



# Stroke and Systemic Thromboembolism according to CHA<sub>2</sub>DS<sub>2</sub>-VASc Score in Contemporary Korean Patients with Atrial Fibrillation

Kyung Bae Lee<sup>1\*</sup>, Tae-Hoon Kim<sup>1\*</sup>, Junbeom Park<sup>2</sup>, Jin-Kyu Park<sup>3</sup>, Ki-Woon Kang<sup>4</sup>, Jun Kim<sup>5</sup>, Hyung Wook Park<sup>6</sup>, Eue-Keun Choi<sup>7</sup>, Jin-Bae Kim<sup>8</sup>, Young Soo Lee<sup>9</sup>, Jaemin Shim<sup>10</sup>, and Boyoung Joung<sup>1</sup>

<sup>1</sup>Division of Cardiology, Department of Internal Medicine, Severance Cardiovascular Hospital, Yonsei University College of Medicine, Seoul;

**Purpose:** The incidence of stroke and/or systemic thromboembolism (SSE) has not been properly evaluated in well-anticoagulated atrial fibrillation (AF) patients. This study investigated the incidence of SSE according to  $CHA_2DS_2$ -VASc score in contemporary well-anticoagulated Korean AF patients.

Materials and Methods: From the prospective multicenter COmparison study of Drugs for symptom control and complication prEvention of Atrial Fibrillation (CODE-AF) registry, we identified 9503 patients with non-valvular AF (mean age, 68±8 years; female 35.5%) enrolled between June 2016 and May 2020 with eligible follow-up visits. Stroke incidence in the CODE-AF registry was compared with that in an oral anticoagulant (OAC)-naïve AF cohort from the Korean National Health Insurance database.

Results: The usage rates of OACs and antiplatelet agents were 73.5% (non-vitamin K OACs, 56.4%; warfarin, 17.1%) and 23.8%, respectively. During a mean follow-up period of 26.3 $\pm$ 9.6 months, 163 (0.78 per 100 person-years) patients had SSE. The incidence rate (per 100 person-years) of SSE was 0.77 in the total population, 0.26 in low-risk patients [CHA2DS2-VASc score 0 (male) or 1 (female)], and 0.88 in high-risk patients (CHA2DS2-VASc score  $\geq$ 2). Contemporary AF patients had a stroke rate that was about one-fifth the stroke rate reported in a Korean OAC-naïve AF cohort. In this cohort, most risk factors for CHA2DS2-VASc score showed significant associations with SSE. Female sex was not associated with an increased risk of stroke/SSE in well-anticoagulated AF patients.

**Conclusion:** Contemporary AF patients have a stroke rate about one-fifth that in OAC-naïve AF patients and exhibit different stroke risk factors.

Study Registration: ClinicalTrials.gov (NCT02786095).

Key Words: Atrial fibrillation, stroke, embolism, risk

Received: July 27, 2021 Revised: December 28, 2021 Accepted: December 29, 2021

Corresponding author: Boyoung Joung, MD, PhD, Division of Cardiology, Department of Internal Medicine, Severance Cardiovascular Hospital, Yonsei University College of Medicine, 50-1 Yonsei-ro, Seodaemun-gu, Seoul 03722, Korea.
Tel: 82-2-2228-8447, Fax: 82-2-2227-7732, E-mail: cby6908@yuhs.ac

#### © Copyright: Yonsei University College of Medicine 2022

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (https://creativecommons.org/licenses/by-nc/4.0) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

www.eymj.org 317

<sup>&</sup>lt;sup>2</sup>Department of Cardiology, School of Medicine, Ewha Woman's University, Seoul;

<sup>&</sup>lt;sup>3</sup>Department of Cardiology, Hanyang University Seoul Hospital, Seoul;

<sup>&</sup>lt;sup>4</sup>Division of Cardiology, Eulji University Hospital, Daejeon;

<sup>&</sup>lt;sup>5</sup>Heart Institute, Asan Medical Center, University of Ulsan College of Medicine, Seoul;

Department of Cardiology, Chonnam National University Hospital, Chonnam National University School of Medicine, Gwangju;

<sup>&</sup>lt;sup>7</sup>Department of Internal Medicine, Seoul National University Hospital, Seoul;

<sup>&</sup>lt;sup>8</sup>Division of Cardiology, Department of Internal Medicine, Kyung Hee University Hospital, Kyung Hee University, Seoul;

<sup>&</sup>lt;sup>9</sup>Division of Cardiology, Department of Internal Medicine, Daegu Catholic University Medical Center, Daegu;

<sup>&</sup>lt;sup>10</sup>Division of Cardiology, Department of Internal Medicine, Korea University Medical Center, Seoul, Korea.

<sup>\*</sup>Kyung Bae Lee and Tae-Hoon Kim contributed equally to this work.

<sup>•</sup>The authors have no potential conflicts of interest to disclose.



# INTRODUCTION

As the most prevalent cardiac arrhythmia, 1-4 atrial fibrillation (AF) is associated with increased mortality rates due to stroke, congestive heart failure, and dementia, which have far-reaching socioeconomic burdens. 1-3,5,6 Although patients with AF should be prioritized for management of stroke prevention, use of oral anticoagulants (OACs) has been found to lead to a greater risk of bleeding, which may be fatal. Accordingly, OACs should be administered only when a net clinical benefit is anticipated. To help determine the need for OAC therapy, risk stratification for stroke is crucial.<sup>7,8</sup> CHA<sub>2</sub>DS<sub>2</sub>-VASc score [congestive heart failure, hypertension, age ≥75 (doubled), diabetes mellitus, prior stroke or transient ischemic attack (TIA) (doubled), vascular disease, age 65 to 74, female]9 is the most commonly used index for AF stroke prediction, and OACs are recommended by current stroke prevention guidelines for AF patients, with the exception of those who are classified as "low risk." 10-13 The point of net clinical benefit is defined as an annual stroke risk of 1%-2%.

