274, and 1785 keV lines from ²²Na, assuming a linear response of NaI(Tl) scintillation. A multiple-hit event is classified when the other crystals or LS have hits that cannot be caused by CEνNS neutrino interactions. A single-hit event

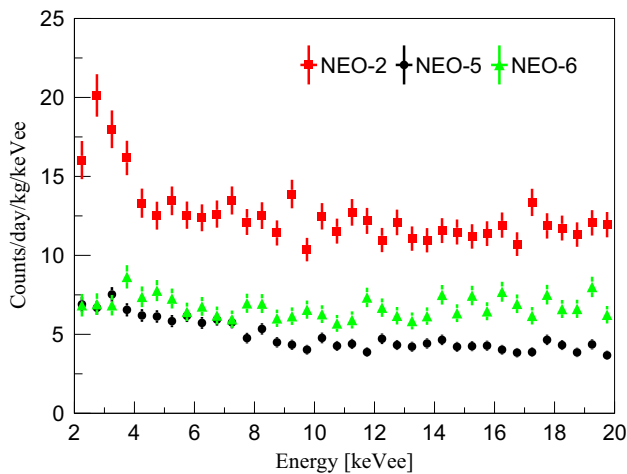


Fig. 16 Single-hit energy spectrum comparisons for three different-sized crystals (4-inch long NEO-6 and 8-inch long NEO-5) and different contamination levels (NEO-2) are shown for an energy region of 2–20 keVee. Owing to a particularly large contamination of ^{210}Pb and ^{40}K , the NEO-2 crystal has approximately twice the background level. Although the 4-inch-long crystal has a higher background level above the 8 keVee energy region owing to the larger impact of the external radiation, the low-energy spectrum around 2–6 keVee region is similar with the 8-inch-long crystal when the internal contamination levels are similar

has a hit only in a single crystal. The single-hit low-energy region corresponding to 2–6 keVee presents a background level of approximately 6 counts/kg/keV/day, although the multiple-hit region has a background level of approximately 13 counts/kg/keV/day. As the NEON shield does not have a muon tagging detector and has only a 10 cm-thick lead, relatively large backgrounds from external radiation are observed, particularly for the multiple-hit events compared to those of the COSINE-100 crystals [44]. However, the LS detector tags the dominant external background events; therefore, the single-hit physics data achieved are only twice as high as the COSINE-100 data. Figure 16 shows the low-energy single-hit spectra of three of the crystals: NEO-2, NEO-5, and NEO-6. The other crystals have similar background distributions with similar sizes and internal contamination crystals; for example, that of NEO-4 is similar to that of NEO-5, and those of NEO-1 and NEO-3 are similar to that of NEO-6. As the NEO-2 crystal contains a particularly large amount of ^{40}K and ^{210}Pb , as summarized in Table 1, the background level of the NEO-2 crystal is approximately twice larger than those of the other NEON crystals, as shown in Fig. 16. As the length of NEO-6 (4-inch long) is only half size of NEO-5 (8-inch long), the relative background contributions per unit kg weight from external radiations, such as PMT radioactivities, in the NEO-6 crystal are larger than those of the NEO-5 crystal. This results in increased rates of 4-inch-long crystals above the 8 keVee energy regions, as shown in Fig. 16. However, contributions from external

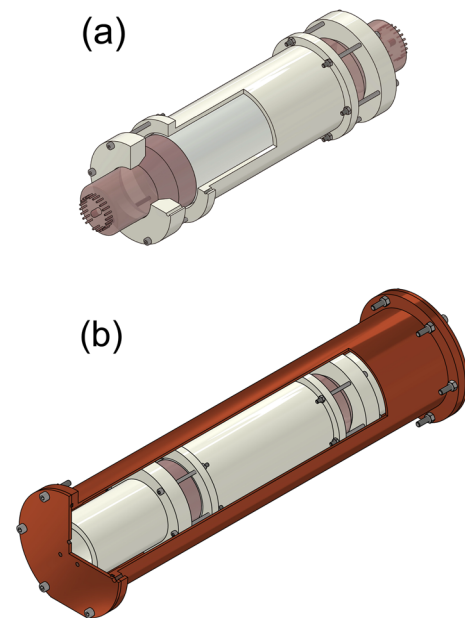


Fig. 17 Updated design of the NaI(Tl) detector encapsulation. **a** Inner structure for a mounting between the crystal and PMTs. **b** Encasement of the crystal-PMT structure in the copper case

radiation are quickly reduced in low-energy single-hit events [51, 53] so that the measured background levels at 2–6 keVee are similar between 4-inch long and 8-inch-long crystals in the case of similar internal contaminations.

Current NEON crystals are installed inside the inner acrylic box to avoid direct contact between the PMTs and LS. This design results in an increased background owing to ^{222}Rn in the volume of the inner acrylic box and reduces tagging efficiency for the events from radioactive decay of the PMTs. An upgrade of the current NaI(Tl) encapsulation has been planned to immerse the detector directly into the LS, similar to the COSINE-100 design [44]. Figure 17 presents the upgraded encapsulation design for NaI(Tl) crystals that encase PMTs with air-tight O-rings in the copper housing. The NaI(Tl) detectors are directly immersed in the LS without the inner acrylic box, and further reduction of the background is expected.

