



Minireview

Human Endogenous Retroviruses as Gene Expression Regulators: Insights from Animal Models into Human Diseases

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The human genome contains many retroviral elements called human endogenous retroviruses (HERVs), resulting from the integration of retroviruses throughout evolution. HERVs once were considered inactive junk because they are not replication-competent, primarily localized in the heterochromatin, and silenced by methylation. But HERVs are now clearly shown to actively regulate gene expression in various physiological and pathological conditions such as developmental processes, immune regulation, cancers, autoimmune diseases, and neurological disorders. Recent studies report that HERVs are activated in patients suffering from coronavirus disease 2019 (COVID-19), the current pandemic caused by SARS-CoV-2 (severe acute respiratory syndrome coronavirus 2) infection. In this review, we describe internal and external factors that influence HERV activities. We also present evidence showing the gene regulatory activity of HERV LTRs (long terminal repeats) in model organisms such as mice, rats, zebrafish, and invertebrate models of worms and flies. Finally, we discuss several molecular and cellular pathways involving various transcription factors and receptors, through which HERVs affect downstream cellular and physiological events such as epigenetic modifications, calcium influx, protein phosphorylation, and cytokine release. Understanding how HERVs participate in various physiological and pathological processes will help develop a strategy to generate effective therapeutic approaches targeting HERVs.

Keywords: cancer, COVID-19, human endogenous retrovirus, neurological disease, syncytin-1, toll-like receptor

INTRODUCTION

Endogenous retroviruses (ERVs) are found in the genomes of all vertebrates and are therefore considered remnants of ancestral infections (Dewannieux and Heidmann, 2013; Hayward et al., 2015). Since many different species can share endogenous retroviral sequences, exogenous retrovirus might have infected common ancestors and gotten fixed in the genome before species diverged or been later spread via a cross-species transmission (Hayward et al., 2013) (Supplementary Fig. S1). Human endogenous retroviruses (HERVs) were first discovered more than 40 years ago by screening human tissue with non-stringent blot hybridization probes derived from murine leukemia virus (MuLV), revealing the presence and cloning of the first endogenous retroviral sequences present in human DNA (Escalera-Zamudio and Greenwood, 2016; Martin et al., 1981). HERVs and their derivative sequences comprise at least 8% of the human genome (Mager and Medstrand, 2005). While a majority of these sequences are mostly defective, several phylogenetically distinct HERVs are still transcriptionally active and competent to produce some retroviral proteins (Bannert and Kurth,

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2004; Chan et al., 2019; Ovejero et al., 2020; Zhang et al., 2019b). Many studies provide evidence that HERVs influence a variety of physiological properties, including pluripotency of stem cells, cell proliferation, and cell survival. Faulty regulation of HERV activities can lead to human illness, including various cancers and neurological disorders. For example, increased expression of HERV envelope protein (ENV) contributes to the onset of amyotrophic lateral sclerosis (ALS), autistic spectrum disorder (ASD), and fibromyalgia (FM), as well as leukemia, germline tumors, and pancreas cancer (Frank et al., 2005; Gao et al., 2021; Kristensen and Christensen, 2021; Wang et al., 2014). HERVs can be involved in oncogenesis in various ways, such as insertional mutagenesis or chromosomal instability due to their retrotransposition ability (Burns, 2017). The loss of CpG methylation in cancers appears to influence retrotransposon elements, particularly HERVs, in a preferential manner (Kassiotis, 2014). CpG hypomethylation also affects HERV expression in autoimmune diseases (Nak-kuntod et al., 2013; Okada et al., 2002; Wang et al., 2019). Moreover, the long terminal repeats (LTRs) of HERVs affect gene expression by interacting with a variety of endogenous transcription factors, influencing biological processes such as early embryogenesis (Fuentes et al., 2018) or innate immunity (Chuong et al., 2016). Elevated transcription driven by HERV LTRs can disrupt neuronal differentiation, which indicates that HERV activation may play a critical role in brain development (Padmanabhan Nair et al., 2021). LTR-overlapping transcripts specific in certain cancers raises the possibility that the highly predictable transcripts can provide disease prognosis and information of cancer-specific antigenicity (Attig et al., 2019). Recently, the infectious disease COVID-19 has been reported to modulate the expression of some HERV genes, and HERV promoter activities are retained in some non-human animal models (Balestrieri et al., 2021; Casau et al., 1999; Durnaoglu et al., 2020; Garcia-Montojo and Nath, 2021; Levet et al., 2017; Pi et al., 2004; Tanaka et al., 2003; Tovo et al., 2021). In this review, we summarize various modes of HERV activation and their interacting molecular components and regulatory signaling networks. We also address their functional roles in human physiology and the pathogenesis of the related diseases. Research utilizing various animal models studying HERV activation provides insights regarding perspective roles of HERVs. Finally, we also discuss a potential therapeutic HERV-DNA vaccine against novel diseases such as COVID-19.

HERVs IN DISEASES

HERVs involve in the pathology of various diseases, including cancers (Burns, 2017; Fischer et al., 2016; Gao et al., 2021; Kassiotis, 2014; Mullins and Linnebacher, 2012; Yu et al., 2013), autoimmune diseases (Anand et al., 2017; Balada et al., 2009; Brodziak et al., 2012; Nelson, 1995; Tugnet et al., 2013) and neurological diseases (Antony et al., 2011; Giménez-Orenga and Oltra, 2021; Gröger et al., 2021). The important topics have been extensively reviewed elsewhere (Garcia-Montojo et al., 2018; Hohn et al., 2013). Many studies report that transcripts and products of HERVs are detected in various cancers: breast cancers, ovarian cancers (Wang-Johanning et al., 2007), lymphoma (Contreras-Galindo et al.,

2008), melanoma (Serafino et al., 2009), germline tumors (Herbst et al., 1996), leukemia (Depil et al., 2002), prostate cancer (Goering et al., 2011), and colon cancer (Dolci et al., 2020). HERVs are also involved in the development of autoimmune diseases such as multiple sclerosis (MS) (Garson et al., 1998; Komurian-Pradel et al., 1999; Rasmussen et al., 1995), rheumatoid arthritis (RA) (Freimanis et al., 2010; Mameli et al., 2017; Nakagawa et al., 1997), systemic lupus erythematosus (SLE) (Blomberg et al., 1994), as well as neurological diseases such as ALS (Li et al., 2015; Mayer et al., 2018), ASD (Balestrieri et al., 2012; 2019), attention deficit hyperactivity disorder (ADHD) (Anand et al., 2017; Cipriani et al., 2018; D'Agati et al., 2016), FM (Ovejero et al., 2020; Rodriguez-Pintó et al., 2014), schizophrenia (Huang et al., 2011; Karlsson et al., 2004; Perron et al., 2008; 2012), and bipolar disorder (BD) (Fries et al., 2019; Giménez-Orenga and Oltra, 2021; Goldsmith et al., 2016; Perron et al., 2012). This is likely to a continuing and growing list of diseases in which HERVs are involved in the pathology.

REGULATORY FACTORS FOR HERVs

HERV LTRs harbor sequences acting as a promoter, and they can alter the expression of nearby cellular genes (Dunn et al., 2003; Lamprecht et al., 2010; Lee et al., 2020a; Mullins and Linnebacher, 2012). Also, cellular activities can be changed by RNAs or proteins that are produced from transcription and translation of HERV sequences (Denne et al., 2007). Therefore, it is critical to control HERV activities throughout human life adequately. There are external, internal, and epigenetic factors that can directly or indirectly interfere with the regulatory processes mediated by HERVs (Fischer et al., 2016).

External factors: UV, smoking, infections, and chemicals

UV radiation, especially UVB and UVC, stimulates transcription of retroviral *env* and *pol* genes of HERV-K in melanoma (Reiche et al., 2010; Schanab et al., 2011) and keratinocyte cell lines (Hohenadl et al., 1999), which suggests that HERV-K may specifically contribute to UV radiation-related pathogenesis of skin cells. Smoking also affects the expression of HERVs, because the level of HERV-derived transcripts is higher in smokers than in non-smokers (Bergallo et al., 2019; Gabriel et al., 2010; Wallace et al., 2014).