The performance of CHA<sub>2</sub>DS<sub>2</sub>-VASc score in Asians has been validated and shown to be comparable to that seen in Western populations. <sup>14,15</sup> However, most data for stroke risk prediction according to this score have been derived in OAC-naïve populations, and although patients who receive OAC therapy are known to have 64% less risk of stroke relative to control/placebo patients, <sup>16</sup> the incidence of stroke/systemic thromboembolism (SSE) has not been properly evaluated in well-anticoagulated populations. The study aimed to examine the incidence of SSE based on CHA<sub>2</sub>DS<sub>2</sub>-VASc score in well-anticoagulated contemporary Korean AF patients. Furthermore, we compared stroke incidence in a contemporary AF registry with that in an OAC-naïve AF cohort from the Korean National Health Insurance Service database.

# **MATERIALS AND METHODS**

# Data source

The study design of the COmparison study of Drugs for symptom control and complication prEvention of Atrial Fibrillation (CODE-AF) has been outlined elsewhere. In brief, the CODE-AF registry is a prospective, observational, multicenter study at 18 tertiary hospitals from all regions in the Republic of Korea. Patients who were >18 years old with non-valvular AF were enrolled in the study from June 2016 to May 2020. The CODE-AF registry's main objective was to evaluate the clinical outcomes of various medical treatments, such as OACs and rate or rhythm control therapy. In addition, the study aimed to establish the clinical epidemiology of AF patients and to describe the course of diagnosis and therapy undertaken in patients. The registry was coordinated and designed by the Korea Heart Rhythm Society.

The data entered at each center were consistently audited,

and data cleansing was conducted on the study's database. Cumulated data were enrolled in iCReaT (Internet-based Clinical Research and Trial management system, http://icreat.nih. go.kr), which is a web-based management system for clinical research provided by the Korean government. A follow-up visit was planned for every 6 months for each patient via an outpatient clinic or by telephone contact. The study complies to the ethical rules of the 1975 Declaration of Helsinki; each tertiary center ethics committee approved the study; and informed consent was given by all study patients. This study was registered at ClinicalTrials.gov (NCT02786095). The study was approved by the Yonsei University Severance Hospital Institutional Review Board (IRB Number: 4-2016-0105). All patients provided informed consent for inclusion in the study.

#### Study design and patients

Among the 11044 patients enrolled in the CODE-AF registry, patients with follow-up periods of at least 6 months (n=9503) were included. Patients without eligible follow up of at least 6 months (n=1541) were excluded (Fig. 1).

#### Baseline comorbidities and endpoints

Clinical history-taking was done for each patient at enrollment, and details on diagnosis of heart failure and OAC use were recorded. At the time of enrollment, CHA<sub>2</sub>DS<sub>2</sub>-VASc score for each subject was approximated.

The primary endpoint was incident ischemic stroke, which was defined as neurological dysfunction caused by focal cerebral, spinal, or retinal infarction. Central nervous system infarction was defined as brain, spinal cord, or retinal cell death caused by ischemia based on pathological, imaging, or other objective evidence of vascular injury. Clinical evidence, such as symptoms related to cerebral, spinal cord, or retinal focal ischemic injury lasting more than 24 hours or until death, was also considered to indicate central nervous system infarction. The secondary outcome was a composite of primary endpoint and systemic embolism events, defined as sudden loss of endorgan perfusion based on clinical and objective evidence.

#### Statistical analysis

Baseline characteristics and comorbidities were characterized based on CHA<sub>2</sub>DS<sub>2</sub>-VASc score using descriptive statistics. Continuous variables are reported as means±standard deviations, and categorical variables are presented as frequencies (percentages). Event rates according to CHA<sub>2</sub>DS<sub>2</sub>-VASc score are presented as the number of events per 100 person-years. We used Cox proportional hazards regression analysis to examine stroke risk factors and determine the association between comorbidities and ischemic stroke incidence or the composite thromboembolism outcome. Conditional forward Cox regression analysis was conducted using factors associated with the endpoint after adjusting for sex and age. The entry and removal threshold were 0.05 and 0.10, respectively. The final model



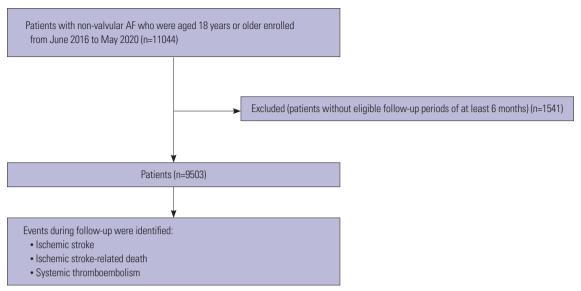


Fig. 1. Flowchart of study cohort enrollment. AF, atrial fibrillation.

included remaining factors associated with outcomes. None of the analyses performed made use of interdependent co-variables. Censoring occurred when patients reached ischemic stroke or composite endpoint events or death during the followup period and at the end of follow up.

c-statistic, which quantifies discriminant ability, was calculated to quantify the  $CHA_2DS_2$ -VASc score's capability to predict the primary and secondary endpoints and to test if the hypothesis schemes were superior to chance (c-statistic  $\geq$ 0.5). All reported p-values were from tests that were two-tailed, and p-values <0.05 were considered statistically significant. Statistical analyses were performed using R statistical software, version 4.0.1 (R Foundation for Statistical Computing, Vienna, Austria).

