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## Clinical Practice Guideline for Endoscopic Resection of Early Gastrointestinal Cancer

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Although surgery was the standard treatment for early gastrointestinal cancers, endoscopic resection is now a standard treatment for early gastrointestinal cancers without regional lymph node metastasis. High-definition white light endoscopy, chromoendoscopy, and image-enhanced endoscopy such as narrow band imaging are performed to assess the edge and depth of early gastrointestinal cancers for delineation of resection boundaries and prediction of the possibility of lymph node metastasis before the decision of endoscopic resection. Endoscopic mucosal resection and/or endoscopic submucosal dissection can be performed to remove early gastrointestinal cancers completely by *en bloc* fashion. Histopathological evaluation should be carefully made to investigate the presence of risk factors for lymph node metastasis such as depth of cancer invasion and lymphovascular invasion. Additional treatment such as radical surgery with regional lymphadenectomy should be considered if the endoscopically resected specimen shows risk factors for lymph node metastasis. This is the first Korean clinical practice guideline for endoscopic resection of early gastrointestinal cancer. This guideline was developed by using mainly de novo methods and encompasses endoscopic management of superficial esophageal squamous cell carcinoma, early gastric cancer, and early colorectal cancer. This guideline will be revised as new data on early gastrointestinal cancer are collected. **Clin Endosc 2020;53:142-166**

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## INTRODUCTION

Endoscopic resection is a minimally invasive procedure for the treatment of early gastrointestinal cancers including esophageal, gastric, and colorectal cancers.<sup>1</sup> In South Korea, the widespread use of upper gastrointestinal and colorectal endoscopies for screening purposes has increased the rate of early diagnosis of gastrointestinal cancers and, subsequently, the number of endoscopic resections performed for early gastrointestinal cancers.<sup>2,3</sup> The popularity of endoscopic submucosal dissection (ESD) has rapidly increased with over thousands of ESD procedures performed per year as the procedure allows the *en bloc* resection of a lesion regardless of its size and location.<sup>3,4</sup> ESD was first introduced in South Korea in 1999 and has been widely accepted as a treatment method for early gastric cancer since 2003. It was performed in 45 tertiary medical institutions in 2014 and is being performed in 44% of the total 287 general hospitals in South Korea.<sup>5</sup>

Endoscopic resection does not require general anesthesia, has fast recovery time relative to the extent of resection, requires a short hospital stay, and is cost-friendly.<sup>6</sup> However, since the procedure only resects primary local lesions and not the lymph nodes, it is important to screen patients for early gastrointestinal cancer without a possibility of lymph node metastasis before endoscopic resection.<sup>1,7,8</sup> Additionally, even if endoscopic resection of a local lesion is successful, surgical resection must still be considered to minimize the possibility of cancer recurrence and metastasis when histopathological risk factors associated with cancer recurrence in the lymph nodes are detected in the endoscopic resection specimen. High-resolution endoscopy, image-enhanced endoscopy, chromoscopy, magnification endoscopy, endoscopic ultrasound, and computed tomography (CT) are used in making the clinical decision of whether to perform endoscopic resections,<sup>9-15</sup> and models have been developed that can predict patients with high likelihoods of lymph node metastasis.<sup>1,7,8,16,17</sup> Evidence-based guidelines published from other countries help clinicians with decision-making regarding the examination and treatment of gastrointestinal cancers.<sup>1</sup> However, since

the incidence of gastrointestinal cancers and available medical resources vary greatly depending on the target organs (esophagus, stomach, and colon), countries, and regions, direct application of foreign guidelines to the medical situations of South Korea would be inadequate. South Korea still has no clinical practice guidelines for endoscopic resection of early gastrointestinal cancers despite the high need for it, forcing physicians to refer to foreign clinical practice guidelines or review domestic literatures and apply their results in clinical practice. The present clinical practice guideline comprehensively reviews studies on endoscopic resection of early gastrointestinal cancers conducted in and outside Korea and proposes recommendations for the examination and treatment of early gastrointestinal cancers after considering the epidemiological and clinical characteristics of early gastrointestinal cancers and medical environments in the country. This guideline consists of three sections, each discussing superficial esophageal squamous cell carcinoma (SESCC), early gastric cancer, and early colorectal cancer, and will be subject to revisions and modifications based on future research findings.

## METHOD

### Purpose and scope of developing clinical practice guideline

We aimed to develop a treatment guideline for endoscopic resection of early gastrointestinal cancers that caters to the current medical situations in Korea and can be used in clinical settings. The target population for this guideline included male and female adults with SESCO, early gastric cancer, and early colorectal cancer requiring endoscopic resection. The users of this clinical guideline are gastroenterologists who perform gastrointestinal endoscopy in primary, secondary, and tertiary medical institutions. To facilitate the understanding of gastroenterologists, the definitions of terms regarding endoscopic resection were presented in Table 1. The purpose of the guideline is to help these physicians make decisions regarding patient diagnosis, preoperative evaluation, method of resec-

**Table 1.** Definition of Terms Related to Endoscopic Resection

Term	Definition
<i>En bloc</i> resection	Resection of a tumor in one piece without visible residual tumor
Complete resection	Resection of a tumor without histological evidence of tumor cell involvement on the lateral and vertical resection margins
Curative resection	Resection of an early gastrointestinal cancer, which is considered curative based on complete resection and minimal to no risk of lymph node metastasis The criteria for curative resection are different according to the type of cancers (early esophageal, gastric and colorectal cancers)

tion, and postoperative management. It also aims to guide resident physicians and hospital employees in these aspects and provide patients and healthy persons with realistic and standard medical information.

### Formation of the Clinical Practice Guideline Committee and development process

The Clinical Practice Guideline Committee consisted of the president (Hoon Jai Chun), congress chairman (Soo Teik Lee), and committee members of Korean Society of Gastrointestinal Endoscopy (KSGE) in November, 2017. The members of the committee established a development strategy, elected a director of clinical practice guideline project, and reviewed and approved budgets regarding the project. In addition, they reviewed proposed recommendations and ensured editorial independence and participation of all involved parties in the guideline editing process. The Clinical Practice Guideline Committee in January, 2020 (Joo Young Cho, the president and Chan Guk Park, the congress chairman) reviewed the final version of guideline and approved its publication.

The Clinical Practice Guideline Committee formed the KSGE Task Force on Clinical Practice Guideline, which directed the development of the clinical practice guideline for endoscopic resection of early gastrointestinal cancers. For multidisciplinary development of the clinical practice guideline, Jeong-Sik Byeon, a gastroenterologist and member of KSGE, was appointed as the director of the KSGE Task Force on Clinical Practice Guideline along with the recommended medical experts of KSGE (Jong Wook Kim, Jie-Hyun Kim, Ji Hyun Kim, Yang Won Min, Chan Hyuk Park, Si Hyung Lee and Jong Yeul Lee), the Korean Society of Gastroenterology (Jung Ho Bae, Dong-Hoon Yang, Hyunsoo Chung and Kee Don Choi), the Korean College of *Helicobacter* and Upper Gastrointestinal Research (Jun Chul Park and Hyuk Lee), the Korean Association for the Study of Intestinal Diseases (Min-Seob Kwak), the Korean Society of Gastrointestinal Cancer (Bun Kim and Hyun Jung Lee), and the Korean Society of Pathologists (Hye Seung Lee) as members of the KSGE Task Force. Additionally, two experts (Dong-Ah Park and Miyoung Choi) of clinical practice guideline development from the National Evidence-based Healthcare Collaborating Agency participated in the guideline development. Three sub-committees were formed for each gastrointestinal cancer—SESCC (team leader: Kee Don Choi), early gastric cancer (team leader: Jong Yeul Lee), and early colorectal cancer (Dong-Hoon Yang)—to ensure systematic guideline development. The sub-committees selected key questions for the guideline, conducted a literature search, derived recommendations, and wrote and edited the first draft of the guideline.

To maintain consistency in guideline development among

the sub-committees, the KSGE Task Force on Clinical Practice Guideline held four meetings since December 22, 2017. The Task Force also held two workshops to establish a methodology for guideline development and review the development process (March 12, 2018 and November 10, 2018). These workshops were accompanied by an education session on the methods of guideline development, grading of scientific evidence and recommendations, and achievement of recommendation consensus. The Task Force chose the de novo guideline development approach. The sub-committees for guideline development developed the clinical practice guideline through online and in-person meetings.

### Selection of key questions

Selection criteria were established, and a questionnaire was formed through the PICO process wherein key questions to be included in the clinical practice guideline were derived. P (population) represents patients with SESCO, early gastric cancer, and early colorectal cancer; I (intervention) represents interventions including diagnostic and treatment methods; C (comparison) includes patient groups for comparison between specific intervention methods; and O (outcome) represents the usefulness of diagnosis or treatment outcome. The PICO processes are presented in Supplementary material 1. The members of the sub-committees for guideline development gathered questionnaires containing key questions and rated the importance of each question to determine the questions to be included in the clinical practice guideline. Studies were excluded if any of the following was noted: (1) the studies did not involve human subjects or the target patients of the guideline's key questions; (2) the studies did not conduct an intervention related to the key questions and an intervention for comparison; (3) the studies were review articles, case reports or abstracts only; (4) the studies were not published in English or Korean; and (5) the studies' original copies could not be found. In case where  $\geq 2$  studies used the same groups of subjects, the smaller studies were excluded.

### Literature search and selection

A literature search was conducted using the MEDLINE, EMBASE, Cochrane Library, KoreaMed, and the Guideline International Network in August 2018 by Miyoung Choi, a researcher from the National Evidence-based Healthcare Collaborating Agency. Keywords related to esophageal cancer (“esophageal” OR “esophagus” OR “oesophageal” OR “oesophagus” OR “gullet”) AND (“cancer\$” OR “tumo?:r” OR “carcinoma\$” OR “adenocarcinoma\$” OR “neoplas\$”), gastric cancer (“stomach” OR “gastric”) AND (“cancer\$” OR “tumo?:r” OR “carcinoma\$” OR “adenocarcinoma\$” OR “neoplas\$”), colorectal cancer (“colon\$” OR “rectum” OR “colorectal” OR

“rectal”) AND (“polyp\$” OR “cancer” OR “adenoma\$” OR “adenocarcino\$” OR “carcino\$” OR “tumo?r”), and endoscopic resection (“endoscop\$”) AND (“dissection” OR “resection” OR “treat\$” OR “ESD”)) were used. Different keywords or different combinations of keywords were also used based on the key questions. Duplicate articles were removed. Two committee members were assigned to the key questions, and they independently selected articles according to the inclusion and exclusion criteria. They first removed articles irrelevant to the guideline development based on titles and abstracts and then reviewed the entire content of the remaining articles for further screening. Any disagreements between the two members were resolved through negotiations. In case they did not reach a consensus, the team leader of the corresponding sub-committee made the final decision. The flowchart showing the searching process is shown in Supplementary material 2.