Infection of parasites such as *Toxoplasma gondii* is also reported to upregulate transcription of certain HERV elements in human neuroepithelial cells (Frank et al., 2006). Viral infections by Epstein-Barr virus (EBV) (Sutkowski et al., 2004), human immunodeficiency virus 1 (HIV-1) (Contreras-Galindo et al., 2007), herpes simplex virus 1 (HSV-1) (Lee et al., 2003), coxsackievirus-B4 (CV-B4) (Dechaumes et al., 2020), Kaposi's sarcoma-associated herpesvirus (KSHV) (Dai et al., 2018), dengue virus serotype 2 (DENV-2) (Wang et al., 2020a), cytomegalovirus (CMV) (Bergallo et al., 2015), human herpesvirus 6B (HHV-6B) (Turcanova et al., 2009), or influenza A (Nellåker et al., 2006) have been shown to increase the level of transcripts from diverse classes of HERVs. Recently, HERV-W Env is reported to be highly expressed in the leukocytes of COVID-19 patients infected by SARS-CoV-2 (Balestrieri et al., 2021).

Chemical elements such as hydroquinone (HQ) (Conti et al., 2016), cupric ion (Karimi et al., 2019), and silver nanoparticles (Alqahtani et al., 2016) are found to influence the expression of HERVs (H, K, and W) in several tumor cell lines. HQ is a benzene-derived metabolite that is connected with the risk of acute myelogenous leukemia. After treatment with HQ, three human retrotransposons, long interspersed element 1 (LINE-1, L1), *alu* and syncytin-1, the HERV-W ENV protein, display increased expression levels in monocytic leukemia cell line THP-1 and hematopoietic stem cells (Conti et al., 2016). Upon copper sulfate (CuSO₄) exposure, the expression of HERV-H *env* is decreased, whereas that of *env* genes of HERV-K and HERV-W is increased in human skin malignant melanoma cell line SK-MEL-37. However, the expression of both HERV-K and HERV-W *env* genes seems to decrease as the concentration of CuSO₄ increases (Karimi et al., 2019). Finally, silver nanoparticles (AgNPs) increase mRNA and protein levels of syncytin-1 of HERV-W in both human T-lymphoblastic leukemia MOLT-4 and Fanconi anemia acute myeloid leukemia (FA-AML1) cells (Alqahtani et al., 2016).

Internal factors: morphogens, hormones, and cytokines

Retinoic acid, a vitamin A metabolite that functions as a morphogen (Ono et al., 1987) during early embryogenesis, is also reported to transcriptionally activate retinoic acid-responsive human ERV-I (RRHERV-I), a type of HERV-I, in teratocarcinoma cells (Kannan et al., 1991). HERV-K expression is stimulated by a sequential treatment of female hormones, progesterone after estradiol, in human breast cancer cell line T47D (Ono et al., 1987). On the other hand, HERV-R expression in human vascular endothelial cells is upregulated by treatment of various cytokines such as tumor necrosis factor- α (TNF- α), interleukin-1 alpha (IL-1 α) and IL-1 β , but downregulated by that of interferon- γ (IFN γ) (Katsumata et al., 1999). These observations indicate that HERV-R expression may be up- or down-regulated at sites of inflammation in human vessels and play a role in inflammatory vascular diseases. Various transcription factors and cellular signaling pathways are downstream of these internal factors and discussed below.

Epigenetic factors: DNA methylation and histone modification

Transcription of HERV-K and methylation level of their LTRs are associated with each other in the teratocarcinoma cells (Florl et al., 1999). DNA demethylation of HERV LTRs triggers upregulation of HERV expression. A low level of DNA methylation in HERV-K and -W regions has been reported in urothelial cell carcinoma (UCC) (Menendez et al., 2004) and ovarian cancer (Stengel et al., 2010). HERVs harbor binding sequences for KRAB-containing zinc finger proteins (KRAB-ZFPs), and histone modifications are induced by the interaction of KRAB-ZFPs to those binding sites. KRAB-ZFPs bind to transcription factor binding site (TFBS) within HERV elements, recruiting the co-repressor KRAB-associated protein 1 (KAP1), which is also called TRIM28 (Giménez-Orenga and Oltra, 2021). In another study, it is shown that HERV-K is repressed by KAP1 (TRIM28) in undifferentiated human embryonic stem cells (hESCs) and differentiated cell lines HeLa

and 293T cells, adult peripheral blood mononuclear cells (PB-MCs), and CD4⁺ T cells (Tie et al., 2018). HERV-S and HERV-T are overexpressed in KAP1 (TRIM28) knockout HeLa cells, and the KAP1 (TRIM28) depletion also results in a decrease in H3K9me3 level at HERV-K regions, which supports KAP1 (TRIM28)'s repression on HERVs. These results indicate that the KRAB-ZFP/KAP1 (TRIM28) pathway involves regulating HERV activation presumably via epigenetic controls. Knockdown of KAP1 (TRIM28) in human neural progenitor cells (NPCs) generally upregulates various HERV gene expressions (Brattås et al., 2017). A gene regulatory network based on HERVs may participate in the control of gene expression of protein-coding transcripts necessary for proper development of nervous systems (Lee et al., 2019b; 2021b; Zhang et al., 2019a).

CRISPR/Cas9-based deletion of KAP1 (TRIM28), an epigenetic co-repressor protein, results in upregulation of ERVs in mouse NPCs *in vitro* (Jönsson et al., 2021). However, there is no activation of ERVs in adult neurons, in case a vector targeting KAP1 (TRIM28) is injected into the forebrain of adult Cas9-GFP mice (Lee et al., 2020b). KAP1 (TRIM28) is required to silence ERVs during brain development in both humans and mice, and the results indicate that the suppression of ERVs by KAP1 (TRIM28) remains in the adult brain. *In vivo* depletion of KAP1 (TRIM28) in cortical NPCs during mouse brain development later results in upregulation of ERVs in excitatory neurons in the adult brain. In addition, activated microglia are found in the cortex where excitatory neurons lack KAP1 (TRIM28). Expression of ERV in neurons is linked to the activation of microglia, which demonstrates that activation of ERV in neurons results in an inflammatory response in the nervous system.

TIP60 is a histone acetyltransferase and functions as a haploinsufficient tumor suppressor (Gorrini et al., 2007). Tumors from colorectal and breast cancer patients show a decrease in the expression of TIP60, suggesting a link between downregulation of TIP60 and tumor progression (Gorrini et al., 2007; Mattered et al., 2009). TIP60 positively regulates the expression of histone methyltransferases (HMTs), SETDB1, and SUV39H1, loss of TIP60 results in a global decrease in H3K9me3 level (Rajagopalan et al., 2018). TIP60 silences HERV-L, HERV-K, HERV-1, and HERV-W, dependent on bromodomain-containing protein 4 (BRD4), an epigenetic reader recognizing histone proteins (Zhou et al., 2020), through regulation of histone H3K9me3 in colorectal cancer cells. HERVs are repressed in colorectal cells overexpressing TIP60. In addition, the nonobese diabetic/severe combined immunodeficient (NOD-SCID) mouse model, which is injected with colorectal cancer cells overexpressing TIP60, exhibits a reduction in tumor growth. ChIP assay reports TIP60 occupancy at LTR regions of HERVs (Rajagopalan et al., 2018).

HERVs IN TRANSGENIC ANIMAL MODELS

HERVs can regulate the expression of host cellular genes through their *cis*-regulatory elements predominantly localized in their LTRs. These features play a critical role in the commencement and progression of diseases in several ways involving genetic instability, hypomethylation, transactivation,

and RNA interference (Yu et al., 2013). Thus, it is crucial to understand how LTRs participate in gene expression processes. Utilizing animal models provides research platforms to test LTR activities and their interacting factors in various contexts, thereby helping understand the potential roles of LTR in human physiological and pathological conditions (Fig. 1).