#### RESULTS

#### **Baseline characteristics**

This study included 9503 AF patients (mean age 68±8 years, female 35.5%). In this cohort of AF patients, the usage rates of OACs and antiplatelet agents were 73.5% [non-vitamin K oral anticoagulants (NOACs), 56.4%; warfarin, 17.1%] and 23.8%, respectively. Patients were classified according to CHA<sub>2</sub>DS<sub>2</sub>-VASc score into low-risk [0 or 1 point (female)], intermediaterisk (1 point, male), or high-risk ( $\geq$ 2 points) groups. Table 1 illustrates the distributions, demographics, and comorbidities of the groups based on CHA<sub>2</sub>DS<sub>2</sub>-VASc score. More than a quarter of the patient population (27.1%) was 75 years or older. The most prevalent comorbidity was hypertension (66.1%), followed by dyslipidemia (34.5%), diabetes mellitus (24.8%), and smoking history (ex-smoker) (23.8%). Table 1 lists the baseline characteristics of the OAC-naïve Korean National Health Insurance Service (NHIS) cohort<sup>15</sup> for illustrative purposes.

#### Risk of stroke

In total, 163 of 9503 patients (1.7%) experienced incident SSE throughout the mean follow-up period of  $26.6\pm9.3$  months. The ischemic stroke incidence rate (per 100 person-years) was 0.70 in the total study population, 0.26 in the low-risk group, 0.56 in the intermediate-risk group, and 0.80 in the high-risk group. Incidence rates of SSE in the Korean NHIS cohort study based on CHA<sub>2</sub>DS<sub>2</sub>-VASc score are also presented in Table 2 for illustrative purposes. The Korean NHIS study defined ischemic stroke as any diagnosis of stroke supported by computed tomography or magnetic resonance brain imaging. In the Korean NHIS cohort database, the incidence of SSE increased with an increase in CHA<sub>2</sub>DS<sub>2</sub>-VASc score. However, an increase in incidence rate (per 100 person-years) of SSE with increased CHA<sub>2</sub>DS<sub>2</sub>-VASc score was not evident in the well-anticoagulated CODE-AF registry (Table 2, Fig. 2).

Patients categorized as low risk (CHA<sub>2</sub>DS<sub>2</sub>-VASc score 0 in male or 1 in female) and intermediate risk (CHA<sub>2</sub>DS<sub>2</sub>-VASc score 1 in male) consistently had low SSE event rates of 0.26 and 0.65 per 100 person-years, respectively. Moreover, highrisk patients CHA<sub>2</sub>DS<sub>2</sub>-VASc score  $\geq$ 2 had an SSE event rate ranging from 0.43 to 2.08. Incidence rates of SSE did not show a clear positive correlation with increasing CHA<sub>2</sub>DS<sub>2</sub>-VASc score, even in high-risk patients (Table 2). In comparison, ischemic stroke incidence rates (per 100 person-years) in the Korean NHIS Sample cohort were 3.32 in the total population, 0.23 in low-risk patients, and 4.59 in high-risk patients. Contemporary AF patients had a stroke rate approximately one-fifth of that of OAC-naïve AF patients (21.4%, p<0.001).

The median CHA<sub>2</sub>DS<sub>2</sub>-VASc score in this cohort was  $2.6\pm1.7$ , and c-index values for ischemic stroke were 0.66 [95% confidence interval (CI) 0.59–0.73, p<0.001] at 1 year and 0.64 (95% CI 0.60–0.70, p<0.001) at 2 years. Therefore, the predictive value of CHA<sub>2</sub>DS<sub>2</sub>-VASc score for stroke was modest.



# Risk factors for ischemic stroke and composite thromboembolism endpoints

A high CHA<sub>2</sub>DS<sub>2</sub>-VASc score predicted a high risk of SSE in this

CODE-AF registry, and most of the individual CHA<sub>2</sub>DS<sub>2</sub>-VASc score components were associated with an increased risk of SSE, including older age (>75 years) [hazard ratio (HR) 1.55; 95%