5 Liquid scintillator veto system

The LAB-LS comprises 3 g/L flour 2,5-diphenyloxazole (PPO), which is the primary fluorescent material, and 30 mg/L p-bis(o-methylstyryl)-benzene (bis-MSB) as a wavelength shifter [73–75]. To provide 800 L of LAB-LS, an 80 L concentrated master solution of PPO and bis-MSB is prepared. The master solution is mixed with LAB in a 1:20 ratio to obtain the final LAB-LS. The LS is produced in a surface-level laboratory and delivered to the reactor site.

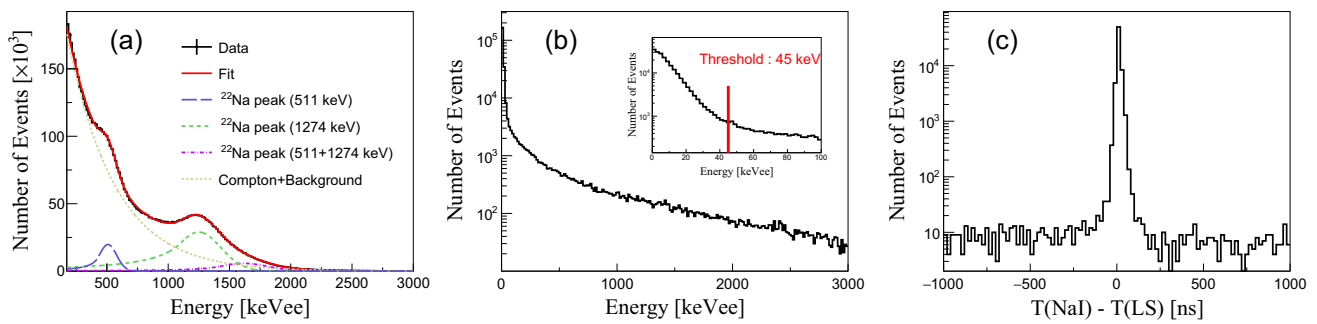


Fig. 18 **a** ^{22}Na calibration spectrum of the LS is modeled with known γ peaks. **b** Background energy spectrum of the LS is presented. Inset shows zoomed spectrum at the low energy and presents a 45 keV energy threshold for the multiple-hit requirement. **c** Time differences between

NaI(Tl) crystals and LS are presented. Time differences between NaI and LS are required to be within ± 150 ns so that the random coincidence events are less than 0.1%

Energy calibration of the LS veto system is performed with a ^{22}Na γ -ray source that produces two 511 keV and one 1275 keV γ simultaneously. Figure 18a shows the energy spectra of the LS detector with ^{22}Na calibration. Following the application of energy calibration to the data, the LS-deposited energy spectrum is obtained as shown in Fig. 18b.

To avoid baseline contribution, a 45 keV energy threshold from the LS is required for coincident multiple-hit event selection. Under these conditions, a clear time coincidence between the crystals and LS can be observed, as shown in Fig. 18c. For the coincident multiple-hit event, there is an additional requirement for the time difference between the NaI(Tl) crystals and LS to be within ± 150 ns. These multiple-hit requirements provide random coincidence events of less than 0.1%.

6 Data acquisition system and electronics

6.1 DAQ system and electronics

The data acquisition (DAQ) system and electronics are installed in an electronics rack near the detector, which is placed in a temperature-controlled vinyl house. The system comprises DAQ modules, high-voltage suppliers, and a computer. The same system was used for the COSINE-100 experiment with successful long-term operation [76]. Figure 19 shows the overall data flow diagram of the NEON experiment.

There are twelve 3-inch NaI(Tl) crystal-readout PMTs and ten 5-inch LS-readout PMTs. Each NaI(Tl) crystal PMT has two readout channels: a high-gain anode channel for low energy and low-gain dynode channel in the fifth stage for high energy. Analog signals from NaI(Tl) crystal readout PMTs are amplified using custom-made preamplifiers. The high-gain anode and low-gain dynode channel signals are amplified by 30 and 100 times, respectively. The amplified

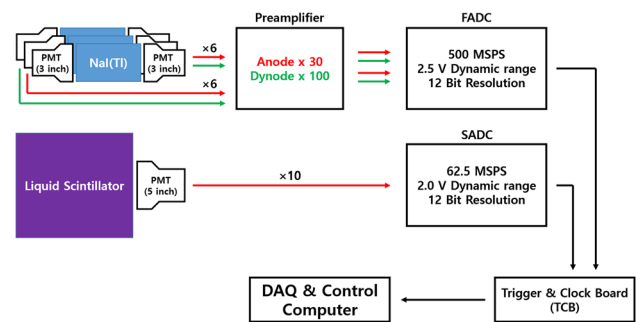


Fig. 19 Data flow block diagram. The crystal signals are recorded with FADCs while the LS signals are recorded with SADC. Global triggers are formed at the TCB

signals are converted to digital 500 mega samples per second (MSPS) using 12-bit flash analog-to-digital converters (FADCs). Further, unamplified signals from the LS PMTs are digitized using 62.5 MSPS ADC (SADC).

The events are triggered by the anode channel signals when at least one photoelectron, which is more than 20 ADC, is observed in both PMTs in coincidence within a 200 ns time window. A typical single photoelectron signal exhibits an average ADC count of 60. Triggers from individual channels are generated by field-programmable gate arrays embedded in the FADCs. The final decision for an event is made by a trigger and clock board (TCB) that synchronizes the timing of different modules. If one crystal satisfies the trigger condition, all FADCs (NaI(Tl) crystals) and SADC (LS) receive signals. For each FADC channel, an 8 μs waveform is recorded that starts at 2.4 μs prior to the trigger.