Caenorhabditis elegans

C. elegans is a free-living, non-parasitic, and transparent nematode about 1 mm in length. *C. elegans* is the first multi-cellular organism and animal to have its whole genome sequenced, and its genome contains approximately 20,000 genes and shares high genetic homology of 60% to 80% with humans (*C. elegans* Sequencing Consortium, 1998). *C. elegans* is a versatile model with a powerful genetics due to many advantages such as easy lab maintenance, a large brood size with approximately 300 offspring within a reproductive cycle of only three days and the short lifespan of three weeks in a typical culture condition, and practically no ethical concerns (Meneely et al., 2019). Therefore, *C. elegans* has been widely used to study various questions asking principles in biological sciences and human diseases (Chung et al., 2020; Kim et al., 2019; Lee et al., 2021a; Levine and Lee, 2020).

Promoter activity of HERV-K LTR that is assessed by expressing GFP is reported in free-living soil worms *C. elegans* (Durnaoglu et al., 2020). Expression of GFP is mainly observed in vulval muscle, and LTR activation is dependent on *che-1*, a sensory neuron driver, and *lin-15b*, a negative regulator of RNAi and germline gene expression. CHE-1 is a C2H2 zinc-finger transcription factor and has various homologs detected in human, murine, and fly genomes. The GLASS transcription factor required for photoreceptor cell differentiation in *Drosophila melanogaster* and human ZNF500 shares the highest homology with the CHE-1 domain (Etchberger et al., 2007; Moses et al., 1989; Uchida et al., 2003).

Drosophila melanogaster

The fruit fly, *D. melanogaster*, has been used as a genetic model organism for over a century to study various biological processes such as inheritance, embryonic development, and aging (Jennings, 2011). *Drosophila* can be easily cultured in a laboratory condition, have a short life cycle of around ten days at 25°C, a large number of laid eggs, approximately 100 eggs per day, and a relatively short lifespan of 2 to 3 months. The genome of *Drosophila* contains about 13,600 genes, 60% homologous to the human genome (Adams et al., 2000). *Drosophila* develop most major organs found in

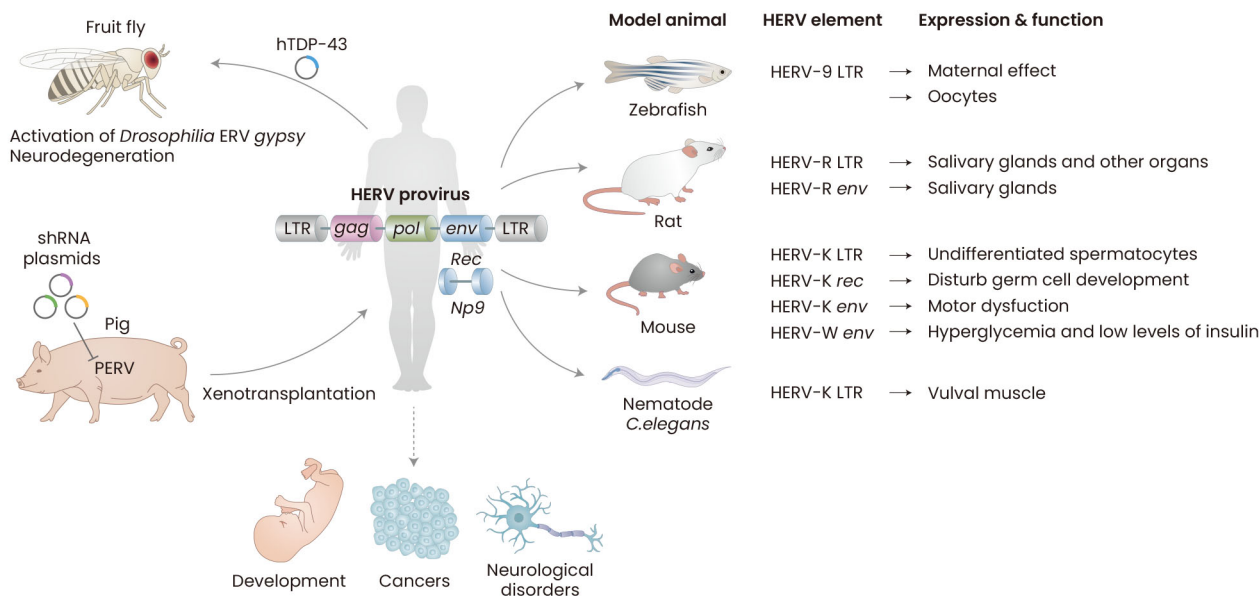


Fig. 1. Animal models used in HERV studies. HERV expression is required for normal development and its abnormal activation can result in several cancers and neurological diseases. Transgenic animals are generated by microinjection of DNA fragments or plasmids harboring HERV LTR, *env*, and *rec* genes into the pronuclei of fertilized eggs of vertebrate models of zebrafish, rats, and mice, or into the gonad of the worm *C. elegans*. Regulatory elements of HERV LTRs act as promoters/enhancers in many animal models and can affect the expression of the nearby gene or reporter. Some model animals expressing HERV *env* and *rec* genes show the shared features with human diseases such as cancers, ALS, and type-1 diabetes. Transgenic fruit flies expressing human TDP-43 (hTDP-43), which forms pathological aggregates in various neurodegenerative diseases such as ALS, FTD, and AD, activates *Drosophila* ERV gypsy, that is structurally related to HERV-K, and their neurons degenerate. Genetically modified pigs suppressing porcine endogenous retroviruses (PERVs), which are still infectious, different from HERVs, are developed to overcome a potential risk of cross-species transmission of PERVs in xenotransplantation. Tumor xenograft animal models also show that HERV elements increase cancer cell proliferation and tumor growth. Animal models can be used to analyze the role of HERV function *in vivo* and help better understand how they are involved in the disease process. Figure was created with BioRender.com.

humans, including heart, hematopoietic system, and compartmented nervous system, thus serving as a useful simple model for studying circulation and behavior (Lee and Kim, 2021; Rimal et al., 2020; Vlisidou and Wood, 2015).

TAR DNA-binding protein 43 (TDP-43) is abnormally expressed in many ALS patients (Chen-Plotkin et al., 2010), and it has been reported to directly bind to HERV-K LTR (Li et al., 2015). There are five binding sites for TDP-43 in HERV-K LTRs. CHIP assay revealed that TDP-43 binds to HERV-K LTR, indicating that it may have a role as a regulator of HERV-K expression and involve in neurodegeneration. Fruit flies *Drosophila* expressing human TDP-43 (hTDP-43) induce retrotransposable element (RTE) expression in neurons and glia (Krug et al., 2017). hTDP-43 expression in glia causes regulatory control loss in the specific RTE, the ERV *gypsy*. The fly glia expressing hTDP-43 degenerate, and those transgenic flies show severely impaired locomotion. The toxicity of glial hTDP-43 is rescued by either RNAi against *gypsy* or pharmacologically inhibiting RTE reverse transcriptase activity by tenofovir disoproxil fumarate (TDF), zidovudine (AZT), and stavudine (d4T). Altogether, the studies suggest that RTE activity may contribute to neurodegeneration in TDP-43-mediated diseases.

Zebrafish

The zebrafish *Danio rerio* is a freshwater fish easily cultured in regular fish tanks. A single female zebrafish lays up to 200 eggs per week (Gutiérrez-Lovera et al., 2017). The zebrafish genome contains about 26,000 protein-coding genes and shows approximately 70% of homology with the human genome, including 82% of orthologous human disease-related genes (Howe et al., 2013). The transparent model organism is particularly useful in studies directly observing ongoing events inside the live vertebrate animal body, providing detailed information in organ and neural development (Choe et al., 2020; Jung et al., 2019; 2020b; Lee et al., 2020c; Oh and Park, 2019).