### Bias assessment and summary of evidence and recommendation grade

The validity of the selected articles that would form the basis of the clinical practice guideline was assessed using systematic methods. The revised Cochrane Risk of Bias Tool was used to evaluate randomized comparative studies,<sup>18,19</sup> and RoBANS 2.0 and Newcastle–Ottawa assessment scale were used to evaluate nonrandomized studies.<sup>20</sup> The QUADAS-2 tool was used for diagnostic studies.<sup>21</sup> The GRADE method was used to present summaries of evidence.<sup>22</sup> Although, by default, randomized comparative studies have high levels of

evidence, and observational studies low levels of evidence, a study’s final level of evidence was determined as high, moderate, low, or very low based on various factors affecting the quality of research.

The grade of recommendation was determined as strong or weak based on the balance between positive and negative effects of the recommendation, quality of evidence, values, and preferences. Strong recommendations are recommended to most patients since the recommendations have more positive than negative effects, are supported by high-quality evidence, are highly valuable, and are more strongly preferred than other interventions. Weak recommendations are also beneficial for many patients although they have relatively small positive effects that are supported by weak evidence. Alternative intervention method may be chosen instead of the weakly recommended intervention depending on the health professionals’ values and preferences. Tables 2-4 summarize the recommendations with their grades of recommendation and levels of evidence, respectively.

### Review and approval

An editorial committee consisting of 29 members of KSGE Steering Committee, 14 members from the Insurance Committee, and 15 members from the Research Group for Endoscopic Submucosal Dissection was formed in August, 2019. The members evaluated the first draft of the guideline using open-ended questions. The draft was revised by the KSGE Task Force on Clinical Practice Guideline and re-evaluated

**Table 2.** Summary and Strength of Recommendations for Superficial Esophageal Squamous Cell Carcinoma

Statement E1: We recommend endoscopic resection for SESCC without distant or lymph node metastasis, excluding those with obvious submucosal invasion (Grade of recommendation: strong, Level of evidence: moderate).
Statement E2: We recommend Lugol chromoendoscopy and/or image-enhanced endoscopy to define the extent of lesion before endoscopic treatment of SESCC (Grade of recommendation: strong, Level of evidence: moderate).
Statement E3: We recommend endoscopic ultrasound to define the stage of SESCC before endoscopic treatment (Grade of recommendation: strong, Level of evidence: moderate).
Statement E4: We suggest magnifying endoscopy with narrow band imaging for SESCC to assess the depth of invasion before endoscopic treatment (Grade of recommendation: weak, Level of evidence: low).
Statement E5: We recommend endoscopic submucosal dissection rather than endoscopic mucosal resection for <i>en bloc</i> and curative resection of SESCC confined to the mucosa (Grade of recommendation: strong, Level of evidence: moderate).
Statement E6: We recommend oral steroid or local steroid injection therapy for patients who develop mucosal defects in >75% of the esophageal circumference after endoscopic submucosal dissection to prevent esophageal stricture (Grade of recommendation: strong, Level of evidence: moderate).
Statement E7: No additional treatment is recommended after <i>en bloc</i> complete resection of SESCC invading no more than the lamina propria with no lymphovascular invasion because of a very low risk of lymph node metastasis (Grade of recommendation: strong, Level of evidence: moderate). As the risk of lymph node metastasis of a tumor invading into the muscularis mucosa without lymphovascular invasion is low, a close follow-up after <i>en bloc</i> complete endoscopic resection can be considered without additional treatment (Grade of recommendation: weak, Level of evidence: low). In case of a tumor with submucosal invasion, lymphovascular invasion, and/or positive vertical resection margin, additional treatment is recommended (Grade of recommendation: strong, Level of evidence: moderate).

SESCC, superficial esophageal squamous cell carcinoma.

**Table 3.** Summary and Strength of Recommendations for Early Gastric Cancer

Statement G1: We recommend chromoendoscopy/image-enhanced endoscopy to determine the extent of lesion before endoscopic treatment of early gastric cancer (Grade of recommendation: strong, Level of evidence: moderate).
Statement G2: Endoscopic ultrasonography before endoscopic resection of early gastric cancer may be helpful in determining the depth of invasion in some patients with early gastric cancer (Grade of recommendation: weak, Level of evidence: moderate).
Statement G3: We recommend endoscopic resection for early gastric cancer of well or moderately differentiated tubular or papillary adenocarcinoma meeting endoscopically estimated tumor size $\leq 2$ cm and endoscopically suspected mucosal cancer without ulcer (Grade of recommendation: strong, Level of evidence: moderate).
Statement G4: We suggest endoscopic resection for early gastric cancer of well or moderately differentiated tubular or papillary adenocarcinoma meeting the following endoscopic findings: 1) mucosal cancer $>2$ cm without ulcer, or 2) mucosal cancer $\leq 3$ cm with ulcer (Grade of recommendation: weak, Level of evidence: moderate).
Statement G5: We suggest endoscopic resection for poorly differentiated tubular adenocarcinoma, poorly cohesive carcinoma, and signet ring cell carcinoma meeting the following endoscopic findings: endoscopically estimated tumor size $\leq 2$ cm, endoscopically mucosal cancer, and no ulcer in the tumor (Grade of recommendation: weak, Level of evidence: low).
Statement G6: We recommend prophylactic hemostasis of visible vessels on the post-resection ulcer caused by endoscopic resection of early gastric cancer to lower the risk of delayed bleeding (Grade of recommendation: strong, Level of evidence: low).
Statement G7: We recommend proton pump inhibitors to decrease the risk of symptoms and complications associated with iatrogenic ulcers caused by endoscopic resection of early gastric cancer (Grade of recommendation: strong, Level of evidence: high).
Statement G8: We recommend endoscopic closure as the first treatment option for perforation that occurred during endoscopic resection of early gastric cancer (Grade of recommendation: strong, Level of evidence: low).
Statement G9: We recommend surgical gastrectomy if histopathological evaluation after endoscopic resection of early gastric cancer meets the criteria for non-curative resection. An exception applies if cancer invasion is observed at the horizontal resection margin only (Grade of recommendation: strong, Level of evidence: moderate).
Statement G10: We recommend additional endoscopic management rather than surgical gastrectomy if histopathological evaluation of endoscopically resected early gastric cancer specimen shows positive involvement at the horizontal resection margin without any other findings compatible with non-curative resection (Grade of recommendation: strong, Level of evidence: moderate).
Statement G11: We recommend <i>Helicobacter pylori</i> eradication treatment after endoscopic resection of early gastric cancer in <i>H. pylori</i> -infected patients (Grade of recommendation: strong, Level of evidence: high).
Statement G12: We recommend regular surveillance endoscopy every 6–12 month for patients who have had curative endoscopic resection of early gastric cancer based on absolute or expanded criteria for early detection of metachronous gastric cancer (Grade of recommendation: strong, Level of evidence: low).
Statement G13: We suggest regular abdominopelvic computed tomography scan of 6–12 month interval for detection of extra-gastric recurrence after curative endoscopic resection of early gastric cancer based on absolute and expanded criteria (Grade of recommendation: weak, Level of evidence: low).

**Table 4.** Summary and Strength of Recommendations for Early Colorectal Cancer

Statement C1: Poor histologic types (poorly differentiated adenocarcinoma, signet ring cell carcinoma, and mucinous carcinoma), deep submucosal invasion, lymphovascular invasion, and intermediate-to-high-grade tumor budding at the site of deepest invasion are risk factors of lymph node metastasis in early colorectal cancer (Grade of recommendation: strong, Level of evidence: moderate).
Statement C2: Endoscopic resection of submucosal colorectal cancer with a high risk of lymph node metastasis has a higher recurrence rate than surgical resection. Therefore, we recommend additional surgery if histological signs after endoscopic resection suggest a high risk of lymph node metastasis (Grade of recommendation: strong, Level of evidence: high).
Statement C3: We recommend endoscopic assessment of pit patterns and vascular patterns to estimate the depth of submucosal invasion before endoscopic resection of early colorectal cancer (Grade of recommendation: strong, Level of evidence: high).
Statement C4: <i>En bloc</i> and histologically complete resection should be achieved for endoscopic treatment of a suspected or established early colorectal cancer. We recommend endoscopic submucosal dissection for the treatment of endoscopically resectable early colorectal cancer which cannot be resected <i>en bloc</i> using endoscopic mucosal resection technique (Grade of recommendation: strong, Level of evidence: moderate).

by the editorial committee to ensure information balance and guideline completion. For an external review of the guideline, a public meeting in which 38 gastrointestinal endoscopy experts participated was held on August 18, 2019 during the 61st seminar of KSGE in which doctors and nurses from all over the country gathered. Opinions about the guideline were shared during the public meeting and the final draft of guideline was made after its revision based on discussion during the public meeting.

### Provision of clinical practice guideline and plans for future updates

For wide provision and distribution of the clinical practice guideline, we plan to publish the guideline in *Clinical Endoscopy*, *The Korean Journal of Gastroenterology*, *The Korean Journal of Helicobacter and Upper Gastrointestinal Research*, *Intestinal Research*, and *Journal of Digestive Cancer Reports*. We will also upload the guideline on the website of KSGE and submit it to the Korean Medical Guideline Information Center. Because we expect slow distribution of guidelines among endoscopists through databases for clinical practice guidelines, KSGE, the main institution for developing the guideline, will send out the guideline for free via various routes including emails and will actively advertise the guideline in academic conferences, seminars, and workshops. Current recommendations in the clinical practice guideline are based on research conducted up to date and will be subject to revisions based on future findings.