The triggered events are transferred to the DAQ computer through an USB3 connection in each DAQ module. Raw data are stored in ROOT format [77]. For channels with waveforms that are only non-triggered baselines, the content is suppressed to zero. The data size during operation is approximately 20 GB per day. Owing to the security policy in the reactor complex, the DAQ system cannot have an internet

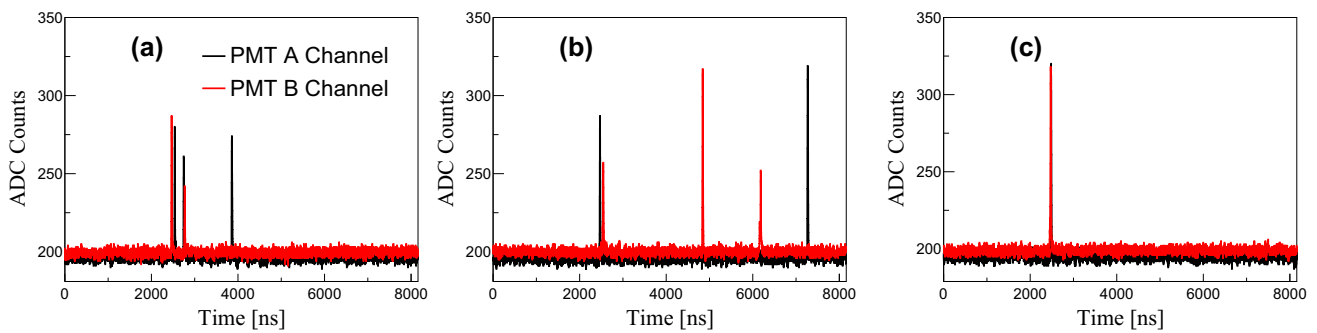


Fig. 20 **a** Example of the scintillation pulse with five photoelectrons. **b** Example of the software trigger rejected event with five photoelectrons. **c** Example of dominant ($> 80\%$) hardware triggered events, which have single use in each PMT, that are rejected by the software trigger

connection. All data are transferred to CPU farms at the Institute for Basic Science every two or four weeks using portable hard disks through onsite visits by shift workers.

6.2 Muon phosphorus events

Although the experimental site has an approximately 20 m water-equivalent overburden from an approximately 10 m concrete wall, a few muons pass through each crystal per minute. Thus, owing to their large energy deposition, direct muon hits in the crystal generate long phosphorus photons [78]. These photons can satisfy the trigger condition continuously for up to 1 s at a trigger rate of approximately 1000 Hz. Considering the buffer size of the DAQ modules and the speed of data transfer between the DAQ modules and computer, a maximum event rate of 500 Hz can be maintained. Event veto logic is embedded for the muon phosphorus events that applies a 300 ms dead time for energetic hit events. High-energy events are tagged via the requirement of above 2500 ADC counts for more than 300 ns in an adjacent time bin in the dynode channels that correspond to approximately 3000 keV events. Further, trigger information regarding energetic events is stored, and IN SITU estimation of dead time is provided. Thus, approximately 10% dead time for 8-inch crystals and 5% dead time for 4-inch crystals are evaluated. Furthermore, the total trigger rate in the physics run is maintained at less than 180 Hz.

6.3 Software trigger

Although the 300 ms hardware dead time is applied for high-energy events, most of the triggered events are due to long phosphorus events, similar to the examples shown in Fig. 20b and c rather than the typical scintillation candidate in (a). To effectively use the disk space and reduce the input/output load of the DAQ computer, software trigger logic is developed and implemented in the DAQ program to select only good scintillation candidates, as shown in Fig. 20a.

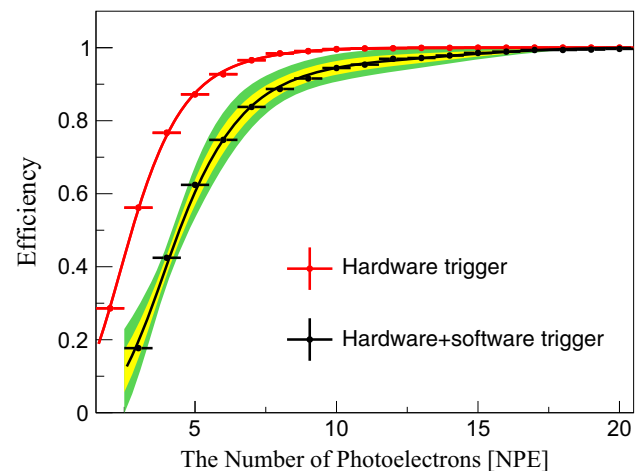


Fig. 21 Hardware and software trigger efficiencies from the simulated events are presented

When the event information is transferred to the DAQ computer, the waveforms of the six crystals are quickly scanned, and the parameters are evaluated to discriminate phosphorus events. The number of pulses provide the most effective criterion for discriminating between the phosphorus events. The main characteristic of the phosphorus event is that the pulses are spread out, as shown in Fig. 20b, and the software trigger requires at least three pulses within a 500 ns window from the first pulse time. The coincidence time is defined as the time difference between the first pulses from the two PMTs attached to a crystal. This condition is similar to that of the PMT coincidence for the hardware trigger described in Sect. 6.1. A software trigger applies this criterion when a hardware trigger occurs in another crystal channel. The examples of Fig. 20b and c are rejected owing to this requirement.