Table 1. Patient Baseline Characteristics

| Characteristics                          |                   | OAC-naïve Korea NHIS cohort |                    |                |                |
|--|-------------------|-----------------------------|--------------------|----------------|----------------|
|  | Low risk (n=1264) | Intermediate risk (n=1378)  | High risk (n=6861) | Total (n=9503) | Total (n=5855) |
| Age, yr                                  | 56±7              | 60±4                        | 72±7               | 68±8           | 64±15          |
| <65                                      | 1264 (100.0)      | 1042 (75.6)                 | 1454 (21.2)        | 3760 (39.6)    | 2594 (44.3)    |
| 65–74                                    | 0 (0)             | 336 (24.4)                  | 2829 (41.2)        | 3165 (33.3)    | 1700 (29.0)    |
| >75                                      | 0 (0)             | 0 (0)                       | 2578 (37.6)        | 2578 (27.1)    | 1561 (26.7)    |
| Female sex                               | 326 (25.8)        | 0 (0)                       | 3050 (44.5)        | 3376 (35.5)    | 235 (48.4)     |
| CHA2DS2-VASc score                       | 0.3±0.4           | 1.0±0.0                     | 3.3±1.3            | 2.6±1.7        | 3.3±2.1        |
| History of TIA/ischemic stroke           | 0 (0)             | 0 (0)                       | 1395 (20.3)        | 1395 (14.7)    | 1433 (24.5)    |
| Myocardial infarction                    | 0 (0)             | 5 (0.4)                     | 260 (3.8)          | 265 (2.8)      | 764 (13.0)     |
| Peripheral arterial disease              | 0 (0)             | 16 (1.2)                    | 499 (7.3)          | 515 (5.4)      | 611 (10.4)     |
| Heart failure                            | 0 (0)             | 63 (4.6)                    | 852 (12.4)         | 915 (9.6)      | 1869 (31.9)    |
| Hypertension                             | 0 (0)             | 862 (62.6)                  | 5421 (79.0)        | 6283 (66.1)    | 4422 (75.5)    |
| Diabetes mellitus                        | 0 (0)             | 99 (7.2)                    | 2256 (32.9)        | 2355 (24.8)    | 1168 (19.9)    |
| ESRD                                     | 8 (0.6)           | 25 (1.8)                    | 114 (1.7)          | 147 (1.5)      | 89 (1.5)       |
| Cancer                                   | 74 (5.9)          | 80 (5.8)                    | 767 (11.2)         | 921 (9.7)      | N/A            |
| Dyslipidemia                             | 216 (17.1)        | 383 (27.8)                  | 2677 (39.0)        | 3276 (34.5)    | N/A            |
| Bleeding history                         | 31 (2.5)          | 69 (5.0)                    | 650 (9.5)          | 750 (7.9)      | N/A            |
| Smoking history                          |                   |                             |                    |                |                |
| Current smoker                           | 210 (16.6)        | 245 (17.8)                  | 390 (5.7)          | 845 (8.9)      | N/A            |
| Ex-smoker                                | 291 (23.0)        | 484 (35.1)                  | 1484 (21.6)        | 2259 (23.8)    | N/A            |
| Non-smoker                               | 763 (60.4)        | 649 (47.1)                  | 4987 (72.7)        | 6399 (67.3)    | N/A            |
| Oral anticoagulation                     | 431 (34.1)        | 641 (46.5)                  | 5909 (86.1)        | 6981 (73.5)    |                |
| Non-vitamin K antagonist                 | 257 (20.3)        | 368 (26.7)                  | 4735 (69.0)        | 5360 (56.4)    | N/A            |
| Vitamin K antagonist                     | 174 (13.8)        | 273 (19.8)                  | 1174 (17.1)        | 1621 (17.1)    | N/A            |
| Antiplatelet agents                      | 329 (26.0)        | 516 (37.4)                  | 1422 (20.7)        | 2267 (23.8)    |                |
| Aspirin use                              | 276 (21.8)        | 432 (31.3)                  | 928 (13.5)         | 1636 (17.2)    | 2636 (45.0)    |
| P <sub>2</sub> Y <sub>12</sub> inhibitor | 53 (4.2)          | 84 (6.1)                    | 494 (7.2)          | 631 (6.6)      | N/A            |

CODE-AF, the COmparison study of Drugs for symptom control and complication prEvention of Atrial Fibrillation; ESRD, end-stage renal disease; N/A, not available; NHIS, National Health Insurance Service; OAC, oral anticoagulant; TIA, transient ischemic attack.

Values are expressed as numbers (%) or mean±standard deviation.

Table 2. Risk for Ischemic Stroke and Composite Thromboembolism Endpoints Per 100 Person-Years in Relation to CHA<sub>2</sub>DS<sub>2</sub>-VASc Score

|  |                  | CODE-AF registry (n= | OAC-naïve Korea NHIS cohort (n=5855)  |                 |                                       |
|--|------------------|----------------------|---------------------------------------|-----------------|---------------------------------------|
| CHA <sub>2</sub> DS <sub>2</sub> -VASc score | Number of events | Ischemic stroke      | Ischemic stroke/<br>systemic embolism | Ischemic stroke | lschemic stroke/<br>systemic embolism |
| 0 (male) or 1 (female)                       | 7/1264           | 0.26                 | 0.26                                  | 0.23            | 0.26                                  |
| 1 (male)                                     | 20/1378          | 0.56                 | 0.65                                  | 1.04            | 1.20                                  |
| 2  | 21/2208          | 0.39                 | 0.43                                  | 1.91            | 2.04                                  |
| 3  | 37/2016          | 0.71                 | 0.83                                  | 2.54            | 2.67                                  |
| 4  | 37/1378          | 1.11                 | 1.21                                  | 4.72            | 5.10                                  |
| 5  | 18/780           | 1.03                 | 1.03                                  | 5.79            | 5.98                                  |
| 6  | 14/317           | 1.93                 | 2.08                                  | 8.36            | 8.61                                  |
| ≥7   | 7/162            | 1.64                 | 1.92                                  | 8.82            | 9.03                                  |
| Total  | 163/9503         | 0.70                 | 0.77                                  | 3.32            | 3.49                                  |

CODE-AF, the COmparison study of Drugs for symptom control and complication prEvention of Atrial Fibrillation; NHIS, National Health Insurance Service; OAC, oral anticoagulant.