### Limitations

The most critical limitation of this clinical practice guideline is the insufficiency of data pertaining to Koreans. Data from foreign countries cannot be directly used to develop a guideline for the Korean population since the epidemiological and clinical characteristics of early gastrointestinal cancers differ between Korean and foreign populations. In addition, this clinical practice guideline does not aim to provide an absolute treatment standard that physicians should use to manage patients in real clinical settings but aims to help physicians make evidence-based clinical judgments with regard to the treatment of early gastrointestinal cancers. A physician must examine various clinical aspects of a patient before making any treatment decisions. This clinical practice guideline shall not be used to restrict medical practice of physicians, as health insurance criteria, or to make legal judgments regarding treatments performed on a particular patient.

### Editorial independence

This clinical practice guideline is a project selected and funded by KSGE. KSGE did not influence the process of

guideline development in any manner. All parties involved in the guideline development had no conflict of interests regarding the guideline development.

## SUPERFICIAL ESOPHAGEAL SQUAMOUS CELL CARCINOMA

**Statement E1: We recommend endoscopic resection for SESCC without distant or lymph node metastasis, excluding those with obvious submucosal invasion (Grade of recommendation: strong, Level of evidence: moderate).**

SESCC is squamous cell carcinoma of the esophagus that is localized to the mucosa or submucosa. SESCC without distant metastasis and with a low risk of lymph node metastasis is a good target of endoscopic resection. Although endoscopic resection of SESCC can conserve the esophagus, it is important to carefully select patients who will receive the procedure since there is still a possibility of lymph node metastasis. The National Comprehensive Cancer Network guidelines recommend endoscopic resection for SESCC localized to the mucosa and esophagectomy in the presence of submucosal invasion.<sup>23</sup> The Japan Esophageal Society defines mucosal cancer invading only as deep as the lamina propria as an absolute indication for endoscopic resection as the cancer rarely metastasizes to the lymph nodes.<sup>17</sup> The European Society of Gastrointestinal Endoscopy (ESGE) defines esophageal cancer invading the lamina propria without lymph node metastasis as an absolute indication for endoscopic resection.<sup>1</sup> Thus, the depth of invasion of SESCC must be accurately determined using endoscopy, endoscopic ultrasound, and magnifying endoscopy with narrow band imaging (NBI) before performing endoscopic resection of SESCC.<sup>24-28</sup> However, the evaluation of invasion depth is not perfectly accurate. Therefore, it is advisable to perform endoscopic resection instead of esophagectomy to avoid unnecessary operations when there is no obvious submucosal invasion because esophagectomy has high morbidities and mortalities.<sup>29-31</sup> Recent Korean studies report no significant difference in long-term survival between patients with SESCC without obvious submucosal invasion who underwent endoscopic resection as their first treatment and those who underwent esophagectomy, and the rate of postoperative complications was significantly higher in the operated patients.<sup>32</sup> This may be because additional operations lowered the risk of recurrence following non-curative endoscopic resection. A Chinese study also reported no significant difference in survival rates between patients with SESCC who underwent endoscopic resection and those who underwent surgical resection and reported a lower incidence of

treatment-related complications in the former group.<sup>33</sup> These results show that performing endoscopic resection prior to a surgical resection of SESCC without obvious submucosal invasion may be an effective treatment strategy.

**Statement E2: We recommend Lugol chromoendoscopy and/or image-enhanced endoscopy to define the extent of lesion before endoscopic treatment of SESCC (Grade of recommendation: strong, Level of evidence: moderate).**

SESCC manifests as erythematous lesions, subtle discoloration, or nodules. In addition, synchronous SESCC lesions of various sizes are not rare, which are difficult to be distinguished from noncancerous lesions only by white light endoscopy. Therefore, accurate measurement of the size and horizontal border of these lesions is challenging. Lugol chromoendoscopy, which applies the fact that the keratin layer of the mucosa is destroyed by the cancer, is the most effective chromoendoscopy to identify the SESCC lesions. The normal esophageal mucosa turns dark brown when sprayed with Lugol's solution, whereas the mucosa affected by SESCC exhibits a "pink-color sign". That is, the mucosa remains light brown and turns pink 2–3 minutes after being sprayed with the solution.<sup>34</sup> Studies evaluating the efficacy of Lugol chromoendoscopy in diagnosing lesions suggestive of SESCC in white light endoscopy reported Lugol chromoendoscopy to be 73.8%–93.4% accurate in differentiating high-grade adenoma and SESCC from low-grade adenoma and noncancerous lesions.<sup>34,35</sup> Thus, Lugol chromoendoscopy effectively assesses the horizontal border of SESCC before endoscopic resection.

Image-enhanced endoscopy is a quick process that causes no inflammation around SESCC lesions unlike Lugol chromoendoscopy. Image-enhanced endoscopy with NBI is the most widely studied image-enhanced endoscopy technique.<sup>36</sup> In a study of 90 patients with high-grade adenoma and SESCC, the accuracy of image-enhanced endoscopy with NBI was significantly higher than that of white light endoscopy (92% vs. 67.8%), and was similar to that of Lugol chromoendoscopy (92% vs. 93.4%).<sup>37</sup> In a prospective study that compared the diagnostic accuracy of detecting SESCC between Lugol chromoendoscopy and image-enhanced endoscopy with NBI in 303 patients with high risk of SESCC, the accuracy of the image-enhanced endoscopy with NBI was 91.2%, which was not inferior to that of Lugol chromoendoscopy.<sup>38</sup> Based on these results, we recommend Lugol chromoendoscopy or image-enhanced endoscopy to determine the border of SESCC prior to endoscopic resection.

**Statement E3: We recommend endoscopic ultrasound to define the stage of SESCC before endoscopic treatment (Grade of recommendation: strong, Level of evidence: moderate).**

Endoscopic treatments of SESCC have better prognoses when the depth of invasion is shallow, and unlike gastric cancer, SESCC invading the muscularis mucosa poses a risk of lymph node metastasis.<sup>39</sup> Accurate staging of SESCC before an endoscopic treatment is thus important. For SESCC, endoscopic ultrasound accurately determines the level of infiltration by the primary tumor (T stage) and the presence or absence of lymph node metastasis (N stage). A study reported endoscopic ultrasound to be 81.6% sensitive and 99.4% specific in staging esophageal cancer invading the mucosa and submucosa.<sup>40</sup> A meta-analysis of 19 studies reported endoscopic ultrasound as an excellent technique to differentiate mucosal invasion from submucosal invasion in SESCC (area under the summary ROC curve = 0.93).<sup>26</sup> Additionally, in another meta-analysis that investigated whether endoscopic ultrasounds can differentiate between esophageal cancer invasions in the lamina propria, muscularis mucosa, and submucosa, endoscopic ultrasounds showed an excellent diagnostic performance (area under the summary ROC curve = 0.98).<sup>11</sup>

**Statement E4: We suggest magnifying endoscopy with narrow band imaging for SESCC to assess the depth of invasion before endoscopic treatment (Grade of recommendation: weak, Level of evidence: low).**

A large-scale, multi-institutional prospective study that investigated whether magnifying endoscopy with NBI can accurately assess the depth of invasion of esophageal cancer reported magnifying endoscopy with NBI to be not superior to conventional endoscopy for squamous cell carcinoma (accuracy of magnifying endoscopy with NBI: 65.3%, accuracy of conventional endoscopy: 71.4%,  $p=0.375$ ).<sup>10</sup> A prospective study conducted in Japan also reported that magnifying endoscopy with NBI is no better than high-resolution endoscopy and high-frequency endoscopic ultrasound.<sup>41</sup> However, a recent large-scale retrospective study that investigated the performance of magnifying endoscopy with NBI in the assessment of the depth of SESCC reported a positive predictive value of 93% for epithelial/lamina propria invasion, 65% for muscularis mucosal/superficial submucosal invasion, and 77% for deep submucosal invasion, demonstrating that magnifying endoscopy with NBI is useful for determining the depth of invasion of SESCC before an endoscopic treatment.<sup>42</sup> Therefore, given that the operator is highly experienced, it may be useful to perform magnifying endoscopy with NBI to determine the depth of invasion of SESCC before endoscopic resection.

**Statement E5: We recommend endoscopic submucosal dissection rather than endoscopic mucosal resection for *en bloc* and curative resection of SESCC confined to the mucosa (Grade of recommendation: strong, Level of evidence: moderate).**

Endoscopic mucosal resection (EMR) is popular as it is relatively easy to perform and is associated with low risks of complications. However, studies have reported high local recurrence rates of 2.8%–9.8% after EMR because *en bloc* resection is difficult by EMR, especially for large lesions.<sup>43–47</sup> ESD is a technically demanding procedure with high risks of complications but is nonetheless considered appropriate for treating SESCC due to the high *en bloc* and curative resection rates and low risks of local recurrence. Resection techniques are usually chosen based on lesion size and auxiliary factors such as the patient's conditions and the operator's level of experience.

There is no randomized study comparing EMR and ESD for SESCC. In a meta-analysis of retrospective studies, ESD had higher *en bloc* and curative resection rates than EMR regardless of the lesion size. ESD had a significantly lower rate of postoperative local recurrence than EMR (0.3% vs. 11.5%; odds ratio [OR], 0.08; 95% confidence interval [CI], 0.03–0.23;  $p < 0.001$ ). The rate of postoperative perforation was higher for ESD than for EMR (4.0% vs. 1.3%; OR, 2.19; 95% CI, 1.08–4.47;  $p = 0.03$ ). However, no significant difference was noted in the rate of stricture formation or bleeding between the two procedures.<sup>48</sup> Although studies report no difference in the rate of *en bloc* and curative resection rates between cap-assisted EMR and ESD for lesions measuring <10 to 15 mm,<sup>43,46,47</sup> one meta-analysis reported a higher *en bloc* resection rate for ESD for lesions measuring <10 mm (OR, 3.58; 95% CI, 1.84–7.02;  $p < 0.001$ ).<sup>49</sup>

We recommend to consider ESD first regardless of the lesion size since ESD has higher *en bloc* and curative resection rates than EMR for SESCC confined to the mucosa and has complication risks within an acceptable range. In case the operator lacks experience with ESD, performing EMR could be considered for only small lesions <10 mm in size.