The other parameter is the mean time, which is calculated using Eq. 1. As shown in Fig. 10, the mean time of the scintillation event is mainly greater than $0.2 \mu\text{s}$, as the software trigger rejects events with a mean time of less than $0.015 \mu\text{s}$.

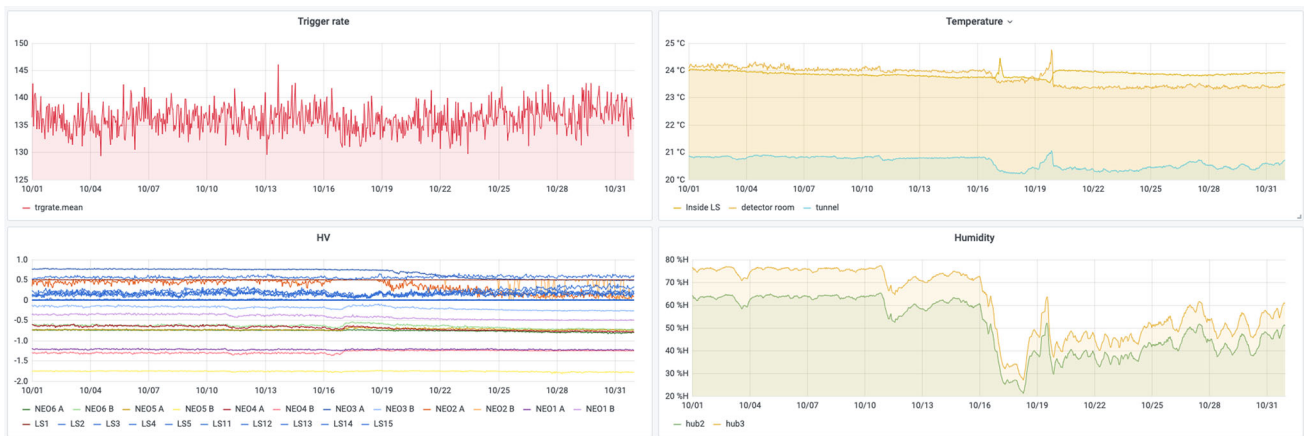


Fig. 22 Example of the slow monitoring panels recorded during October 2021. Trigger rate, temperatures, high voltages, and humidities are shown in this example

Events filtered by the software trigger are mostly removed, with only 0.1% being randomly written. By contrast, events that met all the criteria are tagged and fully recorded. The software trigger reduces the accepted event rates by approximately 90% and maintains recording event rates of less than 20 Hz. The efficiency of the hardware and software triggers is evaluated based on the simulated events of the scintillation photons, as shown in Fig. 21. An efficiency greater than 60% from the trigger is maintained for five or more NPE events.

7 Monitoring system

For stable data-taking and systematic analyses of tiny signals, environmental parameters, such as the trigger rate and detector temperature, high-voltage variations, and humidity, must be controlled and monitored. To achieve this, a variety of sensors for specific monitoring tasks are employed. These devices are controlled and read out using a common database server and a visualization program. This section briefly discusses the environmental monitoring system used in the NEON experiment.

Temperature and humidity are monitored using two thermo-hygrometers, and a thermocouple sensor. The thermocouple sensor is placed in contact with an LS inside an acrylic box. The other two thermo-hygrometer sensors monitor the temperature and humidity in the detector room and tunnel, respectively. The temperature and humidity status are monitored every minute. Furthermore, high voltages are provided and controlled by a CAEN high-voltage crate that is monitored by software provided by the company. In addition, the voltages of the preamp supply units are monitored using the Labjack U6 digital-to-analog converter module. All supplied high voltages, currents, preamp voltages, and PMT statuses are monitored once every 30 s. Moreover, the CPU,

memory, and disk usage of the DAQ computer are monitored every 10 s. Here, InfluxDB 7 was used to store the monitoring data, and Grafana 8 is used for visualization. Figure 22 shows an example of environmental monitoring performed in October 2021. A similar system has already been employed in the COSINE-100 experiment [79].

Owing to the security policy in the reactor complex, no online connection for the monitoring system is allowed. Shift crews transfer the monitoring data to an internet-connected server every two or four weeks through onsite visits.

8 Sensitivity

In the reactor core, several neutrinos are emitted from fission fragments through β decay. The fission fraction f_i for isotope i is 57.7% of ^{235}U , 29.6% of ^{239}Pu , 7.2% of ^{238}U , and 5.5% of ^{241}Pu , from cycle-9 of unit-5 of the Hanbit reactor complex, which has the same design as unit-6, and will be updated after completion of the current operation cycle. The fission rate R_f can be described as follows:

$$R_f = P_{\text{th}} / \langle E_r \rangle \approx 8.6 \times 10^{19} \text{ fission/s}, \quad (2)$$

where P_{th} denotes the thermal power of Hanbit reactor unit-6, $P_{\text{th}} = 2.815 \text{ GW}_{\text{th}}$, and $\langle E_r \rangle$ denotes the average released energy $\langle E_r \rangle = \sum_i f_i E_i \approx 205 \text{ MeV/fission}$ [80,81]. The neutrino flux at a distance L from the reactor core is

$$\Phi(E_\nu) = \frac{1}{4\pi L^2} \sum_i f_i \phi_i(E_\nu) \frac{P_{\text{th}}}{\langle E_r \rangle}, \quad (3)$$

where ϕ_i denotes the neutrino flux for isotope i . The neutrino flux per fission of major isotopes, such as ^{235}U , ^{238}U , ^{239}Pu , and ^{241}Pu , contributing to fission has been continuously reported [82,83], and we use the flux $\sum_i f_i \phi_i$ from Ref. [62], which describes neutrino flux below an inverted β -decay threshold of 1.8 MeV. The fission fractions used in