CI 1.12–2.14], history of TIA/ischemic stroke (HR 2.86; 95% CI 2.06–3.98), peripheral arterial disease (HR 1.97; 95% CI 1.19–3.26), heart failure (HR 1.71; 95% CI 1.11–2.64), and hyperten-

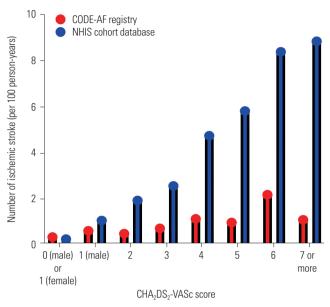


Fig. 2. Ischemic stroke endpoint per 100 person-years at risk in relation to  $CHA_2DS_2$ -VASc score. CODE-AF, the COmparison study of Drugs for symptom control and complication prEvention of Atrial Fibrillation; NHIS, National Health Insurance Service.

sion (HR 1.48; 95% CI 1.04–2.12). On multivariable analysis, an association between CHA<sub>2</sub>DS<sub>2</sub>-VASc score and SSE was consistently observed in patients with a previous history of ischemic stroke/TIA (HR 2.56; 95% CI 1.81–3.61), heart failure (HR 1.59; 95% CI 1.02–2.47), cancer (HR 1.72; 95% CI 1.13–2.62), and current smoking history (HR 2.63, 95% CI 1.03–6.73) (Table 3). There were no differences in stroke risk between males and females (Table 4).

On multivariable analysis of the Korea NHIS cohort database, an association between CHA $_2$ DS $_2$ -VASc score and SSE was consistently observed in patients older than 75 years (HR 3.11; 95% CI 2.52–3.82) and those with a previous history of ischemic stroke/TIA (HR 2.44; 95% CI 2.12–2.80), heart failure (HR 1.26; 95% CI 1.09–1.45), hypertension (HR 1.69; 95% CI 1.32–2.15), and end-stage renal disease (HR 2.73, 95% CI 1.88–3.97) (Table 3, Fig. 3).

# **DISCUSSION**

The main finding of this study is that contemporary AF patients have a stroke rate about one-fifth that of OAC-naïve AF patients. In this cohort, most risk factors included in the CHA<sub>2</sub>DS<sub>2</sub>-VASc scoring system showed significant associations with SSE. Female sex, a traditional risk factor for stroke and a component of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score, was not associated with an increased

Table 3. Associations between Baseline Factors and Ischemic Stroke and Composite Thromboembolism Endpoints

|                                | CODE-AF registry<br>Ischemic stroke/systemic embolism |                            |                              | OAC-naïve Korea NHIS cohort database<br>Ischemic stroke/systemic thromboembolism |                            |                              |
|--------------------------------|---|----------------------------|------------------------------|--|----------------------------|------------------------------|
|                                | Number of events                                      | Univariable<br>HR (95% CI) | Multivariable<br>HR (95% CI) | Number of events   | Univariable<br>HR (95% CI) | Multivariable<br>HR (95% CI) |
| Age, yr (mean age)             |   |                            |                              |  |                            |                              |
| 65–74                          | 57/3227   | 1.10 (0.80-1.52)           | 1.32 (0.88-1.98)             | 334/1700   | 3.34 (2.78-4.02)           | 2.10 (1.73-2.55)             |
| >75                            | 60/2618   | 1.55 (1.12-2.14)           | 1.48 (0.97-2.26)             | 352/1561   | 4.55 (3.79-5.47)           | 3.11 (2.52-3.82)             |
| Female sex                     | 58/3425   | 1.01 (0.73-1.39)           | 1.21 (0.84-1.74)             | 398/2835   | 0.91 (0.80-1.04)           | 0.73 (0.64-0.84)             |
| History of TIA/Ischemic stroke | 53/1422   | 2.86 (2.06-3.98)           | 2.56 (1.81-3.61)             | 420/1433   | 3.61 (3.16-4.13)           | 2.44 (2.12-2.80)             |
| Myocardial infarction          | 7/269   | 1.52 (0.71-3.25)           | 1.05 (0.45-2.49)             | 143/764  | 1.46 (1.22-1.75)           | 0.95 (0.79-1.14)             |
| Peripheral arterial disease    | 17/526  | 1.97 (1.19-3.26)           | 1.48 (0.83-2.65)             | 119/611  | 1.46 (1.20-1.77)           | 0.96 (0.79-1.17)             |
| Heart failure                  | 26/933  | 1.71 (1.11–2.64)           | 1.59 (1.02-2.47)             | 403/1869   | 2.21 (1.93-2.52)           | 1.26 (1.09-1.45)             |
| Hypertension                   | 122/6369  | 1.48 (1.04-2.12)           | 1.24 (0.85-1.79)             | 781/4422   | 3.72 (2.95-4.70)           | 1.69 (1.32-2.15)             |
| Diabetes mellitus              | 48/2394   | 1.29 (0.92-1.80)           | 1.10 (0.78-1.55)             | 228/1168   | 1.55 (1.33-1.80)           | 1.14 (0.98-1.33)             |
| ESRD                           | 3/150   | 1.20 (0.38-3.76)           | 0.96 (0.30-3.03)             | 30/89  | 3.12 (2.17-4.49)           | 2.73 (1.88-3.97)             |
| Cancer                         | 28/938  | 1.85 (1.22-2.79)           | 1.72 (1.13-2.62)             | -  | -                          | -                            |
| Dyslipidemia                   | 64/3315   | 1.15 (0.84-1.58)           | 0.90 (0.65-1.26)             | -  | -                          | -                            |
| Bleeding history               | 21/760  | 1.57 (0.99-2.49)           | 1.23 (0.77-1.96)             | -  | -                          | -                            |
| Smoking history                |   |                            |                              | -  | -                          | -                            |
| Current smoker                 | 14/852  | 0.97 (0.56-1.68)           | 2.63 (1.03-6.73)             | -  | -                          | -                            |
| Ex-smoker                      | 32/2300   | 0.67 (0.45-1.01)           | 0.62 (0.40-0.97)             | -  | -                          | -                            |
| Non-smoker                     | 119/6501  | 1.38 (0.98-1.96)           | -                            | -  | -                          | -                            |
| Aspirin use                    | 30/1640   | 0.97 (0.65-1.45)           | 1.11 (0.74–1.68)             | 526/2636   | 1.90 (1.66-2.18)           | 1.28 (1.11-1.47)             |