Transgenic zebrafish is generated to test whether ERV-9 (also known as HERV-W) LTR drives GFP expression (Pi et al., 2004). LTR activated GFP expression in transgenic zebrafish shows a maternal effect. In the study, fluorescence level in embryos decreased 48 hours after post-fertilization, which indicates ERV-9 LTR enhancer was active during oogenesis but not active during spermatogenesis or early embryogenesis. *In situ* hybridizations also confirmed that ERV-9 LTR was involved in the primordial oocytes but not in spermatozoa. ERV-9 LTR was similarly active in human oocytes and stem/progenitor cells but not active in spermatozoa and differentiated somatic cells. These results indicate that ERV-9 LTR may play a role in synthesizing maternal mRNAs required for early embryogenesis.

A zebrafish reporter line of zebrafish endogenous retrovirus (ZFERV) is recently described (Hamilton et al., 2021). Itr5, the promoter of *zferv1a*, is used to drive GFP expression, and ZFERV is activated in the thymus and brain. Interestingly, the expression of *zferv1a* is specific to T-cells, suggesting a potential role for ZFERV in lymphocyte development, immunity, and neurological diseases. ZFERV knockout is also generated by deleting LTRs of ZFERV with CRISPR/Cas9 (Yang et al.,

2018). ZFERV-deficient zebrafish embryos exhibit spinal abnormality in early embryonic development, and expression of both Delta D and Notch1 is significantly lower in zebrafish with abnormal spines than normal fish. The results suggest that ZFERV may involve in vertebral development by regulating Notch1/Delta D signaling pathway.

Murine models

Murine models such as mice and rats are widely studied mammalian models due to their small size and fast reproduction cycle of three weeks (Walsh et al., 2017). Murine ESCs are available and easily subjected for genetic manipulation; thus various humanized murine models are generated to study human diseases and health (Saito et al., 2019). Transgenic animals overexpressing HERV ENVs have been studied in multiple contexts to examine the activities of HERVs. Also, studies using murine models show that maternal effect factors affect activities of endogenous murine retroviral elements, influencing early development.

HERV genes and LTRs in murine models

HERV-R *env* gene expression is reported in salivary glands of transgenic rats in which the transgenes carry the complete provirus genome of ERV3 (Tanaka, 2000; Tanaka et al., 2003). The transgenic rats carrying HERV-R under the control of their own promoter also express HERV-R transcripts in the placenta, where HERV-R is highly expressed in humans. Also, immunohistochemistry results show the specific expression of ENV glycoprotein in acinar cells of the Harderian glands, but not in duct epithelial cells indicating the protein expression of HERV-R is under the control of host cell regulation. Still, the transgenic rats do not show any significant pathology.

Transgenic mice expressing the lacZ gene under the control of HERV-K LTR3, which is active in cancer developments, are generated (Casau et al., 1999). The highest expression levels are mainly restricted to the undifferentiated spermatocytes of adult testes. HERV-K LTR3 also drives the expression of reporters in testicular teratocarcinoma cell lines but not in other cell lines of transformed kidney cell line, breast carcinomas, an osteosarcoma, and lung and colon adenocarcinomas (Bae et al., 2020; Kim et al., 2020). The results indicate that HERV-K LTR3 is specifically compatible with the transcriptional machinery of testes cells.

Many germ cell tumor (GCT) patients are young men, and HERV-K *rec* gene, a variant of the *env* gene, is highly expressed in GCTs (Galli et al., 2005). Transgenic mice expressing HERV-K *rec* gene show disturbed germ cell development and are prone to developing testicular carcinoma (Lee et al., 2019c). Transgenic mice expressing the HERV-K *env* gene in their neurons develop motor dysfunction, exhibiting selective loss of volume of the motor cortex, decreased synaptic activity in pyramidal neurons, dendritic spine abnormalities, and nucleolar dysfunction (Li et al., 2015). TDP-43's binding to the LTRs regulates the expression of HERV-K, which is presumably responsible for the increased expression level of HERV-K *env* in the postmortem brain tissue of some ALS patients.

HERV-W *env* has been detected in the serum, PBMCs, and pancreata of type-1 diabetes (T1D) patients. Also, there is a

correlation between HERV-W *env* expression and infiltration of macrophages in the exocrine pancreas. Transgenic mice expressing HERV-W *env* decrease insulin level, displaying hyperglycemia and immune cell infiltration in the pancreas. The results suggest that HERV-W *env* may contribute to T1D pathogenesis (Levet et al., 2017).

Maternal effect factors

stella is a gene coding a protein containing an SAP-like domain and a splicing factor motif-like structure, showing maternal effect (Payer et al., 2003). *stella* is required for early embryonic development and found to affect maternal-to-zygotic transition (MZT) (Huang et al., 2017). *stella* M/Z KO 2-cell embryos have reduced activation of the LTR-ERV-L family, specifically mouse endogenous retrovirus type-L (MuERV-L) elements which encode a canonical retroviral *gag* and *pol*, flanked by 5' and 3' LTR. MuERV-L knock-down in embryos by micro-injecting MuERV-L siRNA into the cytoplasm of zygotes hinders developmental progression. *stella* may involve in the regulation of transposable elements in 2-cell embryos and the activation of MuERV-L. The study suggests that there is a possibility that mammalian maternal effect factors may participate in early developments by regulating retroviral elements.

Hybrid model of humans and mice

Multiple sclerosis-associated retrovirus (MSRV) is a HERV-W-related retroelement and found in cell cultures isolated from patients with MS, the human inflammatory demyelinating disease (Antony et al., 2011). In order to address the pathogenicity of MSRV retroviral particles, a hybrid model of humans and mice is used (Firouzi et al., 2003). SCID model mice grafted with primary human lymphocytes obtained from healthy blood donors are humanized SCID (hu-SCID) mice. hu-SCID intraperitoneally injected with MSRV virions exhibit neurological symptoms such as partial or generalized paralysis and eventually die bleeding in the brain. Pro-inflammatory T cell cytokines such as TNF- α and IFN γ are overexpressed in severely ill animals. Thus, MSRV particles have potent immune-pathogenic properties mediated by T cells.

Experimental autoimmune encephalomyelitis (EAE) is the most commonly used experimental model for MS, and it has been shown that MSRV-ENV protein, a HERV-W ENV-derived protein, can induce EAE in C57/BL6 mice when administered in emulsion together with myelin oligodendrocyte glycoprotein (MOG) peptides (Perron et al., 2013). The clinical and histopathological features of MSRV-ENV-induced disease are indistinguishable from standard EAE, supporting the HERV-W ENV protein pathogenicity *in vivo*.

Tumor xenograft models

Several tumor xenograft studies testing oncogenic properties of HERVs have been reported. Syncytin-1, an envelope protein encoded by the HERV W *env* gene, is highly expressed in human hepatocellular carcinoma (HCC) (Zhou et al., 2021). Tumor xenograft assay reveals that NIH3T3 cells overexpressing syncytin-1 induce tumor formation in nude mice. Phosphorylation of MEK1/2 and ERK1/2 and expression of syncytin-1 are upregulated in HCC, which indicates MEK/ERK

pathway is likely to be crucial in syncytin-1-promoted hepatocarcinogenesis. Syncytin-1-transfected human uroepithelial cells (SV-HUC-1) also develop into UCC in xenograft nude mice, too (Yu et al., 2013).

Expression of HERV-K ENV protein is higher in various cancers, including colorectal cancer than in normal tissues (Jo et al., 2016). A tumor is not formed, or even if formed, its size is significantly reduced in nude mice injected with human DLD-1 colorectal cancer cells in which HERV-K *env* is knocked out using the CRISPR-Cas9 system (Ko et al., 2021a). In another study, shRNA targeting HERV-K *env* RNA (shRNAenv) is transfected into pancreatic cancer (PC) cells to suppress the expression of HERV-K *env* and then grafted into mice, which eventually show the reduced size of tumors when compared with controls (Li et al., 2017). The results indicate that HERV-K ENV protein participates in cell proliferation and tumor growth.