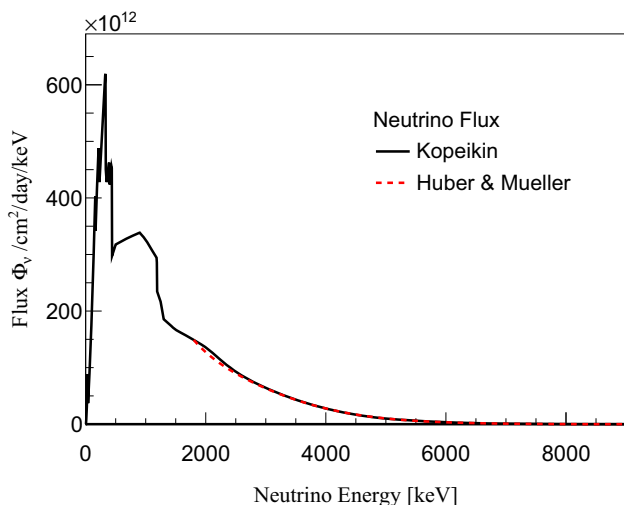


Fig. 23 Expected neutrino fluxes for the NEON experiment are presented for the Kopeikin [62] and Huber [82] and Mueller [83] models. In the Kopeikin model, the fission fractions of 0.56, 0.31, 0.07, and 0.06 for ²³⁵U, ²³⁹Pu, ²³⁸U, and ²⁴¹Pu, respectively, are used. As the Huber and Mueller model provided the neutrino flux from each isotope, we used the fission fractions of 0.577, 0.296, 0.072, and 0.055 for ²³⁵U, ²³⁹Pu, ²³⁸U, and ²⁴¹Pu, respectively

Refs. [62] were similar to those of the Hanbit reactor; therefore, we use the neutrino flux model. Figure 23 shows the expected neutrino flux at a NEON detector 23.7 m away from the reactor core. Different models by Huber [82] and Mueller [83] for neutrino energies above 1.8 MeV and the fission fraction of cycle-9 of the Hanbit reactor unit-5 show consistent neutrino flux. The total flux at this site is $8.09 \times 10^{12}/\text{cm}^2/\text{s}$.

The differential cross-section of CEνNS has a standard model prediction [86],

$$\frac{d\sigma}{dE_{\text{rec}}} = \frac{G_F^2 m_A}{2\pi} \left[(G_V + G_A)^2 + (G_V - G_A)^2 \left(1 - \frac{E_{\text{rec}}}{E_\nu} \right)^2 - (G_V^2 - G_A^2) \frac{m_A E_{\text{rec}}}{2E_\nu^2} \right],$$

where G_V and G_A denote coefficients related to vector and axial-vector coupling, respectively, G_F denotes the Fermi coupling constant, m_A denotes the nuclear mass of the target, E_{rec} denotes the nuclear recoil energy, and E_ν denotes the neutrino energy. Considering the small contribution of the axial term and low moment transfer in the CEνNS process [87,88], the differential cross-section is approximately expressed as

$$\frac{d\sigma}{dE_{\text{rec}}} = \frac{G_F^2 m_A}{4\pi} \left(1 - \frac{m_A E_{\text{rec}}}{2E_\nu^2} \right) Q_w^2, \tag{4}$$

where Q_w denotes a weak charge expressed as follows:

$$Q_w = Z(1 - 4 \sin^2 \theta_W) - N, \tag{5}$$

where θ_W denotes the weak mixing angle and $Z (N)$ denotes the proton (neutron) number. The differential rate can be expressed by combining the neutrino flux in Eq. 3 and the differential cross-section in Eq. 4,

$$\frac{dR}{dE_{\text{rec}}} = n_t \int_{E_{\text{thr}}}^\infty dE_\nu \Phi(E_\nu) \frac{d\sigma}{dE_{\text{rec}}}(E_\nu), \tag{6}$$

where n_t denotes the number of target nuclei and $E_{\text{thr}} = \sqrt{E_{\text{rec}} m_A / 2}$ denotes the threshold of the neutrino energy. Figure 24a shows the expected signal rates in the recoil energy assuming 1 year of data from the NEON experiment. Owing to the low atomic mass number of sodium, it generates events up to 5 keVnr, while iodine interactions are less than 1 keVnr, where keVnr is the unit keV nuclear recoil energy.