CI, confidence interval; CODE-AF, the COmparison study of Drugs for symptom control and complication prEvention of Atrial Fibrillation; ESRD, end-stage renal disease; HR, hazard ratio; NHIS, National Health Insurance Service; OAC, oral anticoagulant; TIA, transient ischemic attack.



|  | Males            |                 |                                       | Females          |                 |                                       |
|--|------------------|-----------------|---------------------------------------|------------------|-----------------|---------------------------------------|
| CHA <sub>2</sub> DS <sub>2</sub> -VASc score | Number of events | Ischemic stroke | Ischemic stroke/<br>systemic embolism | Number of events | Ischemic stroke | Ischemic stroke/<br>systemic embolism |
| 0 (male) or 1 (female)                       | 5/938            | 0.25            | 0.25                                  | 2/326            | 0.28            | 0.28                                  |
| 1 (male) or 2 (female)                       | 20/1378          | 0.56            | 0.65                                  | 3/649            | 0.21            | 0.21                                  |
| 2 (male) or 3 (female)                       | 18/1559          | 0.46            | 0.52                                  | 11/815           | 0.49            | 0.60                                  |
| 3 (male) or 4 (female)                       | 26/1201          | 0.87            | 0.99                                  | 18/779           | 0.98            | 1.04                                  |
| 4 (male) or 5 (female)                       | 19/599           | 1.28            | 1.44                                  | 9/475            | 0.85            | 0.85                                  |
| $\geq$ 5 (male) or $\geq$ 6 (female)         | 15/452           | 1.50            | 1.50                                  | 15/332           | 1.82            | 2.10                                  |
| Total  | 103/6127         | 0.69            | 0.77                                  | 58/3376          | 0.71            | 0.77                                  |

Table 4. Ischemic Stroke and/or Systemic Thromboembolism Event Rates Per 100 Person-Years according to Sex, Stratified by CHA2DS2-VASc Scores

| CHA <sub>2</sub> DS <sub>2</sub> -VASc score | Hazard ratio (95% CI) |
|--|-----------------------|
| Score 0, 1                                   | 0.67 (0.27–1.68)      |
| Score 2, 3                                   | 1.59 (0.83–3.03)      |
| Score ≥4                                     | 0.82 (0.42–1.61)      |
|  |                       |

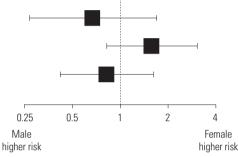


Fig. 3. Hazard ratios of interaction terms per risk score level. Model for calculating the risk for thromboembolism: Prob (thromboembolism)= $\beta_0+\beta_1$  (male)+ $\beta_2$  (i.Score)+ $\beta_3$  (i.Score×male). CI, confidence interval.

risk of stroke/SSE.

It is well established that OAC therapy has a net clinical benefit in AF patients with one or more stroke risk factors, and thus, OAC based on a patient's CHA2DS2-VASc score is recommended by many guidelines, as this score evaluates the risk of stroke.  $^{10-13,18-20}$  However, stroke rates based on CHA<sub>2</sub>DS<sub>2</sub>-VASc score seem to vary based on study setting, population cohort, study methodology, and/or ethnicity. 21,22 In particular, higher stroke rates have been reported for Chinese cohorts compared to other ethnicities. Asians are also known to be at a higher risk of warfarin-related bleeding in comparison to other ethnic groups.<sup>23,24</sup> Thus, caution should be exercised when defining stroke rates and appropriate OAC treatment plans in Asian patients. In the current study, we demonstrated that contemporary AF patients, most of whom used OACs, had about onefifth the stroke rate of that of a previous Korean OAC-naïve cohort study and a stroke rate lower than those of several OACnaïve Western population-based cohorts.

When anticoagulated with warfarin, bleeding events, especially intracranial hemorrhage, have been found to be significantly more frequent in Asians than in non-Asians, even though Asians are traditionally less intensely anticoagulated with warfarin. Asians than in non-Asians, Asian AF patients are less likely to receive OAC therapy than non-Asian patients. However, the benefit of NOACs, compared to warfarin, seems to be much larger in Asian patients than in non-Asian patients in terms of

bleeding risk.<sup>23</sup> In Korea, the OAC prescription rate in the pre-NOAC era was below 30% in previous studies and below 50% in other Asian cohort studies.<sup>23</sup> The current study demonstrates that NOACs have been widely adopted in contemporary clinical practice with an OAC prescription rate above 80%.