Acute lymphocytic leukemia cells, Raji cells, overexpressing Np9 are used for tumor xenograft in NOD-SCID mice and found to promote the growth of the xenograft leukemia cells (Chen et al., 2013). Kaposi's sarcoma-associated herpesvirus (KSHV) infection can trans-activate HERV-K, particularly the encoded oncogenic Np9 expression worsens Kaposi's sarcoma pathogenesis and KSHV-induced tumorigenesis in endothelial cells (Dai et al., 2018). Kaposi's sarcoma xenograft model, mice injected with cells, in which Np9 is stably knock-down by shRNA, significantly suppresses the tumorigenesis of Kaposi's sarcoma *in vivo*.

Porcine models

Pigs share many characteristics with humans such as anatomy, physiology, and metabolism, making it useful for an alternative organ donor for xenotransplantation (Gutierrez et al., 2015). PERVs are, in contrast to HERVs, still active to produce virion particles, which are infectious (Denner, 2016). Thus, there is a potential risk of cross-species transmission of porcine endogenous retroviruses (PERV), which can infect the recipient's cells. Anti-PERV shRNAs method is shown to be highly effective to knock-down the expression of *pol* and *gag* genes of PERVs in pigs (Ramsoondar et al., 2009).

TRANSCRIPTION FACTORS BINDING HERV LTRs

The association between LTR sequence and cell line-specific expression suggests that certain sequence-specific elements, such as TFBSs, play a pivotal role in determining differential promoter activity. Identification of TFBSs and of their actual interactors is essential to assess the promoter activity of HERV LTRs, which drives gene expression in various situations, including human diseases (Montesio et al., 2018) (Table 1).

Double Homeobox 4 (DUX4) is a transcription factor, and its misexpression in skeletal muscle results in facioscapulohumeral muscular dystrophy (FSHD), an inherited muscle disease. DUX4 binds to the LTRs of HERV-L in rhabdomyosarcoma (RD) cells (Mitsuhashi et al., 2021), while it binds to those of HERV-K in myoblast cell lines (Young et al., 2013). DUX4 induces several HERV fusion transcripts and might significantly contribute to the pathology of FSHD.

SLE is an autoimmune disease and the most common type

Table 1. Transcription factors, which have been experimentally shown to influence HERV LTR activity

Type of HERV	Transcription factor	Type of transcription factor	Disease/physiology	Type of model	Confirmation method	Reference
HERV-L, HERV-K	DUX4	Homeobox	FSHD	Human myoblast cell lines, RD cells	ChIP-seq, RNA-seq	Mitsuhashi et al., 2021; Young et al. 2013
HERV-E	NFAT1, ER- α	NFAT TF, zf-NR A1-type	SLE	CD4+ T cells	ChIP	Wang et al., 2019
HERV-K, HERV-1	HIF	bHLH	Kidney cancer	Renal cell carcinoma cells	ChIP-seq	Siebenhall et al., 2019
HERV-K	OCT4, PR	POU family, zf-NR C3-type	Breast cancer	Breast cancer cells	EMSA, Co-IP	Nguyen et al., 2019
HERV-K	HOX-PBX, HOX-PBX, RFX3	PBX homeobox family, RFX-type winged-helix	Neoplasia	Breast cancer cells	Luciferase assay	Montesion et al., 2018
HERV-H	NANOG, OCT4, SOX2	Homeobox TF, POU family, SOX family	Pluripotency	Human embryonic stem cells	ChIP-seq	Santoni et al., 2012
HERV-W	c-Myb, HOXA5	bHTH, HOX family	Bladder cancer	Urothelial cell carcinoma (primary)	ChIP, EMSA	Yu et al., 2013
HERV-E	HIF-2 α	bHLH	Kidney cancer	ccRCC tumor and ccRCC cell lines	ChIP	Cherkasova et al., 2011
HERV-9	NF- γ	Heterotrimeric TF composed of the NF- γ A, NF- γ B, and NF- γ C subunits	Stimulation of the transcription of the downstream gene locus	Erythroid progenitor cells and embryonic teratocarcinoma (primordial oocytes)	ChIP, EMSA	Yu et al., 2005
HERV-L	HNF-1	Homeobox	Activation of an LTR promoter	Colorectal cancer cell lines	EMSA	Dunn et al., 2003
HERV-W	OCT-1, C/EBP	POU family, leucine zipper (bZip)	Transcriptional regulation of HERV-W LTR	Hela cells	Luciferase assay	Lee et al., 2003
HERV-K	SMARCB1, c-Myc	SWI/SNF protein complexes, bHLH-LZ	Atypical teratoid rhabdoid tumor (AT/RT)	AT/RT cell lines	ChIP	Doucet-O'Hare et al., 2021
HERV-K	YY1	C2H2-type Zinc-Finger	An activator of HERV-K expression	Human teratocarcinoma, Hepatocarcinoma, cervical carcinoma (Hela) cells	EMSA, supershift assay	Knössl et al., 1999
HERV-K	MITF-M	bHLH-LZ	Skin cancer	Melanoma	Luciferase assay	Katoh et al., 2011
HERV-K	Sp1, Sp3	C2H2-type Zinc-Finger	Activation of an LTR	Human melanoma and teratocarcinoma cells	ChIP, EMSA	Fuchs et al., 2011
HERV	TRIM28	Tripartite motif family	Brain development	Human neural progenitor cells	ChIP	Brattás et al., 2017
HERV-K	TDP-43	RNA/DNA binding protein	ALS	Human neuronal cells	ChIP	Li et al., 2015
HERV-K	PR	zf-NR C3-type	Breast cancer	Human breast cancer cell line	Northern hybridization	Ono et al., 1987
HERV-K	AR	zf-NR C4-type	Cell proliferation, inhibition of apoptosis and to tumor induction	Prostate cancer cell line	Luciferase assay	Hanke et al., 2013
HERV-L, HERV-K, HERV-1	TIP60, BRD4	C2HC MYST-type, BET family of bromodomains	Colorectal cancer	Colorectal cancer cells	ChIP	Rajagopalan et al., 2018
HERV-K	NF- κ B, IRF1	Rel homology, bHTH	ALS	Human astrocytes and neurons	ChIP	Manghera et al., 2016
HERVs (HERV-1)	Unknown	Unknown	Brain tumor	Glioblastoma multiforme (GBM)	RNA-seq	Yuan et al., 2021

DUX4, double homeobox 4; NFAT1, nuclear factor of activated T cells 1; ER- α , estrogen receptor- α ; HIF, hypoxia-inducible factor; OCT4, octamer-binding transcription factor 4; PR, progesterone receptor; AR, androgen receptor; HOX, homeobox; PBX, pre-B cell leukemia transcription factor; RFX3, regulatory factor X3; NANOG, homeobox protein NANOG; SOX2, SRY-box transcription factor 2; NF- γ , nuclear factor γ ; SMARCB1, SWI/SNF Related, Matrix Associated, Actin Dependent Regulator of Chromatin, subfamily B, Member1; MITF-M, microphthalmia-associated transcription factor; TRIM28, Tripartite Motif Containing 28; TDP-43, TAR DNA-binding protein 43; BRD4, Bromodomain-containing protein 4; IRF1, Interferon Regulatory Factor 1; zf-NR, zinc finger-nuclear receptor; bHLH, basic-helix-loop-helix; bHTH, basic helix-turn-helix; bHLH-LZ, basic helix-loop-helix leucine-zipper; FSHD, facioscapulohumeral muscular dystrophy; ALS, amyotrophic lateral sclerosis; SLE, systemic lupus erythematosus; RD, rhabdomyosarcoma; EMSA, electrophoretic mobility shift assay.

of lupus, and it is reported that high level of HERV-E clone 4-1 mRNAs are detected in CD4⁺ T cells from SLE patients (Wang et al., 2019). Both nuclear factors of activated T cells 1 (NFAT1) and estrogen receptor- α (ER- α) bind to HERV-E clone 4-1 5'LTRs where DNAs are hypomethylated. Therefore, HERV-E in CD4⁺ T cells can be activated by abnormal inflammatory responses in SLE.