The scintillation light yields for nuclear recoils are quenched to those of γ /electron-induced radiation of the same energy [89–91]. To express the CEνNS rate in terms of the electron-equivalent visible energy (E_{vis}), the nuclear recoil quenching factor (QF) for each nucleus should be considered.

$$\text{QF}(E_{\text{rec}}) \equiv \frac{E_{\text{vis}}}{E_{\text{rec}}}. \tag{7}$$

Recent measurements of the nuclear recoil QFs of sodium and iodine in NaI(Tl) crystals have been reported as approximately 10% and 5%, respectively, with strong energy dependence [84,85,92]. As there are no measurements of E_{rec} below 5 keVnr, extrapolation of the measurements to the low-energy region is required. Here, we use parameterizations of QFs using a modified Lindhard model [90] that was used for the COSINE-100 data interpretation [93],

$$\text{QF}(E_{\text{rec}}) = \frac{p_0 f(\epsilon)}{1 + p_0 f(\epsilon)}, \tag{8}$$

where $\epsilon = p_1 E_{\text{rec}}$, and the function $g(\epsilon)$ is [94]

$$g(\epsilon) = 3\epsilon^{0.15} + 0.7\epsilon^{0.6} + \epsilon. \tag{9}$$

Here, p_0 and p_1 denote the fit parameters for describing the QF measurements. This model describes the recent measurements well, as shown in Fig. 25. Owing to the large statistical uncertainties in Ref. [92], only two measurements by Joo et al. [84] and Xu et al. [85] are considered. Measurements by Joo et al. used a crystal from Alpha Spectra, which provided all the NEON crystals. These two measurements used a similar energy calibration method that assumed a linear response of energy for the 59.54 keVee line of ²⁴¹Am [84] or the 57.6 keVee line from the first excited state of ¹²⁷I [85]. Owing to the nonproportionality [95] of the NaI(Tl) crystal, different calibration methods lead to different QF results [96]. In this study, we use the same calibration method as that used for the 59.54 keVee line.

As one can see in Fig. 25, the two measurements for the sodium nuclei exhibited approximately 20% different results.

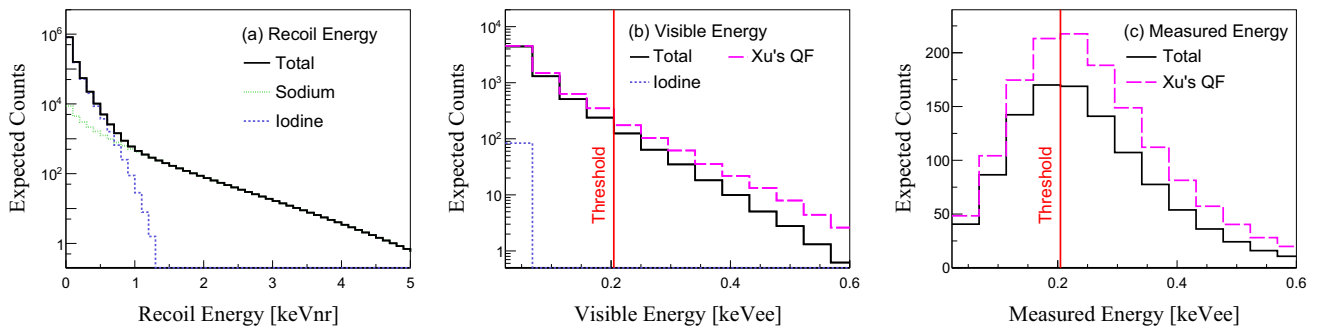


Fig. 24 Expected $\text{CE}\nu\text{NS}$ signals in the NEON experiments for 1 year of data taking are presented. **a** Expected $\text{CE}\nu\text{NS}$ signal rates in recoil energy are presented for sodium (green solid line), iodine (blue solid line), and combined NaI (black solid line). **b** Visible energy spectra

applying the QF values for two measurements by Joo [84] (solid line) and Xu [85] (dashed line). **c** Expected measured event rates after smearing resolution based on Poisson statistics and selecting only accepted events from the hardware and software triggers

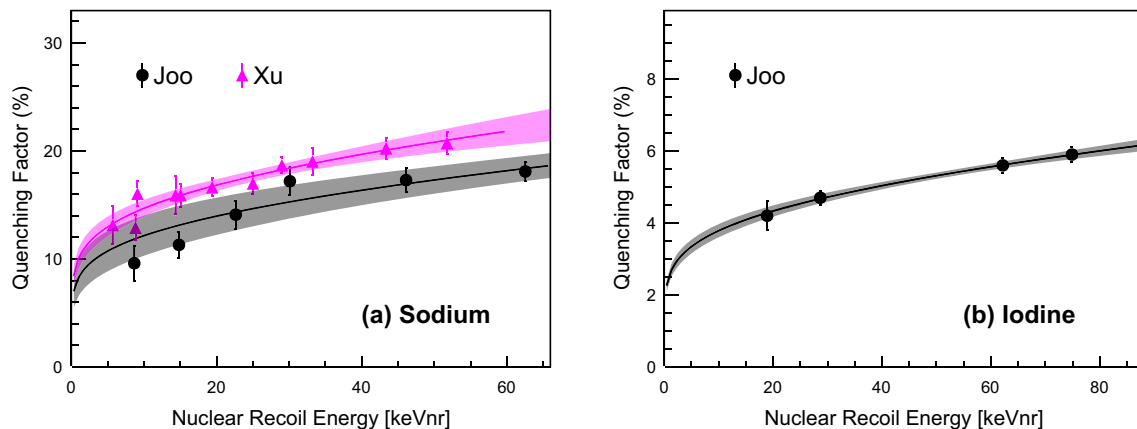


Fig. 25 Measured quenching factors by Joo et al. [84] (black circles) and Xu et al. [85] (purple triangles) for sodium (a) and iodine (b) are fitted with the modified Lindhard model