**Statement E6: We recommend oral steroid or local steroid injection therapy for patients who develop mucosal defects in >75% of the esophageal circumference after endoscopic submucosal dissection to prevent esophageal stricture (Grade of recommendation: strong, Level of evidence: moderate).**

ESD can lead to scar formation around the surgical site followed by esophageal strictures. Ono et al. reported that the risk of esophageal stricture increased in the presence of mucosal defects in >75% of the esophageal circumference.<sup>50</sup> Fur-

thermore, 66%–88% of patients with mucosal defects in >75% of the esophageal circumference that were left untreated after esophageal ESD developed an esophageal stricture.<sup>51–56</sup> Patients who developed mucosal defects in 100% of the esophageal circumference required an average of 33.5 endoscopic balloon dilation (EBD) procedures to treat esophageal stricture.<sup>57</sup>

To prevent stricture after esophageal ESD, oral steroid administration or local steroid injection therapy is used. For oral steroid therapy, prednisolone is administered at 30 mg per day starting 1 or 2 days after the procedure, and the dose is decremented over 2–12 weeks.<sup>54,55,57</sup> In six studies comparing patients who received oral steroids following ESD to those who did not, oral steroid administration significantly reduced the rate of esophageal stricture formation by 73% (69%–80% without oral steroids and 18%–23% with oral steroids) (OR, 0.27; 95% CI, 0.13–0.58).<sup>54,55</sup> Local steroid injection therapy also effectively prevents esophageal stricture. Studies reported a 78% reduction in the rate of esophageal stricture formation following local injections of triamcinolone or dexamethasone at the site of ESD (OR, 0.32; 95% CI, 0.13–0.83).<sup>51–53,58</sup>

In a study that compared oral steroid therapy and preventive EBD, 32% of patients who underwent EBD twice per week for 8 weeks had an esophageal stricture, whereas only 5% of patients who were orally administered prednisolone at 30 mg per day starting 2 days after ESD and had the dose gradually decreased over 8 weeks had an esophageal stricture, demonstrating that oral steroid administration is superior to preventive EBD for the prevention of esophageal stricture.<sup>56</sup>

Studies on steroid administration for the prevention of esophageal stricture mostly involve patients who develop mucosal defects in >75% of the esophageal circumference and are at high risk of esophageal stricture. In a study that investigated the effect of local steroid injections in patients who underwent esophageal ESD regardless of the size of mucosal defect, local steroid injections had a 70% preventive effect on esophageal strictures with the rate of stricture formation being 11% in patients who received local steroid injections and 36% in those who did not receive them (OR, 0.30; 95% CI, 0.13–0.83).<sup>58</sup> However, considering the risk of esophageal perforation and adverse reactions associated with local steroid injections, it is advisable to consider oral steroid or local steroid injection therapy only for patients who develop mucosal defects in >75% of the esophageal circumference who are at high risk of esophageal stricture.

**Statement E7: No additional treatment is recommended after *en bloc* complete resection of SESCC invading no more than the lamina propria with no lymphovascular invasion because of a very low risk of lymph node metastasis (Grade of recommenda-**



**tion: strong, Level of evidence: moderate). As the risk of lymph node metastasis of a tumor invading into the muscularis mucosa without lymphovascular invasion is low, a close follow-up after *en bloc* complete endoscopic resection can be considered without additional treatment (Grade of recommendation: weak, Level of evidence: low). In case of a tumor with submucosal invasion, lymphovascular invasion, and/or positive vertical resection margin, additional treatment is recommended (Grade of recommendation: strong, Level of evidence: moderate).**

Since the risk of lymph node metastasis associated with SESCC is closely related to a tumor's depth of invasion, it is important to accurately evaluate the depth of invasion for deciding whether the endoscopic resection is curative or non-curative.<sup>59-61</sup> Histopathological analyses of patients who underwent esophagectomy with dissection of the regional lymph nodes show that the risk of lymph node metastasis is 26.0%–53.8% in the presence of submucosal invasion of esophageal cancer.<sup>59-68</sup> Moreover, 8.3%–53.1% of the patients had lymph node metastasis even when esophageal cancer invaded only the upper third of the submucosa. The Japan Esophageal Society and the ESGE guidelines define SESCC with a shallow submucosal invasion of  $\leq 200$   $\mu\text{m}$  as a relative indication for endoscopic resection. However, data regarding the frequency of lymph node metastasis by SESCC with shallow submucosal invasion of  $\leq 200$   $\mu\text{m}$  is limited.<sup>67</sup> The rate of lymph node metastasis is lower but not negligible at 0.0%–15.4% for esophageal cancer confined to the mucosa.<sup>59-67</sup> Among esophageal cancers confined to the mucosa, invasion of muscularis mucosa is at a higher risk of lymph node metastasis than invasion of lamina propria (8.0%–27.0% vs. 0.0%–8.7%). The risk of lymph node metastasis is associated with vascular or lymphatic invasion.<sup>59,65,67,69,70</sup> A large-scale Japanese study reported a five-year cumulative incidence of metastasis of primary esophageal cancer invading the muscularis mucosa following endoscopic resection of only 0.7%, suggesting that SESCC invading the muscularis mucosa can still be an indication for endoscopic resection if no lymphovascular invasion is observed.<sup>68</sup> Two observational studies at Korean institutions supports the claim that endoscopic resection can be a safe and curative treatment option in SESCC invading to the mucosa. In those studies, no death due to esophageal cancer occurred during a long-term follow-up of patients who underwent endoscopic resection of SESCC with invasion up to the mucosa.<sup>71,72</sup> There are conflicting research results regarding the association between undifferentiated esophageal cancer and the risk of lymph node metastasis, indicating that undifferentiated esophageal cancer cannot be yet used as an absolute contraindication of endoscopic resection for SESCC.

Additional data analyses are needed to derive more confirmative conclusions.<sup>59,61,65,68</sup>

Endoscopic resection is considered curative if histopathological evaluation shows that SESCC does not invade beyond the lamina propria and does not invade the vascular or lymphatic channels. In this case, a close follow-up may be conducted without additional operations. Following *en bloc* resection of SESCC with muscularis mucosal invasion and no lymphovascular invasion, a follow-up can be considered without additional operations after considering the patient's age, accompanying diseases, conditions, and risk of operation since the risk of lymph node metastasis is low. In case of unsuccessful *en bloc* resection, follow-up strategies considering the possibility that the histopathological assessment may have been inaccurate are necessary.

Additional treatments are needed in case of noncurative resection such as positive vertical resection margin and submucosal invasion and/or lymphovascular invasion suggesting the possibility of lymph node metastases. Esophagectomy with dissection of the regional lymph nodes is the standard treatment for noncurative endoscopic resection. However, since esophagectomy has high postoperative morbidities of 30%–40% and mortalities of 1%–2%, physicians must consider the patient's conditions before deciding whether to perform the surgery or not.<sup>29-31</sup> Studies have reported relatively satisfactory outcomes of chemoradiation therapy for noncurative endoscopic resection of SESCC, suggesting that chemoradiation therapy might become a new treatment strategy for noncurative endoscopic resection.<sup>73-78</sup>

## EARLY GASTRIC CANCER

**Statement G1: We recommend chromoendoscopy/image-enhanced endoscopy to determine the extent of lesion before endoscopic treatment of early gastric cancer (Grade of recommendation: strong, Level of evidence: moderate).**

Clearly identifying the horizontal border of the lesion before endoscopic resection reduces local recurrence and increases the likelihood of a complete resection. Chromoendoscopy has been used widely to accurately measure lesion borders. Recent advances in endoscopy technology led to the advent of NBI and magnifying endoscopy now commonly used in clinical settings.<sup>12,79</sup> A study reported that chromoendoscopy using indigo carmine more accurately estimated lesion borders in early gastric cancer than white light endoscopy (75.9% vs. 50.0%), and chromoendoscopy using indigo carmine and acetic acid estimated lesion borders with 90.7% accuracy.<sup>80</sup> A Korean study also reported that chromoendos-

copy using indigo carmine and acetic acid estimated lesion borders in early gastric cancer more accurately than white light endoscopy (84.1% vs. 66.9%).<sup>81</sup> In a study that compared the accuracy of border prediction between magnifying endoscopy with NBI and chromoendoscopy using indigo carmine, the former technique estimated horizontal borders of lesions more accurately than the latter (81.1% vs. 72.6%).<sup>12</sup> Magnifying endoscopy with NBI was also superior to white light endoscopy in terms of sensitivity and specificity for assessment of lesion borders (sensitivity, 92.9% vs. 42.9%; specificity, 94.7% vs. 61.0%).<sup>13</sup> Based on these results, we recommend chromoendoscopy and image-enhanced endoscopy to determine the extent of resection before endoscopic resection of early gastric cancer.

**Statement G2: Endoscopic ultrasonography before endoscopic resection of early gastric cancer may be helpful in determining the depth of invasion in some patients with early gastric cancer (Grade of recommendation: weak, Level of evidence: moderate).**

Endoscopic ultrasonography is useful for assessing the depth of invasion in gastric cancer and determining the presence or absence of lymph node metastasis. In a meta-analysis on 54 studies evaluating the efficacy of endoscopic ultrasonography in predicting the depth of invasion of a primary tumor in 5,601 patients with gastric cancer, endoscopic ultrasonography accurately differentiated between T3-T4 lesions and T1-T2 lesions with 86% sensitivity and 91% specificity.<sup>14</sup> However endoscopic ultrasonography before endoscopic resection of early gastric cancer has limited accuracy in predicting the depth of invasion.<sup>82-88</sup> In a large-scale Korean prospective study, the accuracy of distinguishing mucosal and submucosal invasion in early gastric cancer was 67.4% with endoscopic ultrasonography, which was not superior to 73.7% of conventional endoscopy.<sup>84</sup> Another Korean study also reported no significant difference in the accuracy of depth of invasion prediction in early gastric cancer between endoscopic ultrasonography using a miniprobe and white light endoscopy (81.4% vs. 78.9%).<sup>83</sup> However, some studies report that endoscopic ultrasonography may be useful for investigating the depth of invasion of early gastric cancer. According to a Japanese study, the diagnostic accuracy of endoscopic ultrasound was higher than that of white light endoscopy in predicting the depth of invasion of early gastric cancer (71% vs. 63%).<sup>85</sup> A recent study reported that endoscopic ultrasonography had higher accuracy than chromoendoscopy in predicting the depth of invasion of early gastric cancer (79.1% vs. 76.5%) and that the depth of invasion could be predicted with 88.3% accuracy using both chromoendoscopy and endoscopic ultrasonography.<sup>82</sup>