Hypoxia-inducible transcription factor-2 α (HIF-2 α) binds to HIF response elements (HRE) localized in proviral LTRs of HERV-E in the clear cell histological subtype of renal cell carcinoma (ccRCC) and activates HERV-E expression (Cherkasova et al., 2011). The expression of a novel transcript derived from HERV-E provirus named CT-RCC HERV-E is restricted to ccRCC, which is characterized by inactivation of the von Hippel-Lindau (VHL) tumor-suppressor gene. Transfection of a ccRCC tumor line with a plasmid expressing functional VHL significantly reduces the expression of CT-RCC HERV-E transcripts. The results suggest that inactivation of tumor suppressor VHL may be associated with HERV-E expression in ccRCC.

HIFs, HIF-1 α , HIF-2 α , and HIF-1 β , are all reported to bind to the LTRs of class I HERVs and HERV-K families, and HIF-bound LTRs show a promoter-like activity driving expression of POU5F1 (OCT4), a stem cell transcription factor, in RCCs (Moon et al., 2020; Siebenthal et al., 2019). POU5F1 is consistently upregulated in tumor cells in Cancer Genome Atlas (TCGA) cohorts. Progesterone receptor (PR) and OCT4 bind progesterone-response element (PRE) and an octamer motif, respectively, in a long terminal repeat LTR5HS of HERV-K, activating transcription downstream of the LTR5HS in human breast cancer cells T47D, in which the activation of HERV-Ks by female hormones have been reported (Nguyen et al., 2019; Ono et al., 1987).

A specific mutation in HERV-W LTRs is significantly associated with the pathology of UCC and with syncytin-1 overexpression. c-Myb binds to 3'-LTRs of HERV-W, which depends on 142T > C mutation (Yu et al., 2013). The mutant 3'-LTR acts as an enhancer for syncytin-1 gene expression, stimulated by c-Myb in UCC. The results indicate that the HERV-W 3'-LTRs can be a regulatory element affecting syncytin-1 gene expression, participating in tumor development. On the other hand, HOXA5 is shown to bind normal HERV-W 3'-LTR, also regulating syncytin-1 gene expression.

In colorectal cancer cells, hepatocyte nuclear factor 1 (HNF-1) binds to the LTR of HERV-L, which acts as an alternative promoter for the human β 1,3-galactosyltransferase 5 gene (Dunn et al., 2003). It is found that the HERV-L LTR is a dominant promoter in the colon and has a significant impact on the gene expression of β 3Gal-T5.

HERV-K expression is upregulated in neural tissues from ALS patients. Independent and synergistic upregulation of HERV-K by interferon regulatory factor 1 (IRF1), NF- κ B isoforms p50 and p65 are observed in ALS patients' astrocytes and neurons (Manghera et al., 2016). Treatment with cytokines TNF- α and LIGHT (the lymphotoxin-like inducible protein that competes with glycoprotein D for herpes virus entry on T cells) increase the levels of HERV-K transcript and protein through the direct interaction between both interferon regulatory factor 1 (IRF1) and NF- κ B and the interferon-stimulated

response elements (ISREs) located in the HERV-K LTRs. Cytokine-mediated IRF1 and NF- κ B binding to the HERV-K LTR is in a cell-type-dependent manner. TNF- α increases HERV-K protein levels in neurons, whereas LIGHT induces HERV-K in astrocytes.

Several transcriptional initiator (Inr) sites in the HERV-K LTRs are detected by rapid amplification of complementary DNA ends (5' RACE) (Katoh et al., 2011). The most potent Inr is associated with a TATA box and three binding motifs of microphthalmia-associated transcription factor (MITF). Both chromosomal HERV-K and the cloned LTRs are strongly activated in HEK293, which are transfected with MITF-M, a melanocyte/melanoma-specific isoform of MITF. In malignant melanoma lines, HERV-K transcription is enhanced when compared with normal melanocytes.

Gel shift assay shows that binding complexes, which are formed on the enhancer sequence by protein extracts of HERV-K-expressing teratocarcinoma cell lines GH and Tera2 form, are different from those of HeLa and HepG2 cells, both of which do not express HERV-K (Knössl et al., 1999). Combined results obtained from competition gel shift assay, DNase I footprinting, and supershift experiments indicate that the binding site of these complexes is a 20-bp sequence within HERV-K enhancer, and the transcription factor YY1 was one component of the HERV-K enhancer-bound complex.

Transcription factors Sp1 and Sp3 also interact with HERV-K LTRs (Fuchs et al., 2011). Both mutating specific GC boxes, which are binding sites for Sp proteins, and knocking down Sp1 and Sp3 with small interfering RNA (siRNA) significantly interfere with the promoter activity of HERV-K LTRs in human melanoma and teratocarcinoma cells.

Gliomas originated from astrocytes, oligodendrocytes, and ependymal cells attributes more than 70% of all brain tumors (Ohgaki and Kleihues, 2005). Glioblastoma multiforme (GBM) arises from the uncontrolled proliferation of astrocytes and is one of the most aggressive types of malignant brain tumors (Buckner et al., 2007; Kim et al., 2021; Ostrom et al., 2015). The analyses of the various genome and transcriptome data sets generated from GBM tissues and normal brain tissues identify some differentially expressed repetitive elements (Jung et al., 2020a; Yuan et al., 2021). Forty-eight of those repetitive elements are LTR elements, of which 46 are derived from HERV elements. Forty-three out of the 46 differentially expressed HERV elements are upregulated, and 34 significantly changed HERV elements belong to the class I superfamily. The LTR elements from HERVs are potential biomarkers for immunotherapy to treat GBM. Expression levels of these elements could be monitored as biomarkers to treat GBM.

The Rec protein of HERV-K interacts with human small glutamine-rich tetratricopeptide repeat (TPR)-containing protein (hSGT) which is a cellular androgen receptor (AR) inhibitor (Hanke et al., 2013). This interaction was confirmed by co-immunoprecipitation, pull-down assays, and colocalization experiments. Rec interference with hSGT induces AR activity. Rec also acts as a transactivator by enhancing AR-mediated activation of the HERV-K LTR promoter. Rec-driven hyperactivation of the AR leads to increased cell proliferation and

inhibition of apoptosis and eventually to tumor induction or promotion.

An atypical teratoid rhabdoid tumor (AT/RT) is an embryonal central nervous system (CNS) cancer often characterized by loss of SMARCB1 (SWI/SNF Related, Matrix Associated, Actin Dependent Regulator of Chromatin, subfamily B, Member 1). SMARCB1 is a tumor suppressor gene and essential during development. AT/RTs contain undifferentiated cancer cells (Nemes and Frühwald, 2018). The repression of HERV-K *env* retains stem cell features and enhances neuronal differentiation (Wang et al., 2020a). In AT/RT cell lines, loss of SMARCB1 in neural stem cells (NSCs) results in upregulation of HERV-K *env*, but restoration of SMARCB1 leads to downregulation of HERV-K *env* (Doucet-O'Hare et al., 2021). In the absence of SMARCB1, c-Myc binds to HERV-K LTR and increases HERV-K expression. However, SMARCB1 interferes with c-Myc, binds to HERV-K LTR, and represses HERV-K

expression, when overexpressed. HERV-K activation in the development of undifferentiated tumors in AT/RT suggests it may play a critical role in human embryonic and neurodevelopment.

MOLECULAR AND CELLULAR EVENTS CONTROLLED BY HERV ELEMENTS

HERV elements actively control multiple molecular and cellular events in different cells including neurons, glia, cancer and stem cells in various physiological and pathological conditions (Table 2).