Although Joo's measurement used the Alpha Spectra crystal, preliminary results [96] using five different Alpha Spectra crystals were consistent with Xu's measurement. For this reason, we evaluate the sensitivities using both the QF results. However, we do not consider the uncertainties from the model fits in this study. Figure 24b shows the visible energy spectra obtained by applying the two QF models. Owing to the 20% higher QF values from Xu et al., the expected events above the 0.2 keVee threshold are approximately 30% higher than those of Joo et al. Above the 0.2 keVee threshold, no iodine contributions are expected. Because of large uncertainties of the low energy calibration for $\text{CE}\nu\text{NS}$ measurements, we currently study the low energy QF of sodium below 5 keVnr using the deuteron-deuteron fusion generator [84] by locating the neutron tagging detector collinear to the neutron beam that is approximately 10° with the neutron beam direction. We also consider to install deuterium-loaded neutron reflector for the low energy neutron beam as suggested in Ref. [97].

A fast simulation toolkit for event generation is developed to account for the energy resolution and trigger efficiencies. When a $\text{CE}\nu\text{NS}$ interaction deposits recoil energy E_{rec} in the

crystal, the quenched visible energy (E_{vis}) produces scintillation photons based on Poisson statistics.

$$N_{pe} = \text{Pois}(E_{\text{vis}} \times LY), \quad (10)$$

where LY denotes the light yield of the NaI(Tl) crystals corresponding to approximately 22 NPE/keVee and N_{pe} denotes the number of photoelectrons after a Poisson random variation. The total N_{pe} is distributed in the two PMTs, assuming a binomial distribution and the scintillation decay time of the NaI(Tl) crystal. The charge dispersion of a single photoelectron owing to PMT amplification is described by the single photoelectron charge distributions shown in Fig. 13. The shape of the generated scintillation event is convoluted with the measured pedestal fluctuations. Simulated signal events are recorded in a format that matches that of the NEON DAQ system. The hardware and software triggers are simulated to use only trigger accepted events. The expected $\text{CE}\nu\text{NS}$ signals, considering the aforementioned process, are shown in Fig. 24c.

To estimate the sensitivity, we assume a few physics parameters: 7 counts/kg/keV/day flat background, the QF

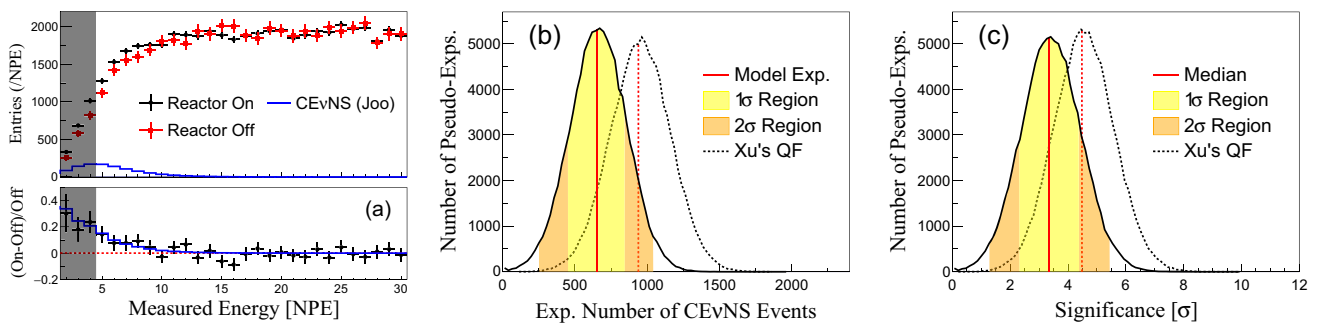


Fig. 26 **a** Example of the simulated experiments assuming 7 counts/day/kg/keV flat background, 22 PEs/keV light yield, 1-year reactor-on data (black points), and 100-days reactor-off data (red points) are presented. The reactor-off data is scaled with the ratio of time exposure between the reactor-on and reactor-off periods. The reactor-on data includes the expected CEvNS events (blue line) obtained in Fig. 24 (c) for the QF values from Joo. In this pseudo experiment, the χ^2 fit obtains 649 ± 193 CEvNS signal events. **b** Results of 100,000 independent simulated experiments are presented. Here a median expected number of signals, 650 events, was obtained

with 1σ variation of 197 events with the input signal for the Joo’s QF values (solid lines). Vertical line represents the input number expected by the standard model of 656. If we use Xu’s QF values (dotted lines), the median expected signal is 941 ± 209 with an input number of 939 (vertical lines). **c** Significances of the CEvNS observation from 100,000 independent simulated experiments are estimated using the significance tests based on χ^2 differences. A median significance of $3.34 \pm 1.03\sigma$ and 4.48 ± 1.04 were obtained for the Joo and Xu QF values, respectively