Based on these studies, there is still a role for endoscopic ultrasonography to help differentiating mucosal or submucosal infiltration of early gastric cancer. Therefore, for patients who show signs of submucosal invasion in a white light endoscopic examination, endoscopic ultrasonography could be used to more accurately examine the depth of invasion of a tumor before endoscopic resection.<sup>89</sup>

**Statement G3: We recommend endoscopic resection for early gastric cancer of well or moderately differentiated tubular or papillary adenocarcinoma meeting endoscopically estimated tumor size  $\leq 2$  cm and endoscopically suspected mucosal cancer without ulcer (Grade of recommendation: strong, Level of evidence: moderate).**

Endoscopic resection is a local treatment for early gastric cancer with a negligible risk of lymph node metastasis. Before Gotoda et al.<sup>90</sup> proposed expanded indications for endoscopic resection in 2000, well or moderately differentiated tubular or papillary gastric adenocarcinoma measuring  $\leq 2$  cm in diameter confined to the mucosa without ulcer and submucosal invasion was an indication for endoscopic resection. Thus, for these lesions, endoscopic resection must be considered as the first line of treatment. The risk of lymph node metastasis must be considered before performing endoscopic resection of early gastric cancer. Several studies have reported the risk of lymph node metastasis to be negligibly low in lesions that satisfy the aforementioned indications (0.0%–0.3%).<sup>90-92</sup> In addition, Korean studies reported no significant difference in the five-year survival rate between endoscopic resection and surgical resection (93.6%–96.4% vs. 94.2%–97.2%),<sup>93-95</sup> They also reported no significant difference in the 10-year survival rate between endoscopic resection and surgical resection (81.9% vs. 84.9%).<sup>93</sup> However, endoscopic resection had a higher five-year cumulative incidence of metachronous gastric cancer than surgical resection (5.8%–10.9% vs. 0.9%–1.1%).<sup>93-95</sup> Endoscopic resection preserves the entire stomach, which can lead to metachronous tumor formation in the rest parts of the stomach. Therefore, even after curative endoscopic resection, regular follow-up endoscopy is necessary to look for metachronous gastric cancer. Endoscopic resection, which preserves the entire stomach, improves the quality of life, causes lesser complications, requires a shorter hospital stay, and is more cost-effective than surgical resection.<sup>93-98</sup> In conclusion, we recommend endoscopic resection as the first line of treatment for well or moderately differentiated tubular or papillary gastric adenocarcinoma measuring  $\leq 2$  cm in diameter without endoscopic signs of ulcer and submucosal invasion since endoscopic resection is comparable to surgical resection in terms of survival, is associated with a satisfactory quality of

life, and is economical.<sup>93-96</sup>

**Statement G4: We suggest endoscopic resection for early gastric cancer of well or moderately differentiated tubular or papillary adenocarcinoma with the following endoscopic findings: (1) mucosal cancer >2 cm without ulcer, or (2) mucosal cancer ≤3 cm with ulcer (Grade of recommendation: weak, Level of evidence: moderate).**

The World Health Organization (WHO) histological classification of gastric cancer published in 2000 is widely accepted as the standard classification system for gastric cancer, which defines undifferentiated carcinoma as carcinomas without glandular differentiation and squamous epithelial differentiation. However, most studies on endoscopic resection have classified well or moderately differentiated tubular or papillary adenocarcinoma as differentiated type adenocarcinoma and poorly differentiated tubular adenocarcinoma and poorly cohesive carcinoma as undifferentiated type adenocarcinoma. Expansion of existing indications for endoscopic resection should be considered only if there is no difference in the survival rates between endoscopic resection and standard surgical treatment. In addition, surgery-related mortalities must be compared and reviewed to determine the permissible range of risk of lymph node metastasis. The risk of lymph node metastasis is generally estimated based on the size of tumor, histologic type and grade, depth of invasion, and presence or absence of lymphovascular invasion. The risk of lymph node metastasis and distant metastasis has been reported to be 0.0%–0.21% for well or moderately differentiated tubular or papillary gastric mucosal adenocarcinoma measuring >2 cm without ulcers or mucosal cancer measuring ≤3 cm with ulcers if the endoscopically resected tumor shows a negative resection margin and no lymphovascular invasion. Considering that the risk of lymph node or distant metastasis (0.0%–0.21%) is similar to the mortality of gastrectomy (0.1%–0.3%), endoscopic resection may be considered for the lesions described above.<sup>99-101</sup> Additionally, studies reported no significant difference between the five-year survival rate of surgical resection and endoscopic resection (92.0%–97.2% vs. 93.3%–96.4%), indicating that endoscopic resection is considered curative for the aforementioned lesions with no requirement of further treatment.<sup>95,100,102-109</sup>

Surgical resection is the standard treatment for clinically suspected submucosal invasive gastric cancer in preoperative evaluation. However, studies analyzing pathologic results of patients who underwent gastrectomy due to preoperatively suspected submucosal invasion reported that 28.8%–43.0% of these lesions could have been treated with endoscopic resection.<sup>110,111</sup> Therefore, further research is needed regarding

treatment methods for preoperatively suspected submucosal invasive early gastric cancer.

**Statement G5: We suggest endoscopic resection for poorly differentiated tubular adenocarcinoma, poorly cohesive carcinoma, or signet ring cell carcinoma meeting the following endoscopic findings: endoscopically estimated tumor size ≤2 cm, endoscopically mucosal cancer, and no ulcer in the tumor (Grade of recommendation: weak, Level of evidence: low).**

Undifferentiated type adenocarcinoma including poorly differentiated tubular adenocarcinoma, poorly cohesive carcinoma and signet ring cell carcinoma of the stomach has not been accepted as an indication for endoscopic resection due to reports that undifferentiated type adenocarcinoma is associated with a high risk of lymph node metastasis.<sup>112,113</sup> However, retrospective studies reported a low incidence of extragastric metastasis during follow-up and high five-year survival rates of 95.0%–98.6% among patients who underwent endoscopic resection for undifferentiated type adenocarcinoma with mucosal invasion, no ulcer and ≤2 cm in size.<sup>100,114-118</sup> Studies also reported no significant difference in long-term outcomes between endoscopic resection and surgical resection for the aforementioned lesions.<sup>95,100,103,118</sup> Thus, endoscopic resection may be recommended for undifferentiated type gastric adenocarcinoma with mucosal invasion, no ulcer and ≤2 cm in size. However, since undifferentiated type adenocarcinoma tends to have unclear borders that contribute to low curative resection rates (45.1%–70%),<sup>115,116</sup> it is important to clearly identify borders and secure a sufficient resection margin during endoscopic resection. In addition, even in undifferentiated type adenocarcinoma, poorly differentiated tubular adenocarcinoma, poorly cohesive carcinoma and signet ring cell carcinoma can have different biological behaviors, and further studies in this field are needed.

**Statement G6: We recommend prophylactic hemostasis of visible vessels on the post-resection ulcer caused by endoscopic resection of early gastric cancer to lower the risk of delayed bleeding (Grade of recommendation: strong, Level of evidence: low).**

**Statement G7: We recommend proton pump inhibitors to decrease the risk of symptoms and complications associated with iatrogenic ulcers caused by endoscopic resection of early gastric cancer (Grade of recommendation: strong, Level of evidence: high).**

Reports on the incidence of bleeding associated with endoscopic resection vary depending on the definition of bleeding.

Serious immediate bleeding that requires an intraprocedural blood transfusion or surgical treatment has been reported in <1% of patients.<sup>119</sup> Delayed bleeding that occurs after endoscopic resection is defined as bleeding from an iatrogenic ulcer that requires hemostasis, and its incidence has been reported to be 1.3%–11.9%. Delayed bleeding usually occurs within 24 hours after endoscopic resection but can occur up to 2 weeks after endoscopic resection.<sup>120,121</sup> Prophylactic coagulation of visible vessels exposed on the base of an iatrogenic ulcer after endoscopic resection can effectively prevent delayed bleeding. One retrospective study reported a 2.47-fold increase in the risk of delayed bleeding when prophylactic coagulation was not performed.<sup>122</sup> However, excessive prophylactic coagulation can increase the risk of post-coagulation syndrome or delayed perforation.<sup>123,124</sup>

Postoperative administration of proton pump inhibitors or histamine 2 (H<sub>2</sub>) receptor blockers can prevent delayed bleeding. Whether one drug is more effective than the other is unclear with some studies reporting proton pump inhibitors to be more effective in treating iatrogenic ulcers related to endoscopic resection,<sup>125,126</sup> and others reporting the two drugs to be on a par with one another.<sup>127,128</sup> One meta-analysis reported no difference between proton pump inhibitors and H<sub>2</sub> receptor blockers in their ability to treat iatrogenic ulcers and relieve symptoms but reported a significantly lower incidence of delayed bleeding in patients who were administered proton pump inhibitors (OR, 0.49; 95% CI, 0.25–0.95).<sup>129</sup> Various reports regarding the dose and administration period of proton pump inhibitors for iatrogenic ulcers and concomitant use of mucosal protective agents are available.<sup>130–142</sup> Randomized trials reported that administration of proton pump inhibitors before endoscopic resection is not effective in preventing delayed bleeding and that second look endoscopy also did not effectively prevent delayed bleeding.<sup>143–145</sup>

**Statement G8: We recommend endoscopic closure as the first treatment option for perforation that occurred during endoscopic resection of early gastric cancer (Grade of recommendation: strong, Level of evidence: low).**

The incidence of perforation resulting from excessive damage to the muscularis propria during endoscopic resection is 1.2%–5.2% and that of delayed perforation that occurs after endoscopic resection is reported at below 0.5%. The risk of intraoperative perforation varies depending on the location and size of the lesion.<sup>146</sup> Closure by endoscopic clipping effectively treats intraoperative perforation, and conservative treatments such as fasting and antibiotic administration after successful endoscopic closure usually lead to symptom relief without the need for additional surgical treatments.<sup>147–149</sup> However, surgical

treatment must be considered in case of unsuccessful closure, signs of generalized peritonitis, or delayed perforation.<sup>150</sup> The endoscopic closure must be performed by an experienced endoscopist. If a patient becomes hemodynamically unstable or has respiratory problems due to tension pneumoperitoneum, rapid decompression of the intra-abdominal pressure using a percutaneous aspiration and/or drainage of intra-abdominal free air is required.<sup>151</sup> It may also be useful to switch from oxygen to carbon dioxide infusion during endoscopy when perforation occurs.<sup>152</sup>