In this cohort, history of TIA/ischemic stroke and heart failure were independent predictors of the incidence of stroke/SSE. Although several Western population-based cohort studies have demonstrated that female sex is a stroke risk factor, Asian cohort studies have shown otherwise. <sup>25-29</sup> In the present study, female sex was not associated with an increased risk of stroke/SSE in well-anticoagulated AF patients. Because CHA<sub>2</sub>DS<sub>2</sub>-VASc score was derived from OAC-naïve cohorts, stroke risk factors in fully anticoagulated populations may be different from OAC-naïve cohorts, and further studies for better risk stratification in these populations are warranted.

This study has a few limitations. First, as this was a prospective observational study, explanatory power was restricted relative to randomized control trials. Second, because all enrolled patients were from tertiary hospitals, this registry is subject to referral bias. However, this prospective cohort study has a strength in that it comprised 10000 patients with AF, which is sufficiently large enough to accurately reflect real-world stroke incidence and risk factors in an adequately anticoagulated AF population.

In conclusion, contemporary AF patients appear to have a stroke rate about one-fifth that of OAC-naïve AF patients. In this



cohort, most risk factors included in the CHA<sub>2</sub>DS<sub>2</sub>-VASc scoring system showed significant associations with SSE. Female sex, a traditional risk factor for stroke and a component of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score, was not associated with an increased risk of stroke/SSE in well-anticoagulated AF patients.

# **ACKNOWLEDGEMENTS**

This research was supported by a grant of Patient-Centered Clinical Research Coordinating Center (PACEN) funded by the Ministry of Health & Welfare, Republic of Korea (grant number: HI19C0481, HC19C0130, HI15C1200).

# **AUTHOR CONTRIBUTIONS**

Conceptualization: Boyoung Joung. Data curation: all authors. Formal analysis: Kyung Bae Lee and Boyoung Joung. Funding acquisition: Boyoung Joung. Investigation: Boyoung Joung. Methodology: all authors. Project administration: Boyoung Joung. Resources: Tae-Hoon Kim, Junbeom Park, Jin-Kyu Park, Ki-Woon Kang, Jun Kim, Hyung Wook Park, Eue-Keun Choi, Jin-Bae Kim, Young Soo Lee, Jaemin Shim, and Boyoung Joung. Software: Boyoung Joung. Supervision: Boyoung Joung. Validation: Boyoung Joung. Visualization: Boyoung Joung. Writing—original draft: Boyoung Joung. Writing—review & editing: Boyoung Joung. Approval of final manuscript: all authors.

# **ORCID iDs**

Kyung Bae Lee Tae-Hoon Kim Junbeom Park Jin-Kyu Park Ki-Woon Kang Jun Kim Hyung Wook Park Eue-Keun Choi Jin-Bae Kim Young Soo Lee Jaemin Shim Boyoung Joung https://orcid.org/0000-0002-7247-5959
https://orcid.org/0000-0003-4200-3456
https://orcid.org/0000-0003-2192-9401
https://orcid.org/0000-0001-7931-777X
https://orcid.org/0000-0002-1361-0022
https://orcid.org/0000-0002-3573-638X
https://orcid.org/0000-0002-9630-0467
https://orcid.org/0000-0002-0293-0901
https://orcid.org/0000-0002-8229-8300
https://orcid.org/0000-0001-8251-1522
https://orcid.org/0000-0001-9036-7225

# **REFERENCES**

- Kim D, Yang PS, Jang E, Yu HT, Kim TH, Uhm JS, et al. 10-year nationwide trends of the incidence, prevalence, and adverse outcomes of non-valvular atrial fibrillation nationwide health insurance data covering the entire Korean population. Am Heart J 2018; 202:20-6.
- Kim D, Yang PS, Jang E, Yu HT, Kim TH, Uhm JS, et al. Increasing trends in hospital care burden of atrial fibrillation in Korea, 2006 through 2015. Heart 2018;104:2010-7.
- 3. Lee H, Kim TH, Baek YS, Uhm JS, Pak HN, Lee MH, et al. The trends of atrial fibrillation-related hospital visit and cost, treatment pattern and mortality in Korea: 10-year nationwide sample cohort data. Korean Circ J 2017;47:56-64.
- Joung B, Lee JM, Lee KH, Kim TH, Choi EK, Lim WH, et al. 2018 Korean guideline of atrial fibrillation management. Korean Circ J 2018;48:1033-80.
- 5. Stewart S, Hart CL, Hole DJ, McMurray JJ. A population-based