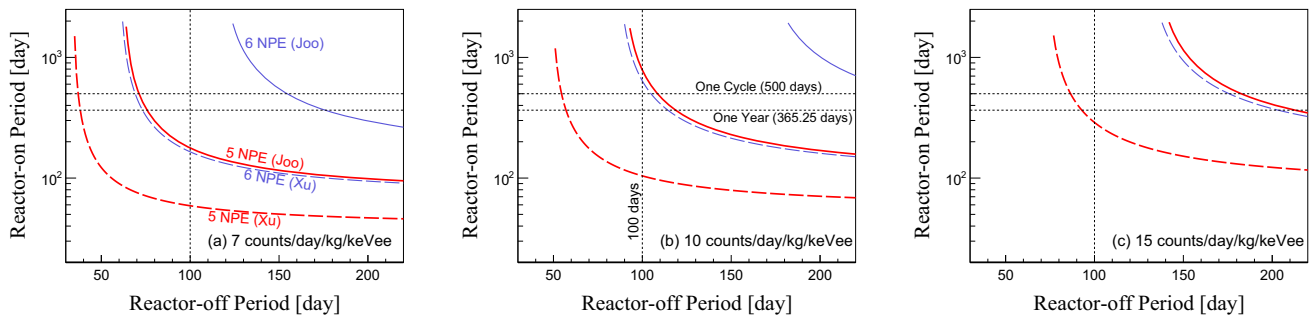


Fig. 27 Discovery sensitivities at 3σ confidence levels are presented in data exposures of the reactor-on (Y-axis) and reactor-off (X-axis). We vary NPE thresholds from 5 to 6 for two different QF models assuming 7 counts/kg/keV/day (a), 10 counts/kg/keV/day (b), and 15 counts/kg/keV/day (c) background levels

models in Fig. 25 based on Joo’s and Xu’s measurements, and a 1-year reactor-on period and 100-days reactor-off period with 100% live time and 100% event selection efficiency. 100,000 pseudo-data sets are prepared, and each set consists of reactor-on and reactor-off data based on the aforementioned assumptions, together with the Poisson random variation in each energy bin. Black circles and red squares in Fig. 26a are examples of reactor-on and reactor-off simulated datasets. Blue lines present the expected CEvNS signals. The CEvNS signals are extracted by χ^2 minimization from $NPE = NPE_{thr}$ to $NPE = 30$,

$$\chi^2(\psi) = \sum_{i=NPE_{thr}}^{30} \frac{(N_{on,i} - \alpha_i N_{off,i} - \psi E_i)^2}{N_{on,i} + \alpha_i^2 N_{off,i}}, \quad (11)$$

where $N_{on,i}$ and $N_{off,i}$ denote the number of events in i^{th} energy (N_{pe}) bin for reactor-on and reactor-off data, respectively, E_i denotes the expected CEvNS events in the i^{th} energy bin, and α_i denotes the ratio of reactor-on to

reactor-off exposure time. We assume that the NPE threshold $NPE_{thr} = 5$ corresponds to 0.2 keVee energy threshold. χ^2 is minimized with variation in ψ , and the minimum chi-square $\chi^2_{min} = \chi^2(\hat{\psi})$ is obtained with the best-fit value of ψ where $\psi = 1$ indicates the standard model expectation. In addition, the chi-square value $\chi^2(0)$ when $\psi = 0$ is calculated as a null hypothesis. The χ^2 difference between CEvNS and the null hypothesis $\Delta\chi^2 = \chi^2(0) - \chi^2(\hat{\psi})$ is evaluated to estimate the strength of the CEvNS hypothesis. The same procedures for 100,000 independent pseudo experiments are performed to obtain a distribution of the observed signal events and signal significance, as shown in Fig. 26b and c, respectively. The medians of the expected signal events are 650 ± 197 and 941 ± 209 for the QF values from Joo and Xu, respectively. The corresponding signal significances are $3.34 \pm 1.03\sigma$ and $4.48 \pm 1.04\sigma$, respectively. For both the QF hypotheses, we expect more than 3σ significance.

Owing to uncertain parameters in the region of interest (0.2–0.5 keVee), we consider different cases of poorer detector responses, such as higher energy thresholds from 5 to 6NPE, and higher background levels of 7, 10, and 15 counts/kg/keV/day. Figure 27 presents the data exposures necessary to achieve a 3σ significance for the $\text{CE}\nu\text{NS}$ observation for various detector performances. In most cases, we can achieve 3σ observation significance if we take 1-year reactor-on and 200-days reactor-off data. In the case of a higher threshold of 6NPE and higher backgrounds of 10 or 15 counts/kg/keV/day, it is difficult to achieve 3σ significance assuming Joo's QF model.

9 Summary

The NEON experiment aims to observe $\text{CE}\nu\text{NS}$ using reactor electron antineutrinos. The detector comprises six NaI(Tl) crystals with several layers of shield and is installed in the tendon gallery of a nuclear reactor with a thermal power of 2.8 GW that is approximately 23.7 m away from the reactor core. The detector has been acquiring data at full reactor power since May 2021. The initial data reveal an excellent performance of the detector with an acceptable background level of 6 counts/keV/kg/day in the 2–6 keVee energy region. Assuming a 1-year reactor-on and 100 days reactor-off data, 0.2 keVee energy threshold with 22 NPE/keVee light output, and 7 counts/kg/keV/day background in the region of interest (0.2–0.5 keVee), $\text{CE}\nu\text{NS}$ observation sensitivity of the NEON experiment is evaluated as more than 3σ .

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