**Statement G9: We recommend surgical gastrectomy if histopathological evaluation after endoscopic resection of early gastric cancer meets the criteria for non-curative resection. An exception applies if cancer invasion is observed at the horizontal resection margin only (Grade of recommendation: strong, Level of evidence: moderate).**

**Statement G10: We recommend additional endoscopic management rather than surgical gastrectomy if histopathological evaluation of endoscopically resected early gastric cancer specimen shows positive involvement at the horizontal resection margin without any other findings compatible with non-curative resection (Grade of recommendation: strong, Level of evidence: moderate).**

Curative resection of an absolute indication lesion is assumed when well or moderately differentiated tubular or papillary adenocarcinoma confined to the mucosa measuring <2 cm with no histopathological evidence of lymphovascular invasion and ulcers and a negative resection margin is observed following endoscopic *en bloc* resection of a lesion. Curative resection of an expanded indication lesion is considered when any of the following conditions are observed after *en bloc* resection: (1) differentiated type mucosal adenocarcinoma such as well or moderately differentiated tubular or papillary adenocarcinoma with a negative resection margin and ulcers of  $\geq 2$  cm and without lymphovascular invasion; (2) mucosal adenocarcinoma of  $\leq 3$  cm accompanied by ulcers; (3) submucosal invasive cancer of  $\leq 3$  cm with submucosal invasion depth  $\leq 500$   $\mu\text{m}$ ; or (4) undifferentiated type adenocarcinoma such as poorly differentiated tubular adenocarcinoma, poorly cohesive carcinoma, and signet ring cell carcinoma measuring  $\leq 2$  cm confined to the mucosa. Lesions that do not meet these criteria for curative resection are considered to have undergone non-curative resection.<sup>1,120,153</sup> The risk of lymph node metastasis is reported to be 2.6%–3.0% for differentiated type adenocarcinoma that satisfy the criteria for non-curative resection, with the exception of differentiated type adeno-

carcinoma with a positive horizontal resection margin, and 5%–20% for undifferentiated type adenocarcinoma.<sup>90,154,155</sup> In a large-scale cohort study on patients who received additional surgical treatments due to non-curative resection, lymphatic invasion was associated with the highest risk of lymph node metastasis. Large tumor size, positive vertical resection margin, vascular invasion, and submucosal invasion depth >500 µm are reported to increase the risk of lymph node metastasis to a similar extent.<sup>156</sup> Many studies have demonstrated that lymphovascular invasion is an important risk factor of lymph node metastasis.<sup>157–159</sup> Considering the risk of lymph node metastasis, patients may require additional surgical gastrectomy including regional lymphadenectomy when they satisfy the criteria for non-curative resection, with the exception of having a positive horizontal resection margin only. Differentiated type mucosal adenocarcinomas less than 2 cm in size that show lymphovascular invasion are reported to have low risk of lymph node metastasis, in which case the need for additional surgical procedures is not clear.<sup>160</sup> Although some studies comparing surgical resection following non-curative resection vs. no surgery reported no additional benefits of additional surgery,<sup>161–163</sup> most retrospective studies have reported an increase in overall survival and disease-specific survival in patients who underwent surgical resection.<sup>158,159,164–167</sup> Furthermore, additional surgical resection following non-curative endoscopic resection has also been reported to increase survival among patients of advanced age.<sup>168–170</sup>

Differentiated type adenocarcinoma with a positive horizontal resection margin that meet all the other criteria for curative resection following *en bloc* resection is associated with a low risk of lymph node metastasis. A cohort study that followed up patients who had this type of cancer and did not receive additional treatments reported low five-year recurrence rates among these patients and that recurrent tumors could be curatively treated without any mortality associated with gastric cancer.<sup>171</sup> Therefore, additional endoscopic resection or argon plasma coagulation treatment may be used instead of surgical resection for tumors with a positive horizontal resection margin. Retrospective cohort studies reported favorable prognoses following these endoscopic treatments and suggested that additional endoscopic treatments within 3 months after the initial endoscopic resection are associated with low recurrence rates.<sup>172–174</sup> However, it is impossible to determine whether resection is curative following argon plasma coagulation since histological evaluation is impossible; thus, a close follow-up is required after argon plasma coagulation.

**Statement G11: We recommend *Helicobacter pylori* eradication treatment after endoscopic resection of early gastric cancer in *H. pylori*-infected patients**

**(Grade of recommendation: strong, Level of evidence: high).**

*H. pylori* eradication may be considered for patients who test positive for *H. pylori* following an endoscopic treatment of early gastric cancer to reduce the risk of metachronous recurrence. Fukase et al. reported that *H. pylori* eradication significantly reduced the risk of metachronous gastric cancer in patients with early gastric cancer after endoscopic resection in their multicenter randomized controlled study in 2008 (hazard ratio [HR], 0.339; 95% CI, 0.157–0.729).<sup>175</sup> Based on this study, most clinical practice guidelines recommend *H. pylori* eradication after endoscopic resection of early gastric cancer.<sup>176–180</sup> Four meta-analyses investigating whether *H. pylori* eradication after endoscopic resection of early gastric cancer prevents metachronous gastric cancer reported that *H. pylori* eradication significantly reduces the risk of metachronous gastric cancer to 0.42–0.51.<sup>181–184</sup> A Korean prospective randomized double-blind, placebo-controlled trial published in 2018 also showed that 50% and 68% of metachronous gastric cancer was reduced in patients who received eradication therapy and for those in whom eradication was successful after endoscopic treatment of early gastric cancer, respectively.<sup>185</sup> Another Korean prospective randomized study published in 2018 reported a 2.02-fold increase in the risk of metachronous gastric cancer in the control group compared with the eradication group.<sup>186</sup> Therefore, we recommend *H. pylori* eradication following endoscopic resection of early gastric cancer for prevention of metachronous gastric cancer.

**Statement G12: We recommend regular surveillance endoscopy every 6–12 months for patients who have had curative endoscopic resection of early gastric cancer based on absolute or expanded criteria for early detection of metachronous gastric cancer (Grade of recommendation: strong, Level of evidence: low).**

Surveillance strategy for the patients after endoscopic resection has similar follow-up plans compared with those after surgical gastrectomy. However, more careful follow-up should be performed after endoscopic resection of early gastric cancer as it is associated with high incidence of synchronous multiple gastric cancers and metachronous gastric cancers. The Korean Clinical Practical Guideline for Gastric Cancer recommends patients who undergo endoscopic treatment for early gastric cancer to have a follow-up endoscopic examination on a yearly basis.<sup>187</sup> The Japanese guideline for endoscopic resection for early gastric cancer recommend an endoscopy examination at a 6–12 month interval following curative endoscopic resection to detect metachronous gastric cancer and additionally for those with expanded curative criteria recom-

mend ultrasonography or CT—also at 6–12 month interval—to detect possible metastasis.<sup>7,120</sup> The ESGE guidelines for ESD recommend undergoing the first endoscopic surveillance at 3–6 months after curative endoscopic resection, and regular endoscopic examinations on a yearly basis thereafter.<sup>1</sup> They recommend considering staging abdominal CT scan for expanded indication lesions.<sup>1</sup>

The purpose of follow-up examinations after endoscopic resection is to detect local recurrence at the resection site, synchronous or metachronous gastric cancer, and extra-gastric metastases. One meta-analysis reported the incidence of local recurrence following endoscopic treatment to be 0.3% for ESD and 5.2% for EMR.<sup>49</sup> A Korean multicenter prospective study published in 2018 reported the incidence of local recurrence to be 0.7% in patients who had curative ESD and 2.4% who had non-curative ESD.<sup>188</sup> Thus, since the incidence of local recurrence following curative ESD is below 1%, detecting synchronous or metachronous gastric cancer must be prioritized before detecting local recurrences. Since patients with early gastric cancer who receive endoscopic resection have most of their gastric mucosa intact, they are more prone to developing metachronous and synchronous gastric cancers compared with those after surgical gastrectomy. If synchronous cancer is defined as cancer detected within 1 year after an endoscopic resection, and metachronous cancer as cancer detected starting 1 year after an endoscopic resection, the incidence of the respective cancers is reported to be 0.87%–11.0% and 3.6%–22.7%.<sup>101,189-191</sup> A Japanese study published in 2015 reported the incidence of metachronous recurrence to increase to 9.5%, 13.1%, and 22.7% at 5, 7, and 10 years, respectively, after curative ESD.<sup>191</sup> Another Japanese multicenter study reported the annual average incidence of metachronous gastric cancer to be 3.5%.<sup>189</sup> A recently conducted Korean study reported that the annual average incidence of synchronous and metachronous gastric cancer is 2.47%, and that patients who had follow-up examinations for over 1 year were significantly more likely to require surgery for metachronous cancer than patients who underwent follow-up examinations for  $\leq 1$  year.<sup>192</sup> Based on these results, early detection of metachronous and synchronous gastric cancer that develops after endoscopic resection is crucial for a successful follow-up. Patients must undergo follow-up endoscopy every 6–12 months within 5 years after an endoscopic resection for the detection of local recurrence and metachronous or synchronous cancer. It is advisable to continue undergoing follow-up endoscopy after the five-year mark as the risk of metachronous gastric cancer consistently increases even after 5 years.<sup>191,193</sup> Further research is needed to establish more detailed and precise standards regarding the interval and duration of follow-up surveillance endoscopy.