Toll-like receptor (TLR) signaling: TLR-3 and TLR-4

Elevated levels of TLR-3 and IL-6 are detected in syncytin-1-overexpressing human microglia cell line CHME-5 and astrocyte cell line U251 (Wang et al., 2018). The syncy-

Table 2. Roles of HERV elements in signaling pathways

HERV elements	Signaling pathways	Effects	Reference
MSRV-Env	TLR4 pathway	- Acts as agonist of human TLR-4 - Impairs human OPC maturation to myelinating oligodendrocytes - Induces TLR4-dependent pro-inflammatory stimulation of immune cells - Induces over-expression of ICAM-1 and stimulates inflammatory factors in BBB in vitro model	Madeira et al., 2016 Duperray et al., 2015
MSRV-Env-SU	CD14 and TLR-4 pathway	- Triggers maturation process in human dendritic cells - Induces human monocytes to produce major proinflammatory cytokines through CD14 and TLR4	Rolland et al., 2006
HERV-W-env	TLR4/MyD88 pathway	- Upregulates the expressions of inflammatory cytokines through TLR4/MyD88 pathway in glial cells	Wang et al., 2021
	BDNF signaling	- Increases the expression of BDNF, NTRK2, and DRD3 that contribute to the pathogenesis of the schizophrenia	Huang et al., 2011
	TRPC3 channel	- Induces Ca ²⁺ influx through TRP3 channel and regulates DISC1	Chen et al., 2019
	SK3 channel	- Induces SK3 dependent on CRE/CREB in human neuroblastoma cells	Li et al., 2013
Syncytin-1	MEK/ERK pathway	- Promotes cell proliferation, metastasis, and tumorigenicity in human hepatocellular carcinoma (HCC)	Zhou et al., 2021
	TLR3 pathway	- Activates TLR-3 signaling and induces the production of CRP in microglia and astrocytes	Wang et al., 2018
	TGF- β signaling	- Proliferation and cell-cell fusions	Strick et al., 2007
HERV-K env	Ras signaling	- Promotes tumorigenesis in breast cancer (BC)	Zhou et al., 2016
	ROS-NUPR1 pathway	- Tumor proliferation, invasion, migration in colorectal cancer	Ko et al., 2021a
	mTOR pathway	- Interacts with CD98HC, triggers mTOR and regulates of stem cell function/neuronal differentiation	Wang et al., 2020b
	ERK1/2 pathway	- Induces epithelial to mesenchymal transition (EMT)	Lemaître et al., 2017
HERV-K LTR	NTRK3 signaling pathway	- Induces NTRK3 expression and impairs cortical neuron development	Padmanabhan Nair et al., 2021
HERV-K gag and env	MEK-ERK and p16INK4A-CDK4 pathways	- Potential regulator of BRAF-MEK-ERK and p16INK4A-CDK4-RB during melanoma pathogenesis	Li et al., 2010
HERV-K Np9	β -catenin, ERK, Akt and Notch1 signaling	- Activates β -catenin, ERK, Akt and Notch1 signaling pathways and regulates the growth of human leukemia stem/progenitor cells	Chen et al., 2013

MSRV-Env, multiple sclerosis associated retrovirus envelope protein, member of the W family of HERV (HERV-W); MSRV-Env-SU, the surface unit of the MSRV envelope protein; OPC, oligodendrocyte precursors cells; PBMC, peripheral blood mononuclear cells; BBB, blood-brain barrier; ICAM-1, intercellular adhesion molecule 1; BDNF, brain-derived neurotrophic factor; DISC1, disrupted-in-schizophrenia 1; CREB, cAMP response element-binding protein; CRE, cAMP response element; CD98HC, a heterodimeric amino acid transporter; NTRK3, neurotrophic tyrosine receptor kinase 3.

tin-1-induced mRNA levels of C-reactive protein (CRP) are decreased in both cell lines that are knocked down of TLR-3. The reduced gene expression of TLR-3 also decreases the phosphorylation of IRF3 that is a downstream signaling molecule of TLR-3. These results suggest that syncytin-1 participates in inflammatory regulation in glial cells via the TLR3 signaling pathway.

ENV protein of MSRV that is the MS-related HERV-W acts as a potent agonist to TLR-4, a pattern recognition receptor for innate immunity, and induces inflammation, increasing the release of interleukin-6 (IL-6) and TNF- α cytokines from human peripheral blood mononuclear cells (hPBMC) (Ahmed et al., 2020; Madeira et al., 2016). These effects of MSRV-ENV are inhibited by GNBAC1, a humanized monoclonal antibody targeting MSRV-ENV, which may be helpful in the treatment of MS. The pro-inflammatory property of MSRV-ENV is also demonstrated in murine models. Intravenously injected MSRV-ENV induces a strong release of IL-6 and TNF- α , and administration and GNBAC1 block it. In addition, MSRV-ENV suppresses differentiation of human oligodendrocyte precursor cells (hOPC) through TLR-4, and impairs demyelination that is a hallmark of MS. MSRV-ENV also exerts its pro-inflammatory activity on the blood-brain barrier (BBB) (Duperray et al., 2015). MSRV-ENV induces overexpression of ICAM-1, a significant mediator adhesion between activated immune cells and endothelial cells, and production of the pro-inflammatory cytokines IL-6 and IL-8 in HCMEC/D3 brain endothelial cell line, on which TLR-4 is expressed (Rolland et al., 2006). MSRV-ENV activates human monocytes, inducing the secretion of IL-6, TNF- α , and IL-1 β from monocytes, and those activities are dependent on TLR-4 and CD14 receptors. These receptors are also involved in MSRV-ENV-mediated activation of dendritic cells (DC) and promote the development of Th1-like responses.

Syncytin-1, the HERV-W ENV protein ERVWE1, is also found highly expressed in the serums of schizophrenic patients (Peron et al., 2008). Neuroinflammation contributes to neuropsychiatric disorders such as schizophrenia, and HERV-W ENV is involved in producing the inflammation-related cytokines. In human glioma cell lines U251 and A172, overexpression of HERV-W ENV lead to an increase in mRNA levels of TNF- α and IL-10 and the mRNA levels of TLR-4, which mediates the production of pro-inflammatory cytokines (Romao et al., 2012). Myeloid differentiation primary response 88 (MyD88) is downstream of TLR-4, and overexpressed HERV-W ENV also increases the mRNA levels of MyD88 (Kuzmich et al., 2017). Knock-down of TLR-4 decreases the release of TNF- α and IL-10 induced by HERV-W ENV, suggesting that HERV-W involvement in neuroinflammation depends on TLR4/MyD88 signaling pathway in glial cells (Wang et al., 2021). Together with syncytin-1, CRP, an acute inflammatory marker, is also detected at high levels in the serum of schizophrenia patients (Misiak et al., 2018). mRNA and protein levels of CRP increase in CHME-5 and U251 cells overexpressing syncytin-1 (Wang et al., 2018). Moreover, syncytin-1 promotes the activity of CRP promoters, playing a regulatory role in CRP expression.

Syncytin-1 changes cellular signaling in neurons and glia

Overexpression of syncytin-1 upregulates brain-derived

neurotrophic factor (BDNF), neurotrophic tyrosine kinase receptor type 2 (NTRK2), and dopamine receptor D3, and phosphorylation of cAMP (cyclic adenosine monophosphate) response element-binding (CREB) protein in human glioma cells (Huang et al., 2011). Syncytin-1 interacts with BDNF promoter, enhancing transcription. In human neuroblastoma cells, syncytin-1 activates the promoter of small conductance Ca²⁺-activated K⁺ channel protein 3 (SK3), depending on both CREB and cAMP response element (CRE) (Li et al., 2013). In neuroblastoma cells, syncytin-1 overexpression induces Ca²⁺ influx through transient receptor potential cation channel subfamily C member 3 (TRPC3) channels by directly regulating its expression or by downregulating the gene disrupted-in-schizophrenia 1 (DISC1) (Chen et al., 2019; Dhakal and Lee, 2019). These conditions contribute to the pathogenesis of schizophrenia; thus, HERV-W may also involve in the development of psychotic disorders.