- study of the long-term risks associated with atrial fibrillation: 20-year follow-up of the Renfrew/Paisley study. Am J Med 2002;113: 359-64.
- Kim D, Yang PS, Yu HT, Kim TH, Jang E, Sung JH, et al. Risk of dementia in stroke-free patients diagnosed with atrial fibrillation: data from a population-based cohort. Eur Heart J 2019;40:2313-23.
- Eckman MH, Singer DE, Rosand J, Greenberg SM. Moving the tipping point: the decision to anticoagulate patients with atrial fibrillation. Circ Cardiovasc Qual Outcomes 2011;4:14-21.
- Overvad TF, Nielsen PB, Lip GY. Treatment thresholds for stroke prevention in atrial fibrillation: observations on the CHA<sub>2</sub>DS<sub>2</sub>-VASc score. Eur Heart I Cardiovasc Pharmacother 2017;3:37-41.
- Lip GY, Nieuwlaat R, Pisters R, Lane DA, Crijns HJ. Refining clinical risk stratification for predicting stroke and thromboembolism in atrial fibrillation using a novel risk factor-based approach: the Euro heart survey on atrial fibrillation. Chest 2010;137:263-72.
- Kirchhof P, Benussi S, Kotecha D, Ahlsson A, Atar D, Casadei B, et al. 2016 ESC guidelines for the management of atrial fibrillation developed in collaboration with EACTS. Europace 2016;18:1609-78.
- January CT, Wann LS, Alpert JS, Calkins H, Cigarroa JE, Cleveland JC Jr, et al. 2014 AHA/ACC/HRS guideline for the management of patients with atrial fibrillation: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines and the Heart Rhythm Society. J Am Coll Cardiol 2014;64:e1-76
- National Institute for Health and Care Excellence (NICE). Atrial fibrillation: diagnosis and management [accessed on 2020 May 5].
   Available at: https://www.nice.org.uk/guidance/ng196.
- 13. Chiang CE, Wang TD, Li YH, Lin TH, Chien KL, Yeh HI, et al. 2010 guidelines of the Taiwan Society of Cardiology for the management of hypertension. J Formos Med Assoc 2010;109:740-73.
- 14. Kim TH, Yang PS, Kim D, Yu HT, Uhm JS, Kim JY, et al. CHA<sub>2</sub>DS<sub>2</sub>-VASc score for identifying truly low-risk atrial fibrillation for stroke: a Korean nationwide cohort study. Stroke 2017;48:2984-90.
- 15. Kim TH, Yang PS, Uhm JS, Kim JY, Pak HN, Lee MH, et al. CHA₂DS₂-VASc score (congestive heart failure, hypertension, age≥ 75 [doubled], diabetes mellitus, prior stroke or transient ischemic attack [doubled], vascular disease, age 65–74, female) for stroke in Asian patients with atrial fibrillation: a Korean nationwide sample cohort study. Stroke 2017;48:1524-30.
- Hart RG, Pearce LA, Aguilar MI. Meta-analysis: antithrombotic therapy to prevent stroke in patients who have nonvalvular atrial fibrillation. Ann Intern Med 2007;146:857-67.
- 17. Kim H, Kim TH, Cha MJ, Lee JM, Park JK, et al. A prospective survey of atrial fibrillation management for real-world guideline adherence: COmparison study of Drugs for symptom control and complication prEvention of Atrial Fibrillation (CODE-AF) Registry. Korean Circ J 2017;47:877-87.
- 18. Sacco RL, Kasner SE, Broderick JP, Caplan LR, Connors JJ, Culebras A, et al. An updated definition of stroke for the 21st century: a statement for healthcare professionals from the American Heart Association/American Stroke Association. Stroke 2013;44:2064-89.
- Lip GY, Skjøth F, Nielsen PB, Larsen TB. Non-valvular atrial fibrillation patients with none or one additional risk factor of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score. A comprehensive net clinical benefit analysis for warfarin, aspirin, or no therapy. Thromb Haemost 2015;114:826-34.
- Fauchier L, Clementy N, Bisson A, Ivanes F, Angoulvant D, Babuty D, et al. Should atrial fibrillation patients with only 1 nongender-related CHA2DS2-VASc risk factor be anticoagulated? Stroke 2016; 47:1831-6.
- 21. Quinn GR, Severdija ON, Chang Y, Singer DE. Wide variation in reported rates of stroke across cohorts of patients with atrial fibril-



- lation. Circulation 2017;135:208-19.
- 22. Nielsen PB, Larsen TB, Skjøth F, Overvad TF, Lip GY. Stroke and thromboembolic event rates in atrial fibrillation according to different guideline treatment thresholds: a nationwide cohort study. Sci Rep 2016;6:27410.
- 23. Chiang CE, Wu TJ, Ueng KC, Chao TF, Chang KC, Wang CC, et al. 2016 Guidelines of the Taiwan Heart Rhythm Society and the Taiwan Society of Cardiology for the management of atrial fibrillation. J Formos Med Assoc 2016;115:893-952.
- Huisman MV, Rothman KJ, Paquette M, Teutsch C, Diener HC, Dubner SJ, et al. The changing landscape for stroke prevention in AF: findings from the GLORIA-AF registry phase 2. J Am Coll Cardiol 2017;69:777-85.
- 25. Wang TJ, Massaro JM, Levy D, Vasan RS, Wolf PA, D'Agostino RB, et al. A risk score for predicting stroke or death in individuals with new-onset atrial fibrillation in the community: the Framingham

- Heart Study. JAMA 2003;290:1049-56.
- 26. Fang MC, Singer DE, Chang Y, Hylek EM, Henault LE, Jensvold NG, et al. Gender differences in the risk of ischemic stroke and peripheral embolism in atrial fibrillation: the AnTicoagulation and risk factors In Atrial fibrillation (ATRIA) study. Circulation 2005; 112:1687-91.
- 27. Avgil Tsadok M, Jackevicius CA, Rahme E, Humphries KH, Behlouli H, Pilote L. Sex differences in stroke risk among older patients with recently diagnosed atrial fibrillation. JAMA 2012;307:1952-8.
- Friberg L, Benson L, Rosenqvist M, Lip GY. Assessment of female sex as a risk factor in atrial fibrillation in Sweden: nationwide retrospective cohort study. BMJ 2012;344:e3522.
- Mikkelsen AP, Lindhardsen J, Lip GY, Gislason GH, Torp-Pedersen C, Olesen JB. Female sex as a risk factor for stroke in atrial fibrillation: a nationwide cohort study. J Thromb Haemost 2012;10:1745-51.