**Statement G13: We suggest regular abdominopelvic computed tomography scan of 6-12 month interval for detection of extra-gastric recurrence after curative endoscopic resection of early gastric cancer based on absolute and expanded criteria (Grade of recommendation: weak, Level of evidence: low).**

Very few clinical practice guidelines on follow-up abdominopelvic CT scan for endoscopic resection of early gastric cancer are available. Japanese guidelines recommend routine ultrasounds or CT at a 6–12-month interval for lesions meeting expanded criteria,<sup>7,120</sup> and ESGE guideline recommends to consider staging abdominopelvic CT for lesions meeting expanded criteria.<sup>1</sup> A Korean study published in 2015 reported lymph node metastases 5 and 4 years after curative resection in two patients with absolute and expanded criteria lesions, respectively.<sup>101</sup> In another Korean study on 15 cases of extra-gastric recurrence published in 2017, 66.7% (4/6) of expanded criteria lesions and 83.3% (5/6) of out-of-indication lesions showed extra-gastric recurrences on CT without any intragastric recurrences, demonstrating the need for follow-up CT in patients with expanded criteria lesions.<sup>194</sup>

However, another Korean study reported detecting extra-gastric lymph node recurrence on CT in only two (one case of absolute criteria and one of expanded criteria) among total of 2,182 patients who underwent curative endoscopic resection, suggesting that CT after curative endoscopic resection is unnecessary for patients who meet expanded criteria considering the cost and radiation dose of CT.<sup>195</sup> In addition, a study that followed up 894 mucosal cancers using endoscopy and abdominopelvic CT following their curative endoscopic resection reported CT signs of recurrence in only two cases, suggesting that CT plays a minimal role in follow-up after endoscopic resection.<sup>196</sup> Precise standards for the target subjects and interval of follow-up abdominopelvic CT are yet to be established, and further research is needed in this regard.

## EARLY COLORECTAL CANCER

**Statement C1: Poor histologic types (poorly differentiated adenocarcinoma, signet ring cell carcinoma, and mucinous carcinoma), deep submucosal invasion, lymphovascular invasion, and intermediate-to-high-grade tumor budding at the site of deepest invasion are risk factors of lymph node metastasis in early colorectal cancer (Grade of recommendation: strong, Level of evidence: moderate).**

Predicting the risk of potential lymph node metastasis of early colorectal cancer affects the decision of whether to additionally perform a radical surgery following endoscopic or

surgical local excision of early colorectal cancer. Histologic grades are a traditional predictor of lymph node metastasis of early colorectal cancer. Poor histologic types (poorly differentiated adenocarcinoma, signet ring cell carcinoma, and mucinous carcinoma) are associated with a higher risk of lymph node metastasis than moderately-to-well differentiated adenocarcinoma, and the risk of metastasis increases in proportion to the histologic grade.<sup>197-202</sup> Multivariate analyses have shown that poor histologic types (poorly differentiated adenocarcinoma, signet ring cell carcinoma, mucinous carcinoma) have increased ORs (2.93–45.9) for lymph node metastasis compared with well differentiated adenocarcinomas.<sup>203-205</sup> Four meta-analyses also showed early colorectal cancers with poor histologic types to have an increased risk of lymph node metastasis with ORs ranging between 4.78 and 8.27.<sup>8,206-208</sup>

Well differentiated intramucosal colorectal cancer does not metastasize to the lymph nodes, whereas 6%–20% of early colorectal cancers that invade the submucosa metastasize to the lymph nodes.<sup>8,206-209</sup> In studies using the relative depth of submucosal invasion, pedunculated polyps are classified according to the Haggitt classification, and nonpedunculated polyps are classified using the Kudo or Kikuchi classification based on the depth of invasion.<sup>210-212</sup> The risk of lymph node metastasis increases in proportion to the depth of submucosal invasion determined by these classification methods (Haggitt level 4 and Kudo sm2-3).<sup>197-199,205,209,213,214</sup> In studies that measured the absolute depth of submucosal invasion from the muscularis mucosa and evaluated the risk of lymph node metastasis, depth of vertical invasion of  $\geq 1,000$   $\mu\text{m}$  of nonpedunculated cancer were associated with the risk of lymph node metastasis, and the risk increased in proportion to the depth of invasion.<sup>201,203,215-219</sup> In meta-analyses evaluating the risk of lymph node metastases in colorectal cancer, depth of submucosal invasion of  $\geq 1,000$   $\mu\text{m}$  increased the OR of lymph node metastasis to 3.0–5.93.<sup>8,206-208</sup> However, the risk of metastasis based on the absolute depth of submucosal invasion has a relatively low predictive power.<sup>8,201</sup> For this reason, the width of invasion has been also used as a quantitative measure of the risk of lymph node metastasis to complement the absolute depth of invasion.

In many studies including multivariate analyses, lymphovascular invasion was an independent risk factor for predicting local lymph node metastasis.<sup>198-205,220</sup> There have been five meta-analyses that evaluated the risks for lymph node metastasis according to lymphatic and vascular invasion; lymphatic invasion (OR, 4.15–6.91), vascular invasion (OR, 2.20–4.03), and lymphovascular (either lymphatic or vascular) invasion (OR, 3.9–5.47) all had increased lymph node metastases.<sup>8,206-208,221</sup>

Tumor budding is defined as the presence of a single tumor

cell or a cluster of  $< 5$  tumor cells at the tumor-invasive front of resected specimens.<sup>222,223</sup> The definition of tumor budding varies between studies, but tumor budding is generally classified as intermediate-to-high if  $\geq 5$  tumor buds are observed. Intermediate-to-high grade tumor budding is associated with an increased risk of lymph node metastasis.<sup>198,201,219,224,225</sup> According to some meta-analyses, the presence of tumor budding increases the OR of lymph node metastasis by 3.26–7.74 fold.<sup>8,206-208,221,223</sup> A recent large-scale, multi-institutional Japanese study suggests that poorly differentiated clusters at the invasive front are also a useful histologic predictor of lymph node metastasis.<sup>201,225</sup> Poorly differentiated clusters are composed of  $\geq 5$  cancer cells present at invasive front of the tumor that lack full glandular formation. Therefore, compared with tumor budding, poorly differentiated clusters are more easily recognized in hematoxylin and eosin staining and do not require auxiliary immunohistochemical staining.

**Statement C2: Endoscopic resection of submucosal colorectal cancer with a high risk of lymph node metastasis has a higher recurrence rate than surgical resection. Therefore, we recommend additional surgery if histological signs after endoscopic resection suggest a high risk of lymph node metastasis (Grade of recommendation: strong, Level of evidence: high).**

Early colorectal cancer confined to the mucosa of the large intestine does not metastasize to the lymph nodes. Thus, complete endoscopic resection of a primary tumor eliminates the possibility of recurrence, and no further treatment is required in case of mucosal colorectal cancer. A population-based study conducted in the U.S. reported no difference in the five-year survival rate between endoscopic resection and surgical treatment for mucosal or submucosal colorectal cancers ( $\leq$ stage 1) with a longest diameter of  $\leq 4$  cm.<sup>226</sup> Mounzer et al. also reported no difference in five-year colorectal cancer-specific recurrence-free survival rates (97.6% vs. 97.5%,  $p=0.75$ ) and colorectal cancer-specific mortalities (HR, 1.10; 95% CI, 0.72–1.69;  $p=0.65$ ) between endoscopic resection and surgical treatment for colorectal cancer confined to the mucosa.<sup>227</sup>

However, one study has reported significantly lower recurrence-free survival rates and higher colorectal cancer-specific mortalities for endoscopic resection of submucosal colorectal cancer than for surgical treatment (HR, 2.40; 95% CI, 1.75–3.29;  $p<0.001$ ).<sup>227</sup> In addition, studies comparing endoscopic resection and surgical resection for submucosal colorectal cancer reported local recurrence rates of 2.3%–6.4% for endoscopic resection<sup>228-230</sup> and relatively low local recurrence rates of 0.9%–1.87% for surgical resection.<sup>228-230</sup> Prognoses after endoscopic and surgical resection depend on whether

the submucosal colorectal cancer is associated with a high risk of lymph node metastasis. A Korean study conducted in 2014 reported no difference between endoscopic resection and surgical resection in the mean 37 months (6–98 months) cumulative incidence of recurrence in case of mucosal and superficial submucosal colorectal cancer with low risks of lymph node metastasis ( $p=0.641$ ).<sup>231</sup> Yoda et al.<sup>232</sup> reported a high five-year recurrence-free survival rate of 98% for low-risk submucosal colorectal cancer. Conversely, early colorectal cancer accompanied by risk factors of lymph node metastasis was associated with a significantly higher incidence of lymph node metastasis (15.5%) as opposed to when early colorectal cancer was not accompanied by the risk factors (7.1%) ( $p=0.001$ ).<sup>229</sup> In another Japanese study,<sup>232</sup> higher five-year recurrence-free survival rates were observed in patients with high-risk, early submucosal colorectal cancer who underwent additional surgery after endoscopic treatment than in those who did not undergo additional surgery (97% vs. 89%,  $p=0.130$ ). Succeeding studies have reported high recurrence rates and significantly lower survival rates for early colorectal cancer accompanied by risk factors of lymph node metastasis that was treated endoscopically only.<sup>233-235</sup> Thus, although endoscopic resection effectively treats mucosal colorectal cancer and submucosal colorectal cancer without the risk factors of lymph node metastasis, additional surgery should be considered for submucosal colorectal cancer with the risk factors of lymph node metastasis.

**Statement C3: We recommend endoscopic assessment of pit patterns and vascular patterns to estimate the depth of submucosal invasion before endoscopic resection of early colorectal cancer (Grade of recommendation: strong, Level of evidence: high).**

Submucosal colorectal cancer accompanied by risk factors of lymph node metastasis requires surgery. Many studies have investigated the association between the depth of submucosal invasion, which is a risk factor for lymph node metastasis, and

endoscopic features. Estimating the depth of invasion prior to treatment of early colorectal cancer is essential for choosing the appropriate method of surgical or endoscopic treatment, and this can be done using magnifying chromoendoscopy and NBI.