HERV coding proteins affect signaling pathways in cancers

Syncytin-1 promotes cell proliferation, metastasis, and tumorigenicity in HCC by activating MEK/ERK pathway. It is shown that syncytin-1 upregulates MEK/ERK downstream proteins such as c-Myc, c-Fos, c-Jun, CCND1, and CDK4 in HCC (Zhou et al., 2021). HERV-K ENV induces epithelial to mesenchymal transition (EMT), which promotes cell motility in human breast epithelial cells, activating the ERK1/2 pathway (Lee et al., 2019a; Lemaître et al., 2017). The downstream events include increased expression of various transcription factors such as EGR1, ZCCHC12, ETV4, and ETV5, which are tightly related to oncogenesis. HERV-K ENV is also reported to increase Ras-induced ERK activation in human breast cancer cells and promote tumorigenesis (Wang et al., 2020c; Zhou et al., 2016). These findings lead to a therapeutic approach using anti-HERV-K ENV, which is potential for immunotherapy of breast cancer. A chimeric antigen receptor (CAR) specific for HERV-K ENV protein (K-CAR) has an anti-metastatic activity, inhibiting cell proliferation *in vitro* and tumorigenesis *in vivo* (Zhou et al., 2015). A recent study shows that CRISPR-Cas9 mediated knock-down of the HERV-K ENV gene in DLD-1 colorectal cancer showed reduced proliferation, invasion, migration, and tumor colonization by activating the ROS-NUPR1 pathway (Ko et al., 2021b).

Syncytin-1 expression is significantly increased in endometrial carcinomas (EnCa) (Strick et al., 2007). Treatment with steroid hormone estrogen induces syncytin-1 expression in primary EnCa cells and increases cell proliferation. DNA binding assays reveal that ER binds explicitly to the syncytin-1 ERE, an estrogen response element located in the LTR of HERV-W. Therefore, steroid hormones directly regulate syncytin-1 gene expression. In addition, syncytin-1 is also upregulated in EnCa cells treated with the SP isomer of cAMP (SP-cAMP), and those EnCa cells undergo proliferation and cell-cell fusion, which are blocked by silencing of syncytin-1 gene expression. The addition of purified TGF- β 1 or TGF- β 3 proteins to SP-cAMP treated EnCa cells inhibits cell-cell fusion while the high level of syncytin-1 is unchanged. The result indicates that TGF- β treatment can revoke syncytin-1-mediated cell-cell fusions, which may provide a therapeutic option in endome-

trial cancers.

Both HERV-K GAG and ENV are highly expressed in melanoma cells, in which phosphorylation of ERK is also increased. In contrast, p16INK4a suppresses tumors by inhibiting cyclin-dependent kinases CDK4 and CDK6 (Quelle et al., 1995) and is detected in mostly nevus cells rather than melanoma cells (Li et al., 2010). These studies show a positive correlation between the levels of HERV-K GAG and ENV proteins with activation of MEK/ERK pathway and loss of p16INK4A-CDK4 activities in melanoma cells.

Overexpression of HERV-K type 1-encoded Np9 induces the growth of leukemia cells, whereas knock-down of Np9 expression inhibits the growth of myeloid and lymphoblastic leukemia cells (Chen et al., 2013). These results indicate that Np9 is essential for the survival and growth of myeloid and lymphoblastic leukemia cells. Overexpression of Np9 is also reported to increase the protein levels of β -catenin, ERK, Akt, and Notch1 signaling molecules, whereas silencing of Np9 causes a significant decrease of expression levels of c-Myc, pERK1, phospho-Akt (pAkt), and cleaved Notch1 in leukemia cells.

ENVs in stem cells

HERV-K ENV is reported to be expressed in the cell membrane of pluripotent stem cells and interact with a heterodimeric amino acid transporter CD98HC (Wang et al., 2020b). The interaction leads to triggering mammalian targets of rapamycin (mTOR) and lysophosphatidylcholine acyltransferase (LPCAT1) pathways that regulate stem cell function. Down-regulation of HERV-K ENV was shown to promote neuronal differentiation of stem cells.

LTR promoter of HERV-K is activated in hESCs by the CRISPR activation (CRISPRa) method (Padmanabhan Nair et al., 2021). HERV-K activated hESCs are differentiated into cortical neurons. Those cortical neurons display a drastic reduction in microtubule-associated protein 2 (MAP2) expression, which is a neuron-specific cytoskeletal protein and a marker for neuronal cells (Dinsmore and Solomon, 1991). These cortical neurons exhibit shorter axons with fewer branches. Activated HERV-K LTR also robustly upregulates neurotrophic tyrosine receptor kinase 3 (NTRK3), critical in cortical neuron development (Bartkowska et al., 2007). The knock-down of NTRK3 in HERV-K LTR-activated cortical neurons reverts the observed phenotypes, suggesting that HERV-K activation impairs NTRK3-dependent cortical neuron development, which ultimately results in abnormal brain development (Padmanabhan Nair et al., 2021).

HERVs in COVID-19

Recently, several studies report that HERVs are activated in COVID-19 infection. IFN-1, IFN-2, TRIM28, SETDB1, and viral genes of HERV-H, -K, and -W families are upregulated in peripheral blood from children between 4-8 years suffering from COVID-19 with mild symptoms, whereas downregulated in severe cases (Tovo et al., 2021). The correlative expression of these genes according to the severity of COVID-19 suggests that there are distinct phases of the disease, for which the differentially regulated genes may serve as prognostic markers. The syncytin-1 protein level is high in blood

samples from adult COVID-19 patients (Balestrieri et al., 2021; Garcia-Montojo and Nath, 2021). The expression of syncytin-1 is also correlated with the markers of T-cell differentiation such as IL-6, IL-10, IL-17, IL-17RA, MCP1, and CXCR1. Syncytin-1-positive lymphocytes and inflammatory markers are correlated with the severity of pneumonia in COVID-19 patients.

A recombinant baculovirus expressing the envelope of HERV-W (AcherV) is constructed as a DNA vaccine system against Middle East respiratory syndrome coronavirus (MERS-CoV) and the severe acute respiratory syndrome coronavirus-2 (SARS-CoV2), responsible for COVID-19 (Cho et al., 2021; Shah and Woo, 2021). Baculoviruses possess a nuclear transport signal which enables an efficient gene expression of inserted full-length S, S1 subunit, or RBD antigens of MERS-CoV or SARS-CoV2 with multiple boosting. The AcherV-COVID19-S vaccine induces serum IgG, neutralizing antibody, and antigen-specific IFN- γ secretion, indicating high cellular immunity. AcherV-MERS-S1 also prompts high levels of IgG, neutralizing antibody, and T-cell immune responses. AcherV-DNA vaccines provide increased protection against MERS-CoV and SARS-CoV2 in animal models, supporting the feasibility of AcherV-MERS or AcherV-COVID19 vaccines in preventing pandemic spreads of viral infections.

CONCLUSION

HERVs are ancient sequences of exogenous retroviruses integrated into the human genome and are considered viral “fossils”. Although most HERVs have accumulated mutations and lost their coding capability, they still retain some activities in terms of HERV-mediated regulation of host gene expression. Many studies have revealed that abnormal activation and expression of HERV genes can lead to severe illnesses like cancers, autoimmune diseases, and neurological diseases. Various endogenous transcription factors regulating cell proliferation and differentiation bind to specific motifs in HERV LTRs, which act as a promoter or an enhancer. Downstream events include activation of various signaling pathways such as TLR-4 and MEK/ERK. While a number of stimulators are known to activate HERV genes inadequately, the recent report shows that expression patterns of HERV genes fluctuate in the progress of COVID-19. The more profound insight into the mechanisms explaining the roles HERV activities in various biological contexts will help develop clinical applications targeting HERVs and set up diagnostic and prognostic biomarkers for related diseases, even unprecedented pandemic illness of COVID-19.

Note: Supplementary information is available on the Molecules and Cells website (www.molcells.org).

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AUTHOR CONTRIBUTIONS

S.D., S.K.L., and J.A. conceived research and wrote the manuscript. S.K.L. and J.A. secured funding.

CONFLICT OF INTEREST

The authors have no potential conflicts of interest to disclose.

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