Kudo's pit pattern classification is useful for estimating the depth of submucosal invasion in early colorectal cancer (Table 5). Type V, especially  $V_N$  pit pattern observed by magnifying chromoendoscopy indicates a high possibility of deep submucosal invasion.<sup>236-238</sup> Studies analyzing the pit patterns of lesions suspected as early colorectal cancer reported the incidence of submucosal invasion to be mere 1%, 5%, and 8% for type  $III_L$ ,  $III_S$ , and IV pit patterns, respectively, but 14% for type  $V_i$  and 80% for type  $V_N$ .<sup>239</sup> The sensitivity of pit patterns in estimating the depth of submucosal invasion varies depending on the macroscopic appearance of the lesions. The diagnostic accuracy of pit pattern for deep submucosal invasion in polypoid, flat, and depressed lesions was 75.8%, 85.7%, and 98.6%, respectively, and are thus useful for diagnosing deep submucosal colorectal cancer and selecting an appropriate treatment method.<sup>240</sup> A meta-analysis on 17 studies that analyzed the diagnostic performance of magnifying chromoendoscopy reported magnifying chromoendoscopy to have 81% sensitivity and 95% specificity in diagnosing deep submucosal invasion.<sup>15</sup> A meta-analysis on nine studies including three abstracts in which magnifying chromoendoscopy had 84% sensitivity and 97% specificity confirmed the diagnostic usefulness of magnifying chromoendoscopy.<sup>241</sup> Indigo carmine and crystal violet are commonly used in magnifying chromoendoscopy with no significant difference in diagnostic accuracy between the two.<sup>15</sup>

NBI is used to examine the microvascular architecture and surface structure. NBI findings suggesting deep submucosal invasion are as follows: completely unclear or amorphous surface pattern, severely irregular thickness and arrangement of capillary vessels, and avascular or loose microvessel areas.<sup>242-244</sup> Several NBI classification systems have been proposed to aid

**Table 5.** Kudo's Pit Pattern for the Endoscopic Diagnosis of Colorectal Neoplasia<sup>238</sup>

Pit pattern classification	Type I	Type II	Type $III_S$	Type $III_L$	Type IV	Type $V_i$	Type $V_N$
Description	Round (normal) pits	Asteroid pits	Tubular or round pits, smaller than the normal pits	Tubular or round pits, larger than normal pits	Branched or gyrus-like pits	Irregular arrangement and sizes of type $III_S$ , $III_L$ , IV pit patterns	Amorphous or non-structural pit patterns
Most likely histology	Normal	Hyperplastic polyp Sessile serrated lesion	Adenoma Intramucosal carcinoma	Adenoma	Adenoma Intramucosal carcinoma	Intramucosal cancer Superficial submucosal cancer	Deep submucosal cancer



optical diagnosis of colorectal tumors, such as Sano's classification, Hiroshima's classification, and later, NBI International Colorectal Endoscopic (NICE) Classification, and the Japan NBI Expert Team Classification (JNET) (Table 6). The sensitivity, specificity, and accuracy of Sano IIIA/IIIB for differentiating intramucosal/superficial submucosal cancer from deep submucosal cancer are 85%, 89%, and 88%, respectively.<sup>245</sup> The diagnostic sensitivity, specificity, and accuracy of Hiroshima class C3 patterns for deep submucosal cancer are 67%, 99%, and 93% with excellent interobserver and intraobserver agreement (kappa = 0.749 and 0.745, respectively).<sup>246</sup> JNET type 3 had diagnostic sensitivity, specificity, and accuracy of 55.4%, 99.8%, 96.6%, respectively.<sup>247,248</sup> In a meta-analysis on 13 studies on the accuracy of NBI in diagnosing deep submucosal cancer, NBI had 77% sensitivity and 98% specificity.<sup>15</sup> A recent meta-analysis on 17 studies including three abstracts reported NBI to have 74% sensitivity and 98% specificity,<sup>241</sup> demonstrating that NBI accurately estimates the deep submucosal invasion in early colorectal cancer.

In addition to pit patterns and surface microvascular changes, the hardness of a lesion, deep and irregular depression on the tumor surface, absence of changes in the tumor shape upon air inflation, fold convergence toward the tumor, and non-lifting signs (the submucosa below the lesion does not lift when a solution is injected into the submucosa) are macroscopic signs of deep submucosal cancer.<sup>249,250</sup> The non-lifting sign is reported to indicate submucosal fibrosis or deep submucosal invasion,<sup>251</sup> but it has lower sensitivity and accuracy in predicting deep submucosal cancer than other macroscopic endoscopic findings associated with deep submucosal invasion.<sup>252</sup> Thus, to date, there is insufficient evidence to prove that non-lifting sign is a highly useful predictor of deep submucosal invasion. In a meta-analysis on 6 studies assessing the accuracy of macroscopic appearance in predicting deep submucosal cancer, macroscopic appearance had 80%–98% spec-

ificity but only 18%–66% sensitivity.<sup>15</sup> Therefore, magnifying chromoendoscopy and NBI may be more useful for predicting deep submucosal cancer than macroscopic appearance.<sup>15</sup>

A meta-analysis comparing the accuracy of magnifying chromoendoscopy and NBI in predicting deep submucosal cancer reported no difference in sensitivity (magnifying chromoendoscopy 81% vs. NBI 77%,  $p=0.41$ ) and specificity (95% vs. 98%,  $p=0.09$ ) between the two techniques. In a recent meta-analysis on 17 studies including three abstracts, there was no difference in specificity between magnifying chromoendoscopy and NBI, but magnifying chromoendoscopy had a higher sensitivity (84% vs. 74%,  $p<0.01$ ).<sup>253</sup> A questionnaire survey conducted among 30 experts on magnifying and image-enhanced endoscopy showed that magnifying chromoendoscopy is superior to NBI in predicting deep submucosal cancer (88% vs. 83%,  $p=0.0013$ ).<sup>253</sup> However, since most of the studies included in these meta-analyses were conducted in Korea and Japan, further research is needed.

In conclusion, for macroscopic signs of deep submucosal invasion, Kudo V<sub>N</sub> pit patterns, Sano IIIB, Hiroshima C3, and JNET type 3, the risk of deep submucosal invasion is high and primary surgery should be performed. Whereas the pit patterns and the NBI findings have excellent specificity, the sensitivity is relatively low in diagnosing deep submucosal invasion. They may have excellent diagnostic accuracy, but are not substitutes for histopathological features. Thus, if a lesion with high risk features for deep submucosal invasion can be safely and easily resected by endoscopy, endoscopic resection can be tried first and the need for further surgery may be determined based on histopathologic findings of endoscopic resection specimen.

**Statement C4. *En bloc* and histologically complete resection should be achieved for endoscopic treatment of a suspected or established early colorectal**

**Table 6.** Japanese NBI Expert Team (JNET) Classification for the Endoscopic Diagnosis of Colorectal Neoplasia<sup>246</sup>

JNET classification	JNET 1	JNET 2A	JNET 2B	JNET 3
Vessel pattern	Invisible	Regular caliber Regular distribution (meshed or spiral pattern)	Variable caliber Irregular distribution	Loose vessel areas Interruption of thick vessels
Surface pattern	Regular dark or white spots Similar to surrounding normal mucosa	Regular (tubular/branched/papillary)	Irregular or obscure	Amorphous area
Most likely histology	Hyperplastic polyp Sessile serrated lesion	Low grade intramucosal neoplasia	High-grade intramucosal neoplasia Superficial submucosal invasive cancer	Deep submucosal invasive cancer

JNET, Japan narrow band imaging expert team classification.

**cancer. We recommend endoscopic submucosal dissection for the treatment of endoscopically resectable early colorectal cancer which cannot be resected *en bloc* using endoscopic mucosal resection technique (Grade of recommendation: strong, Level of evidence: moderate).**

Deep submucosal invasion, poor histologic types (poorly differentiated adenocarcinoma, signet ring cell carcinoma, and mucinous carcinoma), lymphovascular invasion, tumor budding/poorly differentiated clusters are risk factors of lymph node metastasis from early colorectal cancer. Additional surgery is required when any of these histopathological signs or histologically incompletely resected submucosal cancer such as positive vertical resection margin is observed following endoscopic resection. *En bloc* resection is important for assessing the need for additional surgery as it increases the rate of curative resection of early colorectal cancer and allows accurate histopathologic evaluation.<sup>49,254-260</sup> In a meta-analysis on studies comparing between ESD and EMR of colorectal lesions conducted by Fujiya et al. in 2015, the rate of *en bloc* resection was 91.7% for ESD and 46.7% for EMR with an OR of 6.84 (95% CI, 3.30–14.18); ESD had a higher *en bloc* resection rate than EMR.<sup>257</sup> In the same study, the rate of curative resection was also significantly higher for ESD (80.3%) than for EMR (42.3%) with an OR of 4.26 (95% CI, 3.77–6.57).<sup>257</sup> In a meta-analysis on studies comparing ESD and EMR for sessile colorectal lesions measuring  $\geq 20$  mm conducted by Arezzo et al. in 2016, the rate of *en bloc* resection was 89.9% for ESD and 34.9% for EMR with a relative risk of 1.93 (95% CI, 1.46–2.54).<sup>258</sup> Additionally, the rate of R0 resection was 79.6% for ESD and 36.2% for EMR with a relative risk of 2.01 (95% CI, 1.76–2.29). Based on these results, Arezzo et al. concluded that ESD is superior to EMR for *en bloc* resection and R0 resection of sessile colorectal lesions measuring  $\geq 20$  mm.<sup>258</sup>

Reports on the complications of colon polypectomy including bleeding and perforation have varied between studies.<sup>257-259</sup> One meta-analysis reported the incidence of perforation to be higher for ESD (4.0%–5.7%) than for EMR (0.8%–1.4%).<sup>259</sup> Most complications occurring after ESD can be treated endoscopically, and <1% of all colorectal lesions require surgery due to complications of ESD; therefore, ESD is acceptably safe considering the high efficacy of the procedure.<sup>261</sup> However, the risk of complications and the rate of *en bloc* or curative resection significantly depend on the operator's level of experience since ESD of colorectal lesions is more difficult to perform than other polypectomy methods of colorectal lesions.<sup>262,263</sup> Therefore, physicians experienced in therapeutic endoscopy after sufficient training of ESD must perform colorectal ESD in clinical settings where they can cope with emergency situations due to ESD-related complications.<sup>262-266</sup>

## CONCLUSIONS

Endoscopic resection of early gastrointestinal cancer causes fewer adverse events, requires a shorter hospital stay, does not require bowel resection, and is more economical than surgical resection. However, endoscopic resection is not indicated for all patients with early gastrointestinal cancer. It is important to select patients with early gastrointestinal cancer that can be treated by endoscopic resection. Furthermore, excellent long-term outcomes can be ensured only via appropriate post-resection care such as additional surgery if necessary and regular follow-up after endoscopic resection. This clinical practice guideline proposes a standard treatment process for gastroenterologists performing endoscopic resection, thereby contributing to the prevention of unnecessary health care or inappropriate treatments and to the provision of relevant clinical recommendations for endoscopic resection of early gastrointestinal cancer.

## Conflicts of Interest

The authors have no financial conflicts of interest